Kotak Mahindra Bank

March 24, 2022

BSE Limited Corporate Relationship Department, Phiroze Jeejeebhoy Towers, Dalal Street, Mumbai 400 001. BSE Scrip Code: **500247**	**National Stock Exchange of India Limited** Exchange Plaza, Plot No.C/1, G Block, Bandra-Kurla Complex, Bandra (East), Mumbai 400 051. NSE Symbol: **KOTAKBANK**

Dear Sir/Madam,

Sub: Rectification in Trading Plan of Mr. Manish Mehta- Joint President, an employee of the Bank's Subsidiary, Kotak Mahindra Asset Management Co. Ltd., submitted pursuant to Regulation 5(5) of Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015

This is with reference to our letter dated January 06, 2022, with respect to submission of trading plan of Mr. Manish Mehta, Joint President, an employee of the Bank's Subsidiary, Kotak Mahindra Asset Management Co. Ltd (Letter and Trading Plan enclosed). The trading plan for the period July 11,2022 to July 10, 2023 was pertaining to sell of equity shares of Kotak Mahindra Bank Limited, held as ESOP as a part of his remuneration.

In the enclosed trading plan, the period of the trading plan was erroneously, overlapping with the one already in existence. Following is the extract of mentioned dates in the current trading plan:

Trading Plan For the period from 11-07-2022 to 10-07-2023						
Particulars of person		**Period or Dates of Proposed Trades**			**Quantity or Value of Proposed Trades**	
					Quantity	**Value**
Name	**Relation with insider**	**From**	**To**	**Date of Trade**	**Sell**	**Sell**
Manish Mehta	Self	08-08-2022	12-08-2022		3000	NA

Kotak Mahindra Bank

We request you to kindly consider the following rectified details for his trading plan:

Trading Plan For the period from 03-05-2023 to 02-05-2024						
Particulars of person		**Period or Dates of Proposed Trades**			**Quantity or Value of Proposed Trades**	
					Quantity	**Value**
Name	**Relation with insider**	**From**	**To**	**Date of Trade**	**Sell**	**Sell**
Manish Mehta	Self	07-08-2023	11-08-2023		2500	

Enclosed herewith please find the revised trading plan of Mr. Manish Mehta with the rectification of the trading period as per the aforesaid table.

Request you to kindly take the same on records.

Yours faithfully,
Kotak Mahindra Bank Limited

Avan Kayomars Doomasia
Digitally signed by Avan Kayomars Doomasia
Date: 2022.03.24 15:33:57 +05'30'

Avan Doomasia
Sr. Executive Vice President &
Company Secretary
Encl: As above

Kotak Mahindra Bank Ltd.
CIN: L65110MH1985PLC038137

Registered Office:
27 BKC, C 27, G Block,
Bandra Kurla Complex,
Bandra (E), Mumbai 400051,
Maharashtra, India.

T +91 22 61660001
www.kotak.com

ANNEXURE IX

TRADING PLAN

(For the period from May 03, 2023 to May 02, 2024)

To
The Compliance Officer,
Kotak Mahindra Asset Management Co. Ltd.

Dear Sir/Madam,

Sub: Trading Plan under Regulation 5 of the SEBI (Prohibition of Insider Trading) Regulations, 2015

In terms of provisions of Regulation 5 of the SEBI (Prohibition of Insider Trading) Regulations, 2015 and Clause 2.13 of MOPP Governing Personal Securities Transactions By Employees, And Prohibition Of Insider Trading In Securities By Employees, adopted by the Company, I, Manish Mehta, hereby give my trading plan to sell the equity shares of Kotak Mahindra Bank Limited, held as ESOP as part of my remuneration, as per details furnished hereunder:

Name of the Employee:	**Manish Mehta**
Name of the Immediate Relative	**NA**
Relation with Immediate Relative	**NA**
Designation:	**Joint President**
Date of Submission:	**February 24, 2022**
Period of Trading:	**As per the plan mentioned in the table below**
No trading period:	From twentieth trading day before 31 March to the second trading day after the disclosure of annual financial results by Kotak Mahindra Bank Limited.
	From twentieth trading day before 30 June to the second trading day after the disclosure of financial results for first quarter by Kotak Mahindra Bank Limited.
	From twentieth trading day before 30 September to the second trading day after the disclosure of financial results for the half year by Kotak Mahindra Bank Limited.
	From twentieth trading day before 31 December to the second trading day after the disclosure of financial results for the third quarter by Kotak Mahindra Bank Limited.

Details of Trades to be executed (by the insider and / or his immediate relatives):

Particulars of person		**Period or Dates of Proposed Trades**			**Quantity or Value of Proposed Trades**	
					Quantity	**Value**
Name	**Relation with insider**	**From**	**To**	**Date of Trade**	**Sell**	**Sell**
Manish Mehta	**Self**	**August 07, 2023**	**August 11, 2023**		**2500**	

I hereby undertake that:
I/my immediate relative(s) will not trade in the securities as set out in the trading plan during the cool off period of six months.
I/my immediate relatives will not trade during the no-trading periods specified herein above.
I/my immediate relatives will not trade in the securities of Kotak Mahindra Bank Ltd. for market abuse.
I hereby confirm that I am not in possession of any unpublished price sensitive information OR
~~I am in possession of certain unpublished price sensitive information at the time of formulation of this trading plan and I /my immediate relatives will not trade pursuant to this trading plan until such unpublished price sensitive information becomes generally available information.~~

Signature:

Date: February 24, 2022
Place: Mumbai

The above trading plan is approved / rejected:
In case of rejection the reasons for rejection are as follows:

For Kotak Mahindra Asset Management Co. Ltd.

Compliance Officer:
Date: March 10,2022

For Kotak Mahindra Bank Limited

Avan Kayomars Doomasia
Digitally signed by Avan Kayomars Doomasia
Date: 2022.03.24 15:44:30 +05'30'

Compliance Officer
Date:

Kotak Mahindra Bank

January 06, 2022

BSE Limited Corporate Relationship Department, Phiroze Jeejeebhoy Towers, Dalal Street, Mumbai 400 001. BSE Scrip Code: **500247**	**National Stock Exchange of India Limited** Exchange Plaza, Plot No.C/1, G Block, Bandra-Kurla Complex, Bandra (East), Mumbai 400 051. NSE Symbol: **KOTAKBANK**

Dear Sir/Madam,

Sub: Trading Plan

Ref: Regulation 5(5) of the Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015

Pursuant to Regulation 5(5) of the Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015, please find enclosed, in **Annexure IX**, the Trading Plan dated January 04, 2022, as informed to us by Mr. Manish Mehta, Joint President, an employee of Kotak Mahindra Asset Management Co. Ltd., a subsidiary company of the Bank.

This is for your information and appropriate dissemination.

Yours faithfully,
For Kotak Mahindra Bank Limited

AVAN K DOOMASIA
Digitally signed by AVAN K DOOMASIA
Date: 2022.01.06 19:19:07 +05'30'

Avan Doomasia
Senior Executive Vice President &
Company Secretary

Encl : As above

Kotak Mahindra Bank Ltd.
CIN: L65110MH1985PLC038137

Registered Office:
27 BKC, C 27, G Block,
Bandra Kurla Complex,
Bandra (E), Mumbai 400051,
Maharashtra, India.

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ANNEXURE IX

TRADING PLAN

(For the period from July 11, 2022 to July 10, 2023)

To
The Compliance Officer,
Kotak Mahindra Asset Management Co. Ltd.

Dear Sir/Madam,

Sub: Trading Plan under Regulation 5 of the SEBI (Prohibition of Insider Trading) Regulations, 2015

In terms of provisions of Regulation 5 of the SEBI (Prohibition of Insider Trading) Regulations, 2015 and Clause 2.13 of MOPP Governing Personal Securities Transactions By Employees, And Prohibition Of Insider Trading In Securities By Employees, adopted by the Company, I, Manish Mehta, hereby give my trading plan to sell the equity shares of Kotak Mahindra Bank Limited, held as ESOP as part of my remuneration, as per details furnished hereunder:

Name of the Employee:	**Manish Mehta**
Name of the Immediate Relative	**NA**
Relation with Immediate Relative	**NA**
Designation:	**Joint President**
Date of Submission:	**January 4, 2022**
Period of Trading:	**As per the plan mentioned in the table below**
No trading period:	From twentieth trading day before 31 March to the second trading day after the disclosure of annual financial results by Kotak Mahindra Bank Limited.
	From twentieth trading day before 30 June to the second trading day after the disclosure of financial results for first quarter by Kotak Mahindra Bank Limited.
	From twentieth trading day before 30 September to the second trading day after the disclosure of financial results for the half year by Kotak Mahindra Bank Limited.
	From twentieth trading day before 31 December to the second trading day after the disclosure of financial results for the third quarter by Kotak Mahindra Bank Limited.

Details of Trades to be executed (by the insider and / or his immediate relatives):

Particulars of person		**Period or Dates of Proposed Trades**			**Quantity or Value of Proposed Trades**	
					Quantity	**Value**
Name	**Relation with insider**	**From**	**To**	**Date of Trade**	**Sell**	**Sell**
Manish Mehta	**Self**	**August 08, 2022**	**August 12, 2022**		**3000**	

I hereby undertake that:
I/my immediate relative(s) will not trade in the securities as set out in the trading plan during the cool off period of six months.
I/my immediate relatives will not trade during the no-trading periods specified herein above.
I/my immediate relatives will not trade in the securities of Kotak Mahindra Bank Ltd. for market abuse.
I hereby confirm that I am not in possession of any unpublished price sensitive information OR
~~I am in possession of certain unpublished price sensitive information at the time of formulation of this trading plan and I /my immediate relatives will not trade pursuant to this trading plan until such unpublished price sensitive information becomes generally available information.~~

Signature:

Date: January 04, 2022
Place: Mumbai

The above trading plan is approved / rejected:
In case of rejection the reasons for rejection are as follows:

For Kotak Mahindra Asset Management Co. Ltd.

JOLLY BHATT

Compliance Officer:
Date:

For Kotak Mahindra Bank Limited

AVAN K DOOMASIA

Digitally signed by AVAN K DOOMASIA
Date: 2022.01.06 19:29:55 +05'30'

Compliance Officer
Date: