

JSW Ispat Special Products Limited

(formerly known as Monnet Ispat & Energy Limited)

Registered & Corporate Office: JSW Centre, Bandra Kurla Complex, Bandra (East), Mumbai-400051

CIN: L02710MH1990PLC363582 GST: 27AAACM0501D2Z9

Phone: +91 22 4286 1000 E-mail: isc_jispl@aionjsw.in Website: www.aionjsw.in

E-mail / Online Upload Copy

17 February, 2022

DGM-Deptt. of Corporate Services

BSE Limited

Phiroze Jeejeebhoy Towers, Dalal Street,
Mumbai-400001

Listing Department

National Stock Exchange of India Limited

'Exchange Plaza', Bandra Kurla Complex,
Mumbai -400051

Listing Department

Calcutta Stock Exchange limited

"7, Lyons Range,
Kolkata-700001

BSE Scrip Code: 513446 / NSE Scrip Code: JSWISPL

Sub.: Reporting violation of Company's Code of Conduct under SEBI (Prohibition of Insider Trading) Regulations, 2015

Dear Sir/ Madam,

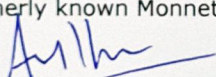
This is to inform you that in terms of the SEBI Circular No. SEBI/HO/ISD/ISD/CIR/P/2020/135 dated July 23, 2020, the details of violation of the Company's code of conduct under the SEBI (Prohibition of Insider Trading) Regulations, 2015 by the Designated Person (DP) along with the action taken by the Company thereon is enclosed to this letter as **Annexure-I**.

You are requested to take the above disclosure on your record.

Thanking you.

For JSW Ispat Special Products Limited

(formerly known Monnet Ispat & Energy Ltd)


Ajay Kadhao

Company Secretary & Compliance Officer



Raigarh Works: Village 6 P.O. Naharpali, Tehsil Kharsia, Raigarh-498 861 (Chhattisgarh)
Phone: +91 7762 275 502/ 03/04, +91 7762 251 000/100, Fax: +91 7762 275 505, E-mail: raigarh@aionjsw.in
Raipur Works: Chandkhuri Marg, Village Kurud, Mandir Hasaud, Raipur-492101 (Chhattisgarh)
Phone: +91 771 2471 334 to 339, Fax: +91 771 2471 250, E-mail: jswispatraipur@aionjsw.in

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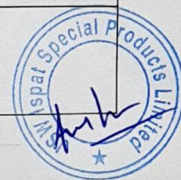
Annexure-I

Report by JSW Ispat Special Products Limited (Formerly known as Monnet Ispat and Energy Limited) for violations related to Code of Conduct under SEBI (Prohibition of Insider Trading) Regulations, 2015.

[Schedule B read with Regulation 9 (1) of SEBI (Prohibition of Insider Trading) Regulations, 2015]

S. No.	Particulars	Details
1	Name of the listed company/ Intermediary/Fiduciary	JSW Ispat Special Products Limited (Formerly known as Monnet Ispat and Energy Limited)
2	Please tick appropriate checkbox Reporting in capacity of: ☐ Listed Company ☒ Intermediary ☒ Fiduciary	Listed Company
3	A. Details of Designated Person (DP)	
	i) Name of the DP	Mr. Abhishek Srivastava
	ii) PAN of the DP	ATWPS5598L
	iii) Designation of DP	General Manager (Power-Operations)
	iv) Functional Role of DP	Power plant operations
	v) Whether DP is Promoter or belongs to Promoter Group	No
	B. If Reporting is for immediate relative of DP	
	i) Name of the immediate relative of DP	Not Applicable
	ii) PAN of the immediate relative of DP	Not Applicable
	C. Details of transaction(s)	
	i. Name of the scrip	JSW Ispat Special Products Limited BSE Scrip Code: 513446 NSE Scrip Code: JSWISPL
	ii) No. of shares traded and value (Rs.) (Date- wise)	a) 400 shares sold on 18 th January, 2022 for trade value of Rs. 17822/- b) Purchase of 100 shares on 05 th January 2021 and 200 shares 8 th January, 2021, the total trade value of trade was Rs. 3226/- and Rs. 7131/- respectively.
	D. In case value of trade(s) is more than Rs.10 lacs in a calendar	
	i) Date of intimation of trade(s) by concerned DP/director/promoter/promoter group to Company under regulation 7 of SEBI (PIT) Regulations, 2015	Not Applicable
	ii) Date of intimation of trade(s) by Company to stock exchanges under regulation 7 of SEBI (PIT) Regulations, 2015	Not Applicable

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4	Details of violations observed under Code of Conduct	Transactions were undertaken during the trading window closure period.
5	Action taken by Listed company/ Intermediary/ Fiduciary	1. Company has initiated disciplinary action against the DP and issued the warning letter. 2. Penalty of Rs. 11,300/- has been imposed upon DP which is commensurate with the default committed by DP; 3. Directed to deposit the amount of penalty to Investors Protection and Education Fund administered by SEBI.
6	Reasons recorded in writing for taking action stated above	For having violated the Code of Conduct of the Company for prevention of Insider Trading and SEBI (Prohibition of Insider Trading) Regulations, 2015
7	Details of the previous instances of violations, if any, since last financial year	As above.
8	If any amount collected for Code of Conduct violation(s) i. Mode of transfer to SEBI - IPEF (Online/Demand Draft) ii. Details of transfer/payment	Online Transfer to SEBI-IEPF
In case of Online:		
Name of the transferor		Mr. Abhishek Srivastava
Bank Name, branch and Account number		ICICI Bank, Branch: Civil Lines Allahabad, 628201202608
UTR/Transaction reference Number		NEFT Fund Transfer - Transaction Reference Number: 330063312
Transaction date		17-02-2022
Transaction Amount (in Rs.)		Rs 11,300/-
In case of Demand Draft (DD): Not Applicable		
Name of the transferor		-
Bank Name and branch		-
DD Number		-
DD date		-
DD amount (in Rs.)		-
9	Any other relevant information	-



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