Kotak Mahindra Bank

January 06, 2022

| | |
|---|---|
| **BSE Limited**<br>Corporate Relationship Department,<br>Phiroze Jeejeebhoy Towers,<br>Dalal Street,<br>Mumbai 400 001.<br><br>BSE Scrip Code: **500247** | **National Stock Exchange of India Limited**<br>Exchange Plaza, Plot No.C/1, G Block,<br>Bandra-Kurla Complex,<br>Bandra (East),<br>Mumbai 400 051.<br><br>NSE Symbol: **KOTAKBANK** |

Dear Sir/Madam,

**Sub:** Trading Plan

**Ref:** Regulation 5(5) of the Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015

Pursuant to Regulation 5(5) of the Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015, please find enclosed, in **Annexure IX,** the Trading Plan dated January 04, 2022, as informed to us by Mr. Manish Mehta, Joint President, an employee of Kotak Mahindra Asset Management Co. Ltd., a subsidiary company of the Bank.

This is for your information and appropriate dissemination.

Yours faithfully,
**For Kotak Mahindra Bank Limited**

AVAN K DOOMASIA
Digitally signed by AVAN K DOOMASIA
Date: 2022.01.06 19:19:07 +05'30'

**Avan Doomasia**
**Senior Executive Vice President &**
**Company Secretary**

**Encl : As above**

ANNEXURE IX

**TRADING PLAN**

(For the period from July 11, 2022 to July 10, 2023)

To
The Compliance Officer,
Kotak Mahindra Asset Management Co. Ltd.

Dear Sir/Madam,

**Sub: Trading Plan under Regulation 5 of the SEBI (Prohibition of Insider Trading) Regulations, 2015**

In terms of provisions of Regulation 5 of the SEBI (Prohibition of Insider Trading) Regulations, 2015 and Clause 2.13 of MOPP Governing Personal Securities Transactions By Employees, And Prohibition Of Insider Trading In Securities By Employees, adopted by the Company, I, Manish Mehta, hereby give my trading plan to sell the equity shares of Kotak Mahindra Bank Limited, held as ESOP as part of my remuneration, as per details furnished hereunder:

| | |
|---|---|
| Name of the Employee: | **Manish Mehta** |
| Name of the Immediate Relative | **NA** |
| Relation with Immediate Relative | **NA** |
| Designation: | **Joint President** |
| Date of Submission: | **January 4, 2022** |
| Period of Trading: | **As per the plan mentioned in the table below** |
| No trading period: | From twentieth trading day before 31 March to the second trading day after the disclosure of annual financial results by Kotak Mahindra Bank Limited. |
| | From twentieth trading day before 30 June to the second trading day after the disclosure of financial results for first quarter by Kotak Mahindra Bank Limited. |
| | From twentieth trading day before 30 September to the second trading day after the disclosure of financial results for the half year by Kotak Mahindra Bank Limited. |
| | From twentieth trading day before 31 December to the second trading day after the disclosure of financial results for the third quarter by Kotak Mahindra Bank Limited. |

Details of Trades to be executed (by the insider and / or his immediate relatives):

| **Particulars of person** | | **Period or Dates of Proposed Trades** | | | **Quantity or Value of Proposed Trades** | |
|---|---|---|---|---|---|---|
| | | | | | **Quantity** | **Value** |
| **Name** | **Relation with insider** | **From** | **To** | **Date of Trade** | **Sell** | **Sell** |
| **Manish Mehta** | **Self** | **August 08, 2022** | **August 12, 2022** | | **3000** | |

**I hereby undertake that:**

I/my immediate relative(s) will not trade in the securities as set out in the trading plan during the cool off period of six months.

I/my immediate relatives will not trade during the no-trading periods specified herein above.

I/my immediate relatives will not trade in the securities of Kotak Mahindra Bank Ltd. for market abuse.

I hereby confirm that I am not in possession of any unpublished price sensitive information OR

~~I am in possession of certain unpublished price sensitive information at the time of formulation of this trading plan and I /my immediate relatives will not trade pursuant to this trading plan until such unpublished price sensitive information becomes generally available information.~~

Signature:

Date: January 04, 2022
Place: Mumbai

The above trading plan is approved / rejected:
In case of rejection the reasons for rejection are as follows:

**For Kotak Mahindra Asset Management Co. Ltd.**

JOLLY BHATT

Digitally signed by JOLLY BHATT
DN: c=IN, o=KOTAK MAHINDRA ASSET MANAGEMENT COMPANY, ou=MANAGEMENT, postalCode=400097, st=Maharashtra, 2.5.4.20=4129b050fdf23cff4db3c76eb1b2500f2dc53abd1d5136a6017163b26524109870, pseudonym=9079002BA17226628A60D3B9565985CB15C19882, serialNumber=A17D712889D78820DA14AC56EC9A166E3AAE1F20B9C2332C0045FEAFE4E4796D, cn=JOLLY BHATT
Date: 2022.01.06 17:12:10 +05'30'

Compliance Officer:
Date:

**For Kotak Mahindra Bank Limited**

AVAN K DOOMASIA

Digitally signed by AVAN K DOOMASIA
Date: 2022.01.06 19:29:55 +05'30'

Compliance Officer
Date: