Kotak Mahindra Bank

April 23, 2021

The Manager Corporate Relationship, BSE Limited, 1st Floor, New Trading Ring, Rotunda Building, Phiroze Jeejeebhoy Towers, Dalal Street, Mumbai 400 001.	The Manager National Stock Exchange of India Ltd. Exchange Plaza, 5th Floor, Plot No.C/1, G Block, Bandra-Kurla Complex, Bandra (East), Mumbai 400 051.

Dear Sir/Madam,

Sub: Trading Plan

Ref: Regulation 5(5) of the Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015 ("**PIT Regulations**")

Pursuant to Regulation 5(5) of the PIT Regulations, please find attached herewith, in **Annexure IX,** the Trading Plan dated April 20, 2021, as informed to us by Mr. Anshul Saigal – Executive Vice President, an employee of the Bank's subsidiary, Kotak Mahindra Asset Management Co. Ltd.

This is for your information and appropriate dissemination.

Yours faithfully,
For Kotak Mahindra Bank Limited

AVAN K DOOMASIA
Digitally signed by AVAN K DOOMASIA
Date: 2021.04.23 18:37:52 +05'30'

Avan Doomasia
Senior Executive Vice President &
Company Secretary

Kotak Mahindra Bank Ltd.
CIN: L65110MH1985PLC038137

Registered Office:
27 BKC, C 27, G Block,
Bandra Kurla Complex,
Bandra (E), Mumbai 400051,
Maharashtra, India.

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ANNEXURE IX

TRADING PLAN

(For the period from: 25-10-2021 to: 24-10-2022)

To
The Compliance Officer,
Kotak Mahindra Asset Management Co. Ltd.

Dear Sir/Madam,

Sub: Trading Plan under Regulation 5 of the SEBI (Prohibition of Insider Trading) Regulations, 2015

In terms of provisions of Regulation 5 of the SEBI (Prohibition of Insider Trading) Regulations, 2015 and Clause 2.13 of MOPP Governing Personal Securities Transactions By Employees, And Prohibition Of Insider Trading In Securities By Employees, adopted by the Company, I **"Anshul Saigal "**, hereby give **"My Trading Plan"** to sell the equity shares of Kotak Mahindra Bank Limited, held as ESOP as part of my remuneration, as per details furnished hereunder:

Name of the Employee *	Anshul Saigal (PMS FM, KMAMC)
Name of the Immediate Relative	Anshul Saigal
Relation with Immediate Relative	Self
Designation	EVP
Date of Submission	20-04-2021
Period of Trading	**As per the plan mentioned in the table below**
	From twentieth trading day before 31 March to the second trading day after the disclosure of annual financial results by Kotak Mahindra Bank Limited.
	From twentieth trading day before 30 June to the second trading day after the disclosure of financial results for first quarter by Kotak Mahindra Bank Limited.
No trading period	From twentieth trading day before 30 September to the second trading day after the disclosure of financial results for the half year by Kotak Mahindra Bank Limited.
	From twentieth trading day before 31 December to the second trading day after the disclosure of financial results for the third quarter by Kotak Mahindra Bank Limited.

Details of Trades to be executed (by the insider and / or his immediate relatives):

Particulars of person		**Period or Dates of Proposed Trades**			**Quantity or Value of Proposed Trades**	
					Quantity	**Value**
Name	**Relation with insider**	**From**	**To**	**Date of Trade**	**Sell**	**Sell**
Anshul Saigal	Self	06-11-2021	13-11-2021		15000	NA

I hereby undertake that:
I/my immediate relative(s) will not trade in the securities as set out in the trading plan during the cool off period of six months.
I/my immediate relatives will not trade during the no-trading periods specified herein above.
I/my immediate relatives will not trade in the securities of Kotak Mahindra Bank Ltd. for market abuse.

I hereby confirm that I am not in possession of any unpublished price sensitive information

Date	20-04-2021
Place	Mumbai
Sign	**"This is a system generated copy hence signature of employee is not provided here and the employee is fully responsible for all the information provided in trading plan and has submitted request as per his/her own discrete decision"**

For Kotak Mahindra Asset Management Co. Ltd.	
Compliance Officer	Jolly bhatt (Compliance, KMAMC)
Date	20-04-2021
Status	Approved
Sign	Jolly Kamlesh Bhatt Digitally signed by Jolly Kamlesh Bhatt DN: c=IN, o=Personal, postalCode=400066, st=Maharashtra, 2.5.4.20=4129b050bf23cff4db3c76eb1b2500f2dc53ebd1d5136a60171b3b2652410870, serialNumber=a17d712889d78820da14ac56ec9a166e3aae1f20b9c2332c0045feafe4e479e0, cn=Jolly Kamlesh Bhatt Date: 2021.04.23 17:02:36 +05'30'
For Kotak Mahindra Bank Limited	
Compliance Officer	Avan Doomasia
Date	23-04-2021
Status	Approved
Sign	AVAN K DOOMASIA Digitally signed by AVAN K DOOMASIA Date: 2021.04.23 18:42:33 +05'30'
Attachments	**There are no attachments to in this item.**