

Ref No. AUSFB/SEC/2017-18/314

Date: 01st November, 2017

To;
The Secretary
The Bombay Stock Exchange Limited
Listing Department, 1st Floor,
Phiroze Jeejeebhoy Towers
Dalal Street, Mumbai- 400001

To,
The Secretary,
National Stock Exchange of India Limited
Exchange Plaza, C-1, Block G,
Bandra Kurla Complex,
Bandra (E)
Mumbai – 400 051

Dear Sir(s),

Subject: Intimation Pursuant to Regulation 8(2) of Securities Exchange Board of India (Prohibition of Insider Trading) Regulation, 2015.

The Company at its Board meeting held 27th October, 2017 has approved amendment in clause 7.1 of Code of Conduct- Prevention of Insider Trading Policy ("Code") pertaining to Trading Window closure.

In terms of Regulation 8(2) of Securities Exchange Board of India (Prohibition of Insider Trading) Regulation, 2015, we hereby intimate the following amendment in the existing code:

S.No.	Name of Policy	Existing Clause	Approved Clause
1.	Code of Conduct- Prevention of Insider Trading Policy	7. Trading Window 7.1. The trading window shall be, inter alia, closed 7 days prior to and during the time the unpublished price sensitive information is published.	7. Trading Window 7.1. The trading window shall be, inter alia, close immediately at end of each Quarter i.e. June, September, December and March respectively of every Financial Year and during the time the unpublished price sensitive information is published.

Kindly consider the intimation made by the company under Securities Exchange Board of India (Prohibition of Insider Trading) Regulation, 2015 and take it on records.

For AU SMALL FINANCE BANK LIMITED

MANMOHAN PARNAMI
COMPANY SECRETARY
PLACE: JAIPUR



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Formerly known as Au Financiers (India) Limited