Kotak Mahindra Bank

November 17, 2021

BSE Limited Corporate Relationship Department, Phiroze Jeejeebhoy Towers, Dalal Street, Mumbai 400 001. BSE Scrip Code: **500247**	**National Stock Exchange of India Limited** Exchange Plaza, Plot No.C/1, G Block, Bandra-Kurla Complex, Bandra (East), Mumbai 400 051. NSE Symbol: **KOTAKBANK**

Dear Sir/Madam,

Sub: Trading Plan

Ref: Regulation 5(5) of the Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015

Pursuant to Regulation 5(5) of the Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015, please find enclosed, in **Annexure IX,** the Trading Plan dated November 17, 2021, as informed to us by Mr.Biraja Tripathy– Senior Vice President Products, an employee of Kotak Mahindra Asset Management Co. Ltd., a subsidiary company of the Bank.

This is for your information and appropriate dissemination.

Yours faithfully,
For Kotak Mahindra Bank Limited

AVAN K DOOMASIA
Digitally signed by AVAN K DOOMASIA
Date: 2021.11.17 20:48:09 +05'30'

Avan Doomasia
Senior Executive Vice President &
Company Secretary

Encl : As above

Kotak Mahindra Bank Ltd.
CIN: L65110MH1985PLC038137

Registered Office:
27 BKC, C 27, G Block,
Bandra Kurla Complex,
Bandra (E), Mumbai 400051,
Maharashtra, India.

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ANNEXURE IX

TRADING PLAN

(For the period from: 30-05-2022 to: 30-05-2023)

To
The Compliance Officer,
Kotak Mahindra Asset Management Co. Ltd.

Dear Sir/Madam,

Sub: Trading Plan under Regulation 5 of the SEBI (Prohibition of Insider Trading) Regulations, 2015

In terms of provisions of Regulation 5 of the SEBI (Prohibition of Insider Trading) Regulations, 2015 and Clause 2.13 of MOPP Governing Personal Securities Transactions By Employees, And Prohibition Of Insider Trading In Securities By Employees, adopted by the Company, I **"Biraja Tripathy "**, hereby give **"My Trading Plan"** to sell the equity shares of Kotak Mahindra Bank Limited, held as ESOP as part of my remuneration, as per details furnished hereunder:

Name of the Employee *	Biraja Tripathy (Product KMAMC)
Name of the Immediate Relative	Swati Tripathy
Relation with Immediate Relative	Spouse
Designation	Senior Vice President Products
Date of Submission	17-11-2021
Period of Trading	**As per the plan mentioned in the table below**
No trading period	From twentieth trading day before 31 March to the second trading day after the disclosure of annual financial results by Kotak Mahindra Bank Limited.
	From twentieth trading day before 30 June to the second trading day after the disclosure of financial results for first quarter by Kotak Mahindra Bank Limited.
	From twentieth trading day before 30 September to the second trading day after the disclosure of financial results for the half year by Kotak Mahindra Bank Limited.
	From twentieth trading day before 31 December to the second trading day after the disclosure of financial results for the third quarter by Kotak Mahindra Bank Limited.

Details of Trades to be executed (by the insider and / or his immediate relatives):

Particulars of person		**Period or Dates of Proposed Trades**			**Quantity or Value of Proposed Trades**	
					Quantity	**Value**
Name	**Relation with insider**	**From**	**To**	**Date of Trade**	**Sell**	**Sell**
Biraja Tripathy	Self	30-05-2022	31-05-2022		600	NA
Biraja Tripathy	Self	21-11-2022	25-11-2022		300	NA

I hereby undertake that:
I/my immediate relative(s) will not trade in the securities as set out in the trading plan during the cool off period of six months.
I/my immediate relatives will not trade during the no-trading periods specified herein above.
I/my immediate relatives will not trade in the securities of Kotak Mahindra Bank Ltd. for market abuse.

I hereby confirm that I am not in possession of any unpublished price sensitive information

Date	17-11-2021
Place	Mumbai
Sign	**"This is a system generated copy hence signature of employee is not provided here and the employee is fully responsible for all the information provided in trading plan and has submitted request as per his/her own discrete decision"**

For Kotak Mahindra Asset Management Co. Ltd.	
Compliance Officer	Jolly bhatt (Compliance, KMAMC)
Date	17-11-2021
Status	Approved
Sign	JOLLY BHATT Digitally signed by JOLLY BHATT DN: c=IN, o=KOTAK MAHINDRA ASSET MANAGEMENT COMPANY, ou=MANAGEMENT, postalCode=400097, st=Maharashtra, 2.5.4.20=4129b050bf23cff4db3c76eb1b2500f2dc53ebd1d5136a60171b3b2652410870, pseudonym=90790028A17226628A60D3B9565985CB15C198B2, serialNumber=A17D712889D78820DA14AC56EC9A166E3AAE1F2089C2332C0045FEAFE4E479E0, cn=JOLLY BHATT Date: 2021.11.17 18:14:33 +05'30'
For Kotak Mahindra Bank Limited	
Compliance Officer	Avan Doomasia (Corporate KMBL)
Date	17-11-2021
Status	Approved
Sign	AVAN K DOOMASIA Digitally signed by AVAN K DOOMASIA Date: 2021.11.17 20:48:35 +05'30'
Attachments	