Kotak Mahindra Bank

17th January 2020

The Manager Corporate Relationship, BSE Limited, 1st Floor, New Trading Ting, Rotunda Building, Phiroze Jeejeebhoy Towers, Dalal Street, Mumbai 400 001 **Security Code: 500247**	The Manager National Stock Exchange of India Ltd. Exchange Plaza, 5th Floor, Plot No. C/1, G Block, Bandra Kurla Complex, Bandra (East) Mumbai – 400 051 **Security Code: KOTAKBANK**

Dear Sir/Madam,

Sub: Rectification in Trading Plan of Mr. Abhishek Bisen, Sr. Vice President, an employee of the Bank's Subsidiary, Kotak Mahindra Asset Management Co. Ltd., submitted pursuant to Regulation 5(5) of Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015

This is with reference to our letter dated July 24, 2019, with respect to submission of trading plan of Mr. Abhishek Bisen, Sr. Vice President, an employee of the Bank's Subsidiary, Kotak Mahindra Asset Management Co. Ltd (Letter and Trading Plan enclosed). The trading plan was pertaining to sell of equity shares of Kotak Mahindra Bank Limited, held as ESOP as a part of his remuneration.

We wish to inform you that the said employee in his trading plan had erroneously sought approval for two trading dates in the month of December 2020 which falls in the No trading period. Hence, the trading dates are revised to November 2020.

Accordingly, we request to kindly consider the following rectified dates for his trading plan:

Particulars of person		Original Period or Dates of Proposed Trades			Revised Period or Dates of Proposed Trades			Quantity or Value of Proposed Trades	
								Quantity	Value
Name	Relation with insider	From	To	Date of Trade	From	To	Date of Trade	Sell	Sell
Abhishek Bisen	Self	01/12/2020	06/12/2020		05/11/2020	11/11/2020		1200	
Abhishek Bisen	Self	20/12/2020	26/12/2020		20/11/2020	26/11/2020		1300	

Request you to kindly take the same on record.

Yours faithfully,

Kotak Mahindra Bank Limited

B.R. Chandarana

Bina Chandarana
Company Secretary &
Sr. Executive Vice President

Encl: As above

Kotak Mahindra Bank Ltd.
CIN: L65110MH1985PLC038137

Registered Office:
27 BKC, C 27, G Block,
Bandra Kurla Complex,
Bandra (E), Mumbai 400051,
Maharashtra, India.

T +91 22 61660000
www.kotak.com

Kotak Mahindra Bank

24th July 2019

The Manager Corporate Relationship, BSE Limited, 1st Floor, New Trading Ring, Rotunda Building, Phiroze Jeejeebhoy Towers, Dalal Street, Mumbai 400 001 **Security Code: 500247**	The Manager National Stock Exchange of India Ltd. Exchange Plaza, 5th Floor, Plot No.C/1, G Block, Bandra-Kurla Complex, Bandra (East), Mumbai 400 051 **Security Code: KOTAKBANK**

Dear Sir/Madam,

Sub: Disclosure under Regulation 5(5) of the Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015 ("**PIT Regulations**")

Pursuant to Regulation 5(5) of the PIT Regulations, please find enclosed herewith in **Annexure A** the trading plan dated 18th July 2019 as informed to us by Mr. Abhishek Bisen, Sr. Vice President, an employee of the Bank's subsidiary, Kotak Mahindra Asset Management Co. Ltd.

This is for your information and records.

Yours faithfully,
Kotak Mahindra Bank Limited

Bina Chandarana
Company Secretary &
Sr. Executive Vice President

Encl: As above

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ANNEXURE - A

ANNEXURE IX

TRADING PLAN

(For the period from 01/02/2020 to 31/01/2021)

To
The Compliance Officer,
Kotak Mahindra Asset Management Co. Ltd.

Dear Sir/Madam,

Sub: Trading Plan under Regulation 5 of the SEBI (Prohibition of Insider Trading) Regulations, 2015

In terms of provisions of Regulation 5 of the SEBI (Prohibition of Insider Trading) Regulations, 2015 and Clause 2.13 of MOPP Governing Personal Securities Transactions By Employees, And Prohibition Of Insider Trading In Securities By Employees, adopted by the Company, I, Abhishek Bisen, hereby give my trading plan / ~~trading plan of my immediate relatives~~ to sell the equity shares of Kotak Mahindra Bank Limited, held as ESOP as part of my remuneration, as per details furnished hereunder:

Name of the Employee:	**Abhishek Bisen**
Name of the Immediate Relative	**NA**
Relation with Immediate Relative	**NA**
Designation:	**Sr. Vice President**
Date of Submission:	**18/07/2019**
Period of Trading:	**As per the plan mentioned in the table below**
No trading period:	From twentieth trading day before 31 March to the second trading day after the disclosure of annual financial results by Kotak Mahindra Bank Limited.
	From twentieth trading day before 30 June to the second trading day after the disclosure of financial results for first quarter by Kotak Mahindra Bank Limited.
	From twentieth trading day before 30 September to the second trading day after the disclosure of financial results for the half year by Kotak Mahindra Bank Limited.
	From twentieth trading day before 31 December to the second trading day after the disclosure of financial results for the third quarter by Kotak Mahindra Bank Limited.

Details of Trades to be executed (by the insider and / or his immediate relatives):

Particulars of person		**Period or Dates of Proposed Trades**			**Quantity or Value of Proposed Trades**	
					Quantity	**Value**
Name	**Relation with insider**	**From**	**To**	**Date of Trade**	**Sell**	**Sell**
Abhishek Bisen	**Self**	**01/05/2020**	**06/05/2020**		**1000**	
Abhishek Bisen	**Self**	**20/05/2020**	**25/05/2020**		**1000**	
Abhishek Bisen	**Self**	**01/12/2020**	**06/12/2020**		**1200**	

Kotak Mahindra Bank Ltd.

Abhishek Bisen	**Self**	**20/12/2020**	**26/12/2020**		**1300**	

I hereby undertake that:
I/my immediate relative(s) will not trade in the securities as set out in the trading plan during the cool off period of six months.
I/my immediate relatives will not trade during the no-trading periods specified herein above.
I/my immediate relatives will not trade in the securities of Kotak Mahindra Bank Ltd. for market abuse.
I hereby confirm that I am not in possession of any unpublished price sensitive information OR
~~I am in possession of certain unpublished price sensitive information at the time of formulation of this trading plan and I /my immediate relatives will not trade pursuant to this trading plan until such unpublished price sensitive information becomes generally available information.~~

Signature:
Date: 18/07/2019
Place: Mumbai

The above trading plan is approved / rejected:
In case of rejection the reasons for rejection are as follows:

For Kotak Mahindra Asset Management Co. Ltd.

Compliance Officer:
Date: July 22, 2019

For Kotak Mahindra Bank Limited

Compliance Officer
Date: July 24, 2019