Kotak Mahindra Bank

May 5, 2022

BSE Limited Corporate Relationship Department, Phiroze Jeejeebhoy Towers, Dalal Street, Mumbai 400 001 BSE Scrip Code: **500247**	**National Stock Exchange of India Limited** Exchange Plaza, Plot No.C/1, G Block, Bandra-Kurla Complex, Bandra (East), Mumbai 400 051 NSE Symbol: **KOTAKBANK**

Dear Sir/Madam,

Sub: Transfer of unclaimed dividend and the eligible Equity Shares of the Bank to the Investor Education and Protection Fund ("IEPF").

Pursuant to the provisions of the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015 ("Listing Regulations"), we enclose herewith:

i. A copy of notice sent to the concerned shareholders of the Bank on May 4, 2022, and
ii. Copies of the newspaper clippings published in Business Standard, an English daily and in Navshakti, a Marathi daily on May 5, 2022.

with respect to the unclaimed dividend and the eligible Equity Shares of the Bank due for transfer to the Investor Education and Protection Fund ("IEPF") Authority in the financial year 2022-23.

The above information is also being hosted on the Bank's website www.kotak.com in terms of Listing Regulations.

We request you to kindly take above information on record and disseminate the same to all concerned.

Thanking you,

Yours faithfully,
For Kotak Mahindra Bank Limited

Avan Kayomars Doomasia
Digitally signed by Avan Kayomars Doomasia
Date: 2022.05.05 18:05:27 +05'30'

Avan Doomasia
Senior Executive Vice President &
Company Secretary

Encl.: As above

Sr.No. : 1

To Open Please Tear Here

To Open Please Tear Here

अंतर्देशीय पत्र कार्ड
INLAND LETTER CARD

To,

To Open Please Tear Here

To Open Please Tear Here

If undelivered please return to:

KFin Technologies Limited
Unit:KOTAK MAHINDRA BANK LIMITED
Selenium Tower B, Plot Nos. 31 & 32, Financial District
Nanakramguda, Serilingampally Mandal,
Hyderabad - 500032, India
Phone No.040-67162222, Fax No.040-23420814
E-mail: einward.ris@kfintech.com

To Open Please Tear Here

Folio No./Client ID No. :
Name of Shareholder :

Dividend	Warrant No.	Amount Rs.	Shares	Date of Pyment

KOTAK MAHINDRA BANK LIMITED

CIN- L65110MH1985PLC038137
Registered Office: 27BKC, C 27, G Block, BandraKurla Complex, Bandra (E), Mumbai - 400 051.
Tel: +91 22 61660001, Website:www.kotak.com,
Fax: +91 22 6713 2403,E-mail: KotakBank.Secretarial@kotak.com

Dear Member,

Sub: Transfer of unclaimed/unencashed dividend and the Eligible Shares to Investor Education and Protection Fund.

As per our records, the dividend amounts mentioned overleaf, were issued / remitted in the last seven years and have remained unclaimed / enencashed by you.

Pursuant to Section 124(5) of the Companies Act, 2013, every company is required to transfer the amount of dividend, which remains unpaid/unencashed for a period of seven years from the date of its transfer to the unclaimed dividend account, to Investor Education and Protection Fund ("IEPF"), established by the Central Government. Accordingly, the dividend declared for the Financial Year 2014-15, which remains unclaimed for a period of seven years will be credited to the IEPF on or before the prescribed time period.

Further, pursuant to Section 124(6) of the Companies Act, 2013 and the Investor Education and Protection Fund Authority (Accounting, Audit, Transfer and Refund) Rules, 2016 ("IEPF Rules") as amended from time to time, all shares in respect of which the dividend has not been paid or claimed for seven consecutive years or more, shall be transferred by the company in the name of IEPF.

Keeping in view the above, we request you to claim the unclaimed / unencashed dividend amounts due to you, by sending us at our address given overleaf, a request letter along with the unencashed dividend warrant(s), if any, lying with you or alternatively by returning the enclosed Letter-cum-Undertaking, duly signed by all holders, on or before July 29, 2022 to enable us to remit the unclaimed / enencashed dividend electronically.

In case your bank account details are not registered or have undergone change, please register / update the bank account details in Form ISR-1 (available at: https://www.kotak.com/content/dam/Kotak/investor-relation/kyc-and-nomination/2_Form-ISR-1.pdf) and provide bank statement with details of bank name, branch, account number and IFS Code or copy of cheque leaf. You may additionally submit a copy of your CML for the bank account details.

If we do not hear anything from you on this intimation letter before the above mentioned date, we shall, in order to comply with the requirements of the said IEPF Rules, transfer the dividend as well as the Eligible Shares to IEPF, as per procedure stipulated in the IEPF Rules, without any further notice. Please note that no claim shall lie against Kotak Mahindra Bank Limited ("Bank") in respect of unclaimed dividend amount and shares transferred to IEPF pursuant to the said IEPF Rules.

However, you can claim, both the unclaimed/unencashed dividend amount and the shares transferred to IEPF, by making an online application to IEPF Authority in Form Web-Form IEPF-5 and sending the physical copy of the same duly signed (as per registered specimen signature) along with requisite documents enumerated/uploaded in the said Web-Form, to the Nodal Officer at the Bank's registered office mentioned hereinabove or to KFin Technologies Limited (Bank's Registrar and Transfer Agent), for verification of your claim. We shall send an online verification report to IEPF Authority for refund of the unclaimed/unencashed dividend(s) and/or transfer of shares back to the credit of the shareholder. You may refer to the IEPF Rules available on the website of the MCA at www.iepf.gov.in.

We wish to draw your attention to the Regulation 40 of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, pursuant to which physical transfer of shares has been discontinued. We therefore request you to demat your existing holdings. Further, vide Circular No. EBI/HO/MIRSD/MIRSD_RTAMB/P/CIR/2021/655 dated November 3, 2021, the Securities and Exchange Board of India ("SEBI") has, *inter alia*, mandated:

a) furnishing of details of PAN, postal address, email address, mobile number, bank account details and nomination by holders of physical securities;

b) processing of any service request in respect of physical securities only upon registration of the details of PAN, bank account and nomination; and

c) linking of PAN with Aadhaar number to avoid freezing of folio.

In case your folio needs to be updated with the PAN / complete bank account details, Aadhaar details, etc., pleased update the same so that the investments held by you will be fully protected with proper KYC compliance..

Please feel free to contact the Bank / KFin Technologies Limited in case you have any queries.

Yours faithfully,
For **Kotak Mahindra Bank Limited**

Sd/-
Avan Doomasia
Company Secretary

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Appended below is the undertaking. (Return this letter in full alongwith Cancelled Cheque, Photocopies of Pan Card and Address proof. Please also ensure to update your latest bank account details).

To
KFin Technologies Limited
Unit: KOTAK MAHINDRA BANK LIMITED
Selenium Tower B, Plot No.31-32, Gachibowli, Financial District, Nanakramguda,Hyderabad 500 032
Tel : 040-6716 2222; Fax : 040-23001153
E-mail: einward.ris@Kfintech.com

SUB : Unclaimed/unencashed Dividend for the Financial Years from 2014-15 to 2020-21

Dividend Warrant No.	Net Amount(s) Rs.	Date of Payment(s)	Last Date to Claim
As per Overleaf			

I/We have not encashed the above Dividend Warrant(s) / the above Dividend Warrant(s) appear(s) to have been lost/misplaced. I/We therefore claim the above given Dividend, and in this regard, hereby undertake to indemnify Kotak Mahindra Bank Limited ("Bank") against all costs, expenses and all losses or damages, which may be incurred by the Bank in consequence of or by any reason of the Bank remitting me/us the Dividend amount(s) for the Financial Year(s) from 2014-2015 to 2020-2021, as aforesaid. My/Our bank account details where the aforesaid Dividend may be remitted electronically, are provided with this Undertaking / attached request letter.

Date:

	Name	Signature of Shareholder	Email ID
1.	_____ (Sole/First Holder)	_____	_____
2	_____ (Second Holder)	_____	_____
3.	_____ (Third Holder)	_____	_____

Business Standard MUMBAI | THURSDAY, 5 MAY 2022



Kotak Mahindra Bank Limited

CIN - L65110MH1985PLC038137

Registered Office: 27BKC, C 27, G Block, Bandra Kurla Complex, Bandra (E), Mumbai - 400 051
Tel: +91-22-6166 0001, Fax: +91-22-6713 2403
Website: **www.kotak.com** Email: **KotakBank.Secretarial@kotak.com**

Notice

Transfer of Equity Shares of the Bank to Investor Education and Protection Fund

NOTICE is hereby given to the concerned shareholders of Kotak Mahindra Bank Limited ("Bank"), pursuant to the provisions of Section 124 (6) of the Companies Act, 2013 and Rule 6 of the Investor Education and Protection Fund Authority (Accounting, Audit, Transfer and Refund) Rules, 2016 ("IEPF Rules"), as amended from time to time.

The IEPF Rules, *inter alia*, provide that all shares in respect of which dividend has not been paid or claimed for seven consecutive years or more, shall be transferred by the company in the name of Investor Education and Protection Fund ("IEPF") Authority.

Adhering to the various requirements set out in the IEPF Rules, the Bank has communicated individually to the concerned shareholders whose equity shares are liable to be transferred to IEPF Authority in the year 2022-23, under the said IEPF Rules for taking appropriate action.

The Bank has also uploaded the details of such shareholders and the shares due for transfer to IEPF Authority on its website at **www.kotak.com**. Shareholders are requested to refer to the web-link **http://www.kotak.com/en/investor-relations/investor-information/investor-info.html** to verify the details of unpaid / unencashed dividends and the equity shares liable to be transferred to the IEPF Authority.

The shareholders are advised to claim such dividend(s) by July 28, 2022.

Shareholders may note that both the unclaimed dividend and the equity shares transferred to IEPF Authority, can be claimed back by them from the IEPF Authority, after following the procedure prescribed by the IEPF Rules.

The shareholders may further note that the details uploaded by the Bank on its website should be regarded and shall be deemed adequate notice in respect of issue of the new share certificate(s) by the Bank, for the purpose of transfer of shares held in physical form to the IEPF Authority, pursuant to the IEPF Rules.

In case a shareholder does not claim the unencashed dividend(s) by July 28, 2022, the Bank shall, with a view to complying with the requirements set out in the IEPF Rules, initiate necessary action for transfer of equity shares (whether held in physical or demat form) to IEPF Authority as per the procedure prescribed in this regard.

In case the shareholders have any queries on the subject matter or on IEPF Rules, they may contact the Bank's Registrar and Transfer Agents at KFin Technologies Limited, Ms. Shobha Anand, Selenium Tower B, Plot 31-32, Gachibowli, Financial District, Nanakramguda, Hyderabad – 500 032. Tel.: +91-040-67162222, Fax: +91-040-23001153; Toll Free No.: 1800-345-4001; Email: **einward.ris@kfintech.com** or the Secretarial Department, Kotak Mahindra Bank Limited, 27BKC, C 27, G Block, Bandra Kurla Complex, Bandra (E), Mumbai – 400 051. Tel: +91-22-6166615; **Email: Investor.grievances@kotak.com**

For **KOTAK MAHINDRA BANK LIMITED**

Sd/-
Avan Doomasia
Senior Executive Vice President &
Company Secretary

Mumbai
May 04, 2022

कोटक महिन्द्र बँक लिमिटेड

सीआयएन – L65110MH1985PLC038137
नोंदणीकृत कार्यालय : २७ बीकेसी, सी २७, जी ब्लॉक, बांद्रा-कुर्ला कॉम्प्लेक्स, बांद्रा (पूर्व), मुंबई – ४०० ०५१
दूरध्वनी: +९१-२२-६१६६०००१ फॅक्स: +९१-२२-६७१३२४०३
वेब साईट: www.kotak.com ईमेल: KotakBank.Secretarial@kotak.com

सूचना

बँकेच्या समभागांचे इन्व्हेस्टर एज्युकेशन अँण्ड प्रोटेक्शन फंडमध्ये हस्तांतरण

कंपनी कायदा, २०१३ चे कलम १२४(६) आणि (प्रसंगोपात दुरुस्त करण्यात आलेला) इन्व्हेस्टर एज्युकेशन अँण्ड प्रोटेक्शन फंड ऑथोरीटी (अकाऊंटींग, ऑडीट, ट्रान्सफर आणि रिफंड) रुल्स, २०१६ ("आयइपीएफ रुल्स") चा रुल ६ यांच्या तरतुदींच्या अनुसार कोटक महिन्द्र बँक लिमिटेडच्या ("बँक") संबंधित समभागधारकांना याद्वारे सूचित करण्यात येत आहे.

इतर अनेक बाबींबरोबरच, आयइपीएफ रुल्स अशी देखील तरतूद करतात की सलग सात वर्षे किंवा त्याहून अधिक काळ ज्यांच्यासाठी लाभांशाचे वाटप करण्यात आलेले नाही किंवा ज्यांच्यासाठी दावे करण्यात आलेले नाहीत असे सर्व समभाग इन्व्हेस्टर एज्युकेशन अँण्ड प्रोटेक्शन फंड ("आयइपीएफ रुल्स") ऑथोरीटी यांच्या नावे कंपनीकडून हस्तांतरण करण्यात येतील.

आयइपीएफ रुल्समध्ये नमूद केलेल्या विविध आवश्यकतांचे पालन करीत योग्य ती कृती करण्यासाठी कथित आयईपीएफ रुल्स अन्वये वर्ष २०२२-२३ मध्ये ज्यांच्या समभागांचे आयइपीएफ ऑथोरीटीकडे हस्तांतरण करण्यात यावयाचे आहेत अशा संबंधित समभागधारकांबरोबर बँकेने स्वतंत्रपणे संपर्क साधला आहे.

याशिवाय बँकेने आयइपीएफ ऑथोरीटीकडे हस्तांतरण होणार असणारे समभाग आणि त्यांच्याशी संबंधित समभागधारक यांचे तपशील आपल्या वेबसाईट **www.kotak.com** वर अपलोड केलेले आहेत. याटप न झालेल्या/पैशात रुपांतरीत न झालेल्या लाभांशांचे तपशील आणि आयइपीएफ ऑथोरीटीकडे हस्तांतरण होण्यास योग्य असणारे समभाग यांच्या माहितीची पडताळणी करण्यासाठी समभागधारकांनी वेबलिंक **http://www.kotak.com/en/investor-relations/investor-information/investor-info.html** पहावी, अशी विनंती समभागधारकांना करण्यात येत आहे.

भागधारकांनी अशा लाभांशासाठी २८ जुलै २०२२ पर्यंत दावा करावा असे सुचविण्यात येत आहे.

समभागधारकांनी नोंद घ्यावी की दावा न करण्यात आलेले लाभांश आणि आयइपीएफ ऑथोरीटीकडे हस्तांतरण करण्यात यावयाचे समभाग या दोन्हींसाठी आयइपीएफ रुल्समध्ये नमूद केलेल्या कार्यपध्दतीचे पालन केल्यानंतर आयइपीएफ ऑथोरीटीकडे पुन्हा एकदा दावा करता येऊ शकेल.

समभागधारकांनी यापुढे अशीही नोंद घ्यावी की आयइपीएफ रुल्सच्या अनुसार छापील स्वरुपात धारण असणाऱ्या समभागांचे आयइपीएफ ऑथोरीटीकडे हस्तांतरण करण्याच्या दृष्टीने बँकेकडून नवीन समभाग प्रमाणपत्रे सादर करण्याबाबत बँकेने आपल्या वेबसाईटवर अपलोड केलेली सर्व माहिती ही योग्य ती पूर्वसूचना समजण्यात येईल आणि ती पुरेशी असल्याचे गृहीत धरण्यात येईल.

जर समभाग धारकाने पैसे देण्यात न आलेल्या लाभांशासाठी २८ जुलै २०२२ पर्यंत दावा केला नाही तर, आयइपीएफ रूल्समध्ये नमूद केलेल्या आवश्यकतांची पूर्तता करण्याच्या दृष्टीने, बँक ह्या संदर्भात नमूद केलेल्या कार्यपध्दतीनुसार (छापील स्वरुपात किंवा डिमॅट स्वरुपात, अशी कोणतीही धारणा असलेल्या) समभागांचे आयइपीएफ ऑथोरीटीकडे हस्तांतरण करण्यासाठी आवश्यक ती सर्व कारवाई सुरु करील.

संबंधित विषय अथवा आयइपीएफ रुल्स यांच्याविषयी कोणत्याही प्रकारच्या शंका असणाऱ्या भागधारकांनी बँकेचे रजिस्ट्रार अँण्ड ट्रान्सफर एजंट्स, कैफिन टेक्नॉलॉजी लिमिटेड येथील सुश्री. शोभा आनंद, सेलेनियम टॉवर बी, प्लॉट ३१-३२, गाचीबोवली, फायनान्शियल डिस्ट्रिक्ट, नानकरामगुडा, हैद्राबाद ५०० ०३२. दूरध्वनी: +91-040-67162222 फॅक्स: +91-040-23001153; विनामूल्य क्र.: 1800-345-4001; इ-मेल: **einward.ris@kfintech.com** यांच्याशी किंवा सेक्रेटरीअल डिपार्टमेंट, कोटक महिन्द्र बँक लिमिटेड, २७ बीकेसी, सी २७, जी ब्लॉक, बांद्रा-कुर्ला कॉम्प्लेक्स, बांद्रा (पूर्व), मुंबई – ४०० ०५१, दूरध्वनी : +91-22-6166615 इ-मेल: **investor.grievances@kotak.com** यांच्याशी संपर्क साधावा.

कोटक महिन्द्र बँक लिमिटेड करिता

सही/-
आवान डुमासिया
सिनियर एक्झ्युक्युटिव्ह व्हॉइस प्रेसिडेन्ट
अँण्ड कंपनी सेक्रेटरी

मुंबई
मे ०४, २०२२