

October 17, 2019

To,
The Manager
Department of Corporate Services
BSE Limited
Phiroze Jeejeebhoy Towers,
Dalal Street, Fort,
Mumbai - 400 001

The Manager
Listing Department
National Stock Exchange of India Limited
Exchange Plaza, 5th Floor,
Plot No:- C/1, G Block
Bandra Kurla Complex,
Mumbai- 400 051

BSE Scrip Code:- 531892

NSE Symbol:- KHANDSE

SUB: CERTIFICATE OF COMPLIANCE FOR THE HALF YEAR ENDED 30TH SEPTEMBER 2019

REF: REGULATION 40(9) OF THE SEBI (LISTING OBLIGATIONS AND DISCLOSURE REQUIREMENTS) REGULATIONS, 2015

Dear Sir,

Please find enclosed herewith certificate of compliance under Regulation 40(9) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 for the half year ended on September 30, 2019 received from M/s. Bhunesh Bansal & Associates, Practicing Company Secretary.

Kindly update the same on your records.

Thanking you,

Yours faithfully,
For Khandwala Securities Limited



Abhishek Joshi
Company Secretary & Compliance Officer

Encl: a/a

BHUWNESH BANSAL & ASSOCIATES

Company Secretaries

002, Dreamland Park C Wing CHS Ltd., Gokuldham Road, Near Vijay Park, Mira Road (E), Thane – 401 107
Cell No: 07738 181976 Email:csbbansal@gmail.com

The Board of Directors

M/s. Khandwala Securities Limited
Ground Floor, Vikas Building
Green Street, Fort, Mumbai 400 023

Dear Sirs,

CERTIFICATE UNDER SUB-REGULATION (9) OF REGULATION 40 OF THE SEBI (LISTING OBLIGATIONS AND DISCLOSURE REQUIREMENTS) REGULATIONS, 2015


FOR THE HALF YEAR ENDED 30TH SEPTEMBER, 2019.

We have examined all Share Transfer Deeds, Memorandum of Transfers, Registers, Files and other documents relating to M/s. Khandwala Securities Limited (hereinafter referred to as "Company") maintained by M/s. Karvy Fintech Private Limited (hereinafter referred to as "RTA") of the Company pertaining to transfer of Equity Shares of the company for the period from 1st April, 2019 to 30th September, 2019 for the purpose of issuing a Certificate as per Sub-Regulation (9) of Regulation 40 of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 entered into by Khandwala Securities Limited with the Stock Exchanges and based on the information provided by the Company, We hereby certify that during half year ended on 30th September, 2019:

- A) There were no requests received by the Company for transfer of Share Certificates, subdivision, consolidation, renewal, exchange or endorsement of calls/allotment monies during the half year ended from 1st April, 2019 to 30th September, 2019.

Place: Mumbai
Date: 17.10.2019

For Bhunesh Bansal & Associates
Company Secretaries


Bhunesh Bansal
Proprietor
C.P. No. 9089

UDIN - F006526A000105658

