Kotak Mahindra Bank

October 26, 2021

BSE Limited Corporate Relationship Department, Phiroze Jeejeebhoy Towers, Dalal Street, Mumbai 400 001. BSE Scrip Code: **500247**	**National Stock Exchange of India Limited** Exchange Plaza, Plot No.C/1, G Block, Bandra-Kurla Complex, Bandra (East), Mumbai 400 051. NSE Symbol: **KOTAKBANK**

Dear Sir/Madam,

Sub: Trading Plan

Ref: Regulation 5(5) of the Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015

Pursuant to Regulation 5(5) of the Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015, please find enclosed, in **Annexure IX,** the Trading Plan dated October 22, 2021, as informed to us by Ms. Shibani Sircar Kurian – Senior Executive Vice President, an employee of Kotak Mahindra Asset Management Co. Ltd., a subsidiary company of the Bank.

This is for your information and appropriate dissemination.

Yours faithfully,
For Kotak Mahindra Bank Limited

AVAN K DOOMASIA
Digitally signed by AVAN K DOOMASIA
Date: 2021.10.26 17:43:46 +05'30'

Avan Doomasia
Senior Executive Vice President &
Company Secretary

Encl : As above

Kotak Mahindra Bank Ltd.
CIN: L65110MH1985PLC038137

Registered Office:
27 BKC, C 27, G Block,
Bandra Kurla Complex,
Bandra (E), Mumbai 400051,
Maharashtra, India.

T +91 22 61660001
www.kotak.com

ANNEXURE IX

TRADING PLAN

(For the period from April 30, 2022 to April 30, 2023)

To
The Compliance Officer,
Kotak Mahindra Asset Management Co. Ltd.

Dear Sir/Madam,

Sub: Trading Plan under Regulation 5 of the SEBI (Prohibition of Insider Trading) Regulations, 2015

In terms of provisions of Regulation 5 of the SEBI (Prohibition of Insider Trading) Regulations, 2015 and Clause 2.13 of MOPP Governing Personal Securities Transactions By Employees, And Prohibition Of Insider Trading In Securities By Employees, adopted by the Company, I, Shibani Sircar Kurian, hereby give my trading plan /~~trading plan of my immediate relatives~~ to sell the equity shares of Kotak Mahindra Bank Limited, held as ESOP as part of my remuneration, as per details furnished hereunder:

Name of the Employee:	**Shibani Sircar Kurian**
Name of the Immediate Relative	**Kurian Jose**
Relation with Immediate Relative	**Spouse**
Designation:	**Senior Executive Vice President**
Date of Submission:	**Oct 22, 2021**
Period of Trading:	**As per the plan mentioned in the table below**
No trading period:	From twentieth trading day before 31 March to the second trading day after the disclosure of annual financial results by Kotak Mahindra Bank Limited.
	From twentieth trading day before 30 June to the second trading day after the disclosure of financial results for first quarter by Kotak Mahindra Bank Limited.
	From twentieth trading day before 30 September to the second trading day after the disclosure of financial results for the half year by Kotak Mahindra Bank Limited.
	From twentieth trading day before 31 December to the second trading day after the disclosure of financial results for the third quarter by Kotak Mahindra Bank Limited.

Details of Trades to be executed (by the insider and / or his immediate relatives):

Particulars of person		**No. of Shares held**	**Period or Dates of Proposed Trades**			**Quantity or Value of Proposed Trades**	
						Quantity	**Value**
Name	**Relation with insider**		**From**	**To**	**Date of Trade**	**Sell**	**Sell**
Shibani Sircar Kurian	Self	16728 (at present)	May16, 2022	May20, 2022		1500	

Shibani Sircar Kurian	Self	16728 (at present)	Nov14, 2022	Nov18, 2022		2000	
Shibani Sircar Kurian	Self	16728 (at present)	Feb 6, 2023	Feb 14, 2023		3000	

I hereby undertake that:
I/my immediate relative(s) will not trade in the securities as set out in the trading plan during the cool off period of six months.
I/my immediate relatives will not trade during the no-trading periods specified herein above.
I/my immediate relatives will not trade in the securities of Kotak Mahindra Bank Ltd. for market abuse.
I hereby confirm that I am not in possession of any unpublished price sensitive information OR
~~I am in possession of certain unpublished price sensitive information at the time of formulation of this trading plan and I /my immediate relatives will not trade pursuant to this trading plan until such unpublished price sensitive information becomes generally available information~~.

Signature: Shibani Sircar Kurian

Date: 22/20/2021
Place: Mumbai

The above trading plan is approved / ~~rejected.~~
In case of rejection the reasons for rejection are as follows:

For Kotak Mahindra Asset Management Co. Ltd.

JOLLY BHATT

Digitally signed by JOLLY BHATT
DN: c=IN, o=KOTAK MAHINDRA ASSET MANAGEMENT COMPANY, ou=MANAGEMENT, postalCode=400097, st=Maharashtra, 2.5.4.20=4129b050bf23cff4db3c76eb1b2500f2dc53ebd1d5136a60171b3b2652410870, pseudonym=90790028A17226628A60D3B9565985CB15C198B2, serialNumber=A17D712889D78820DA14AC56EC9A166E3AAE1F20B9C2332C0045FEAFE4E479E0, cn=JOLLY BHATT
Date: 2021.10.26 10:59:01 +05'30'

Compliance Officer:
Date: October 26, 2021

For Kotak Mahindra Bank Limited

AVAN K DOOMASIA

Digitally signed by AVAN K DOOMASIA
Date: 2021.10.26 17:44:23 +05'30'

Compliance Officer
Date: October 26, 2021