JM FINANCIAL

October 14, 2021

BSE Limited
Department of Corporate Services
1st Floor, New Trading Ring
Rotunda Building, P J Towers
Dalal Street, Fort,
Mumbai 400001

Security Code: 523405

National Stock Exchange of India Limited
Exchange Plaza
Plot No.C-1, G Block
Bandra-Kurla Complex
Bandra (East)
Mumbai – 400 051

Symbol: JMFINANCIL

Dear Sirs,

Re: **Certificate under Regulation 74(5) of the SEBI (Depositories and Participants) Regulations, 2018 for the quarter ended September 30, 2021.**

We are enclosing the certificate of compliance under Regulation 74(5) of SEBI (Depositories and Participants) Regulations, 2018 as received by us from our Registrar and Share Transfer Agents, viz., KFin Technologies Private Limited, for the quarter ended September 30, 2021.

Kindly acknowledge receipt of the above Certificate.

Thank you.

Yours faithfully,
for JM Financial Limited

Prashant Choksi
Group Head – Compliance, Legal
& Company Secretary

Encl. As above.

KFINTECH
Inspired By Passion. Driven By Technology.

Ref : KFPL/TBS/74(5)E/L
Date : October 14, 2021

To,

Bombay Stock Exchange Limited
Phi rozeJeejeebhoy Towers,
Dalal Street,
Mumbai- 400001

BSE Scrip Code: 523405

To,

National Stock Exchange of India Ltd.
Exchange Plaza, C-1 Block G,
Bandra-Kurla Complex,
Bandra (E) Mumbai - 400 051

NSE Scrip Code: JMFINANCIL

Dear Sir(s)

Sub : Certificate under Regulation 74 (5) for the Period 1st July 2021 to 30th September, 2021

We as Registrar and Share Transfer agents of the **JM FINANCIAL LTD,** certify that the details of securities dematerialized/rematerialized during the aforesaid period, as required under Regulation 74(5) of SEBI (Depositories and participants) Regulations 2018 have been furnished to all the Stock Exchanges where the shares of the company are listed.

We hereby also confirm that the security certificates received for dematerialization have been mutilated and cancelled after due verification by the depository participant and the name of the depositories have been substituted in register of members as the registered owner within 15 days.

We request you to kindly take note of the above in your records.

Thanking You,

Yours faithfully,
For **KFin Technologies Private Limited**

Ramulu Peraboina
Manager