Ref. No.: AUSFB/SEC/2017-18/316
Date: 1st November 2017

To,
Listing Department
National Stock Exchange of India Limited,
Exchange Plaza, Bandra Kurla Complex,
Bandra (East), Mumbai 400051,
Maharashtra.

Scrip Code: AUBANK

Department of Corporate Services,
The Bombay Stock Exchange Limited,
Phiroz Jeejee bhoy Towers,
Dalal Street, Mumbai 400001,
Maharashtra.

Scrip Code: 540611

Dear Sir(s),

Sub: Intimation for Inclusion of AU SMALL FINANCE BANK LIMITED ("the Bank") under Second Schedule to RBI Act, 1934 (Under Regulation 30 of SEBI (LODR) Regulations, 2015

This is to inform you that AU SMALL FINANCE BANK LIMITED ("the Bank") name has been included in Second Schedule to RBI Act, 1934 by Reserve Bank of India ("**RBI**") vide Official Gazette Notification No. 423 on 1st November, 2017.

With this notification, AU SMALL FINANCE BANK LIMITED is "**Scheduled Bank**" with effect from the date of notification.

We request you to take the same on your record.

Thanking You,
FOR AU SMALL FINANCE BANK LIMITED

Manmohan Parnami
Company Secretary & Compliance Officer
Enclosed: Gazette Notification no. 423

Registered Office
AU SMALL FINANCE BANK LIMITED
19-A Dhuleshwar Garden, Ajmer Road,
Jaipur - 302001, Rajasthan, India
Phone: +91 141 4110060/61, **Fax:** +91 141 4110090
CIN: L36911RJ1996PLC011381
Formerly known as **Au Financiers (India) Limited**

info@aubank.in | www.aubank.in

रजिस्ट्री सं० डी० एल०-33004/99 REGD. NO. D. L.-33004/99

भारत का राजपत्र
The Gazette of India

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(बैंकिंग विनियमन विभाग)

अधिसूचना

मुम्बई, 18 सितम्बर, 2017

सं. बैविवि.एनबीडी.(एसएफबी–एएफएल) सं. 2689/16.13.216/2017-18.—भारतीय रिज़र्व बैंक अधिनियम, 1934 (1934 का 2) की धारा 42 की उप-धारा (6) के खंड (क) के अनुसरण में भारतीय रिज़र्व बैंक उक्त अधिनियम की दूसरी अनुसूची में निम्नलिखित बैंक को शामिल करने का निदेश देता है :

एयू स्मॉल फाईनैन्स बैंक लिमिटेड
19-ए, धूलेश्वर गार्डन,
अजमेर रोड
जयपुर – 302 001
राजस्थान

सुदर्शन सेन, कार्यपालक निदेशक

[विज्ञापन-III/4/असा./294/17]

RESERVE BANK OF INDIA

(Department of Banking Regulation)

NOTIFICATION

Mumbai, the 18th September, 2017

No. DBR.NBD. (SFB–AFL). No. 2689/16.13.216/2017-18.—In pursuance of clause (a) of sub-section (6) of Section 42 of Reserve Bank of India Act, 1934 (2 of 1934), the Reserve Bank of India directs the inclusion in the Second Schedule to the said Act of the following bank, namely:

Au Small Finance Bank Limited
19-A, Dhuleshwar Garden,
Ajmer Road,
Jaipur – 302 001
Rajasthan

SUDARSHAN SEN, Executive Director

[ADVT.-III/4/Exty./294/17]

RAKESH SUKUL

Digitally signed by RAKESH SUKUL
Date: 2017.11.01 20:02:18 +05'30'

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