Kotak Mahindra Bank

April 20, 2022

BSE Limited Corporate Relationship Department, Phiroze Jeejeebhoy Towers, Dalal Street, Mumbai 400 001. BSE Scrip Code: **500247**	**National Stock Exchange of India Limited** Exchange Plaza, Plot No.C/1, G Block, Bandra-Kurla Complex, Bandra (East), Mumbai 400 051. NSE Symbol: **KOTAKBANK**

Dear Sir/Madam,

Sub: Trading Plan

Ref: Regulation 5(5) of the Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015

Pursuant to Regulation 5(5) of the Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015, please find enclosed, in **Annexure IX,** the Trading Plan dated April 19, 2022, as informed to us by Mr. Harsha Upadhyaya, President and CIO Equity, an employee of Kotak Mahindra Asset Management Co. Ltd., a subsidiary company of the Bank.

This is for your information and appropriate dissemination.

Yours faithfully,
For Kotak Mahindra Bank Limited

Avan Kayomars Doomasia

Digitally signed by Avan Kayomars Doomasia
Date: 2022.04.20 19:07:52 +05'30'

Avan Doomasia
Senior Executive Vice President &
Company Secretary

Encl : As above

ANNEXURE IX

TRADING PLAN

(For the period from: 24-10-2022 to: 23-10-2023)

To
The Compliance Officer,
Kotak Mahindra Asset Management Co. Ltd.

Dear Sir/Madam,

Sub: Trading Plan under Regulation 5 of the SEBI (Prohibition of Insider Trading) Regulations, 2015

In terms of provisions of Regulation 5 of the SEBI (Prohibition of Insider Trading) Regulations, 2015 and Clause 2.13 of MOPP Governing Personal Securities Transactions By Employees, And Prohibition Of Insider Trading In Securities By Employees, adopted by the Company, I **"Harsha Upadhyaya "**, hereby give **"My Trading Plan"** to sell the equity shares of Kotak Mahindra Bank Limited, held as ESOP as part of my remuneration, as per details furnished hereunder:

Name of the Employee *	Harsha Upadhyaya (FUNDMGMT EQTY KMAMC)
Name of the Immediate Relative	na
Relation with Immediate Relative	na
Designation	President, CIO Equity
Date of Submission	19-04-2022
Period of Trading	**As per the plan mentioned in the table below**
No trading period	From twentieth trading day before 31 March to the second trading day after the disclosure of annual financial results by Kotak Mahindra Bank Limited.
	From twentieth trading day before 30 June to the second trading day after the disclosure of financial results for first quarter by Kotak Mahindra Bank Limited.
	From twentieth trading day before 30 September to the second trading day after the disclosure of financial results for the half year by Kotak Mahindra Bank Limited.
	From twentieth trading day before 31 December to the second trading day after the disclosure of financial results for the third quarter by Kotak Mahindra Bank Limited.

Details of Trades to be executed (by the insider and / or his immediate relatives):

Particulars of person		**Period or Dates of Proposed Trades**			**Quantity or Value of Proposed Trades**	
					Quantity	**Value**
Name	**Relation with insider**	**From**	**To**	**Date of Trade**	**Sell**	**Sell**
Harsha Upadhyaya	Self	07-11-2022	11-11-2022		2000	NA
Harsha Upadhyaya	Self	14-11-2022	18-11-2022		2000	NA
Harsha Upadhyaya	Self	21-11-2022	25-11-2022		2000	NA
Harsha Upadhyaya	Self	06-02-2023	10-02-2023		3000	NA
Harsha Upadhyaya	Self	13-02-2023	17-02-2023		3000	NA
Harsha Upadhyaya	Self	20-02-2023	24-02-2023		3000	NA
Harsha Upadhyaya	Self	08-05-2023	12-05-2023		4000	NA
Harsha Upadhyaya	Self	15-05-2023	19-05-2023		4000	NA
Harsha Upadhyaya	Self	22-05-2023	26-05-2023		4000	NA

I hereby undertake that:
I/my immediate relative(s) will not trade in the securities as set out in the trading plan during the cool off period of six months.
I/my immediate relatives will not trade during the no-trading periods specified herein above.
I/my immediate relatives will not trade in the securities of Kotak Mahindra Bank Ltd. for market abuse.

I hereby confirm that I am not in possession of any unpublished price sensitive information

Date	19-04-2022
Place	Mumbai
Sign	**"This is a system generated copy hence signature of employee is not provided here and the employee is fully responsible for all the information provided in trading plan and has submitted request as per his/her own discrete decision"**

For Kotak Mahindra Asset Management Co. Ltd.	
Compliance Officer	☐ Jolly bhatt (Compliance, KMAMC)
Date	20-04-2022
Status	Approved
Sign	JOLLY BHATT Digitally signed by JOLLY BHATT DN: c=IN, o=KOTAK MAHINDRA ASSET MANAGEMENT COMPANY, ou=MANAGEMENT, postalCode=400097, st=Maharashtra, 2.5.4.20=4129b050bf23cff4db3c76eb1b2500f2dc53ebd1d5136a60171b3b26524 10870, pseudonym=90790028A17226628A60D3B9565985CB15C198B2, serialNumber=A17D712889D78820DA14AC56EC9A166E3AAE1F20B9C2332C004 5FEAFE4E479E0, cn=JOLLY BHATT Date: 2022.04.20 18:48:01 +05'30'

For Kotak Mahindra Bank Limited	
Compliance Officer	☐ Avan Doomasia (Corporate, KMBL)
Date	20-04-2022
Status	Approved
Sign	Avan Kayomars Doomasia Digitally signed by Avan Kayomars Doomasia Date: 2022.04.20 19:08:17 +05'30'
Attachments	× Trading PlanHarsha Upadhyaya October 24-2022 to October 23-2023.pdf