Vistar®
**Alps Industries Limited**
(A Government Recognised Golden Trading House)
An ISO 14001 & ISO 9001 Company
57/2, Site-IV Industrial Area, Sahibabad, Ghaziabad- 201 010 INDIA
Phones : 91-120-4161700 (60 Lines) Fax : 91-120-2895299, 896041
E-mail : alps@alpsindustries.com

(Through Courier/e-mail)

ALPS/326/SE09/2013-2014

September 19, 2013

The General Manager
Market Operations Deptt.,
National Stock Exchange of India Ltd.,
Exchange Plaza, 5th Floor,
Plot No. C/1, G-Block, Bandra-Kurla Complex
Bandra (E), **Mumbai - 400 051**
Ph – 91-22-2659 8101 – 8114
Fax : 022 - 26598237/38/ 2659 8100
**Email – cmlist@nse.co.in**

**SUB: INTIMATION UNDER SEBI TAKEOVER CODE AND INSIDER TRADING REGULATION.**

Dear Sir,

We wish to bring in your kind knowledge that we have received the intimation along with necessary documents under SEBI Takeover Code and Insider Trading Regulation on September 18, 2013 as per following details:

1) For transfer of 20 lac shares to another promoter by Billsworth Investments Private Limited which is under promoter category.

2) To get transfer 15 lac shares from another promoter mentioned as S.No.1 from Padam Precision Dies and Components Pvt. Ltd. which is also a promoter company.

3) To get transfer 5 lac shares from another promoter mentioned as S.No. from Coronation Spinning India Pvt. Ltd. which is also a promoter company.

The aforesaid transfer of shares is under promoter interse category. As per the requirement the intimation have also been sent by the promoters directly to your goodself with a copy to the company. As per requirement we are enclosing herewith the copy of the same received by us.

Kindly take on record the same.

Yours faithfully,

For **ALPS INDUSTRIES LIMITED**

ALPS INDUSTRIES LTD. Ghaziabad

**(AJAY GUPTA)**
**COMPANY SECRETARY**
**& GENERAL MANAGER – LEGAL**
Encl : a/a.

# BILLSWORTH INVESTMENTS PRIVATE LIMITED

8, Cross Street, 36831, PWC Building, Singapore - 110000

**Dated:** 17.9.2013

ALPS INDUSTRIES LIMITED
RECEIVED
No. 3164
DATE 18/9/13
SAHIBABAD

**Company Secretary/Compliance Officer**
**Alps industries ltd.**
**B-2, Loni Road Industrial Area,**
**Opp. Mohan Nagar**
**Ghaziabad-201007.**

**SUB: INTIMATION FOR SALE OF SHARE UNDER SEBI TAKEOVER CODE AND SEBI INSIDER REGULATION**

Dear Sir,

We wish to inform you that we are the part of promoter group of Alps Industries Ltd having its registered office at B-2, Loni Road Industrial Area (opp. Mohan Nagar) Ghaziabad, U.P. India. Presently we are holding 20.00,000 equity share of the company under the promoter group constituting around 5.11%. On business consideration, we have disposed off the share as per details enclosed as **Annexure1** and other declaration under SEBI Insider Trading Regulations as **Annexure 2.**

As required under clause 29(3) of The SEBI (Substantial Acquisition of shares and Takeovers) Regulation 2011we are informing the sale of shares. Promoter Inter se.

This is for your kind information and record please.

Thanking you,

Yours faithfully,
For **BILLSWORTH INVESTMENTS PRIVATE LIMITED**

BILLSWORTH INVESTMENTS PRIVATE LIMITED

**Authorized signatory**

Encl: Annexure 1and 2.

**Disclosures under Regulation 29(2) of SEBI (Substantial Acquisition of Shares and Takeovers) Regulations, 2011**

| | | | |
|---|---|---|---|
| Name of the Target Company (TC) | Alps Industries Limited | | |
| Name(s) of the acquirer and Persons Acting in Concert (PAC) with the acquirer | Billsworth Investments Private Limited, | | |
| Whether the acquirer belongs to Promoter/Promoter group | Yes | | |
| Name(s) of the Stock Exchange(s) where the shares of TC are Listed | NSE &BSE | | |
| Details of the acquisition / disposal as follows | Number | % w.r.t. total share/voting capital wherever applicable | % w.r.t. total diluted share/voting capital of the TC (*) |
| **Before the acquisition under consideration, holding of :** | | | |
| a) Shares carrying voting rights | 20,000,00 | (5.11%) | (3.03%) |
| b) Voting rights (VR) otherwise than by shares | NIL | NIL | NIL |
| c) Warrants/convertible securities/any other instrument that entitles the acquirer to receive shares carrying voting rights in the T C (specify holding in each category) | NIL | NIL | NIL |
| d) Total (a+b+c) | 20,000,00 | (5.11%) | (3.03%) |
| **Details of acquisition/sale** | | | |
| a) Shares carrying voting rights acquired/sold | 20,00,000 | (5.11%)NIL | (3.03%) |
| b) VRs acquired /sold otherwise than by shares | NIL | NIL | NIL |
| c) Warrants/convertible securities/any other instrument that entitles the acquirer to receive shares carrying voting rights in the TC (specify holding in each category) acquired/sold | NIL | NIL | NIL |
| d) Total (a+b+c) | 20,00,000 | (5.11%) | (3.03%) |

For Billsworth Investments Pvt. Ltd.

Authorised Signatory

| | | | |
|---|---|---|---|
| **After the acquisition/sale, holding of:**<br>a) Shares carrying voting rights | NIL | NIL | NIL |
| b) VRs otherwise than by shares | NIL | NIL | NIL |
| c) Warrants/convertible securities/any other instrument that entitles the acquirer to receive shares carrying voting rights in the TC ( specify holding in each category) after acquisition | NIL | NIL | NIL |
| d) Total (a+b+c) | NIL | NIL | NIL |

| | |
|---|---|
| Mode of acquisition / sale (e.g. open market / off-market / public issue / rights issue / preferential allotment / inter-se transfer etc). | Off-market |
| Date of acquisition / sale of shares / VR or date of receipt of intimation of allotment of shares, whichever is applicable | 16.09.2013 |
| Equity share capital / total voting capital of the TC before the said acquisition / sale | 39,11,4100 |
| Equity share capital/ total voting capital of the TC after the said acquisition / sale | 39,11,4100 |
| Total diluted share/voting capital of the TC after the said acquisition | 66,048,246 |

(*) Diluted share/voting capital means the total number of shares in the TC assuming full conversion of the outstanding convertible securities/warrants into equity shares of the TC.

**For Billsworth Investments Pvt. Ltd**

For Billsworth Investments Pvt. Ltd.

Authorized Signatory

**(Authorized signatory)**

Place: New Delhi

Date: 17.09.2013

**FORM D**

*Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 1992*

[Regulations 13(4), 13(4A) and 13(6)]

**Details of change in shareholding or voting rights held by Director or Officer and his dependents or Promoter or Person who is part of Promoter Group of a listed company.**

| *Name, PAN No. & Address of Promoter/ Person who is part of Promoter Group/Director/ Officer.* | *No. & % of shares/ voting rights held by the Promoter/ Person who is part of Promoter Group/Director/ Officer* | *Date of receipt of allotment advice/ acquisition/ sale of shares/ voting rights* | *Date of intimation to company* | *Mode of acquisition (market purchase/ public/rights/ preferential offer, etc.)/sale* | *No. & % of shares/ voting rights post acquisition/ sale* | *Trading member through whom the trade was executed with SEBI Registration No. of the TM* | *Exchange on which the trade was executed* | *Buy quantity* | *Buy value* | *Sell quantity* | *Sell value* |
|---|---|---|---|---|---|---|---|---|---|---|---|
| BILLSWORTH INVESTMENTS PRIVATE LIMITED<br>8, Cross Street,<br>36831, PWC<br>Building, Singapore - 110000 | 20,00,000.<br>(5.11 %) | 16/9/2013 | 17/9/2013 | Off market. | Nil. | N.A. | N.A. | N.A. | N.A | 20,00,000 | Rs 1.58 per share. |

For **BILLSWORTH INVESTMENTS PRIVATE LIMITED**

For Billsworth Investments Pvt. Ltd.

Authorised Signatory

**Aphorized signatory**

# CORONATION SPINNING (I) PRIVATE LIMITED

8, Vir Savarkar Block, Chabra Complex,Shakarpur , New Delhi-110092

ALPS INDUSTRIES LIMITED
RECEIVED
No. 3161
DATE 18/9/13

September 17, 2013

**Company Secretary/Compliance Officer**
**Alps industries ltd.**
**B-2, Loni Road Industrial Area,**
**Opp. Mohan Nagar**
**Ghaziabad-201007.**

**SUB: INTIMATION FOR ACQUISATION OF SHARE UNDER SEBI TAKEOVER CODE AND SEBI INSIDER REGULATION.**

Dear Sir,

We wish to inform you that we are the part of promoter group of Alps Industries Ltd.Presently we are holding 400,000 equity share of the company under the promoter group constituting around 1.02%. On business consideration, we have acquired the additional share under promoter inter se category as per details enclosed as **Annexure1** and other declaration under SEBI Insider Trading Regulations as **Annexure 2.**

As required under The SEBI (Substantial Acquisition of shares and Takeovers) Regulation 2011 and Regulation 13 of SEBI Insider Trading Regulation1992. We are informing the acquisition of shares.

This is for your kind information and record please.

Thanking you,

Yours faithfully,

**For Coronation Spinning (I) Pvt. Ltd.**

Coronation Spinning India Pvt. Ltd.

**(Authorized signatory)**

Encl: Annexure 1and 2.

CC : NSE & BSE - (For Information)

ANNEX-1

**Disclosures under Regulation 29(2) of SEBI (Substantial Acquisition of Shares and Takeovers) Regulations, 2011**

| | | | |
|---|---|---|---|
| Name of the Target Company (TC) | Alps Industries Limited | | |
| Name(s) of the acquirer and Persons Acting in Concert (PAC) with the acquirer | Coronation Spinning India Private Limited | | |
| Whether the acquirer belongs to Promoter/Promoter group | Yes | | |
| Name(s) of the Stock Exchange(s) where the shares of TC are Listed | NSE &BSE | | |
| Details of the acquisition / disposal as follows | Number | % w.r.t. total share/voting capital wherever applicable | % w.r.t. total diluted share/voting capital of the TC (*) |
| **Before the acquisition under consideration, holding of :** | | | |
| a) Shares carrying voting rights | 4,00,000 | (1.02%) | (0.61%) |
| b) Voting rights (VR) otherwise than by shares | NIL | NIL | NIL |
| c) Warrants/convertible securities/any other instrument that entitles the acquirer to receive shares carrying voting rights in the T C (specify holding in each category) | NIL | NIL | NIL |
| d) Total (a+b+c) | 4,00,000 | (1.02%) | (0.61%) |
| **Details of acquisition/sale** | | | |
| a) Shares carrying voting rights acquired/sold | 5,00,000 | (1.28%) | (0.76%) |
| b) VRs acquired /sold otherwise than by shares | NIL | NIL | NIL |
| c) Warrants/convertible securities/any other instrument that entitles the acquirer to receive shares carrying voting rights in the TC (specify holding in each category) acquired/sold | NIL | NIL | NIL |
| d) Total (a+b+c) | 5,00,000 | (1.28%) | (0.76%) |

Coronation Spinning India Pvt. Ltd.

| | | | |
|---|---|---|---|
| **After the acquisition/sale, holding of:**<br>a) Shares carrying voting rights | 9,00,000 | (2.30%) | (1.36%) |
| b) VRs otherwise than by shares | NIL | NIL | NIL |
| c) Warrants/convertible securities/any other instrument that entitles the acquirer to receive shares carrying voting rights in the TC ( specify holding in each category) after acquisition | NIL | NIL | NIL |
| d) Total (a+b+c) | 9,00,000 | (2.30%) | (1.36%) |
| Mode of acquisition / sale (e.g. open market / off-market / public issue / rights issue / preferential allotment / inter-se transfer etc). | Off-market | | |
| Date of acquisition / sale of shares / VR or date of receipt of intimation of allotment of shares, whichever is applicable | 16.09.2013 | | |
| Equity share capital / total voting capital of the TC before the said acquisition / sale | 39,11,4100 | | |
| Equity share capital/ total voting capital of the TC after the said acquisition / sale | 39,11,4100 | | |
| Total diluted share/voting capital of the TC after the said acquisition | 66,048,246 | | |

(*) Diluted share/voting capital means the total number of shares in the TC assuming full conversion of the outstanding convertible securities/warrants into equity shares of the TC.

For **CORONATION SPINNING INDIA PVT. LTD.**

Coronation Spinning India Pvt. Ltd.

**(AUTHORIZED SIGNATORY)**

Place: New Delhi

Date: 17.09.2013

**"FORM D"**

*Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 1992*

[Regulations 13(4), 13(4A) and 13(6)]

**Details of change in shareholding or voting rights held by Director or Officer and his dependents or Promoter or Person who is part of Promoter Group of a listed company.**

| Name, PAN No. & Address of Promoter/ Person who is part of Promoter Group/Director/ Officer. | No. & % of shares/ voting rights held by the Promoter/ Person who is part of Promoter Group/Director/ Officer | Date of receipt of allotment advice/ acquisition / sale of shares/ voting rights | Date of intimation to company | Mode of Acquisition (market purchase/ public/rights/ preferential offer, etc.)/sale | No. & % of shares/ voting rights post acquisition/ sale | Trading member through whom the trade was executed with SEBI Registration No. of the TM | Exchange on which the trade was executed | Buy quantity | Buy value | Sell quantity | Sell value |
|---|---|---|---|---|---|---|---|---|---|---|---|
| Coronation Spinning India Private Limited.<br><br>PAN NO AAACC8311R<br><br>Address 8, Vir Savarkar Block, Chabra Complex,Shakarpur , New Delhi-110092. | 4,00,000 (1.02%) | 16.09.2013 | 17.09.2013 | Off market Purchase | 9,00,000 (2.30%) | N.A | N.A | 5,00,000 | Rs.1.58/- per share | N.A | N.A |

For **CORONATION SPINNING INDIA PVT. LTD.**

Coronation Spinning India Pvt. Ltd.

**(AUTHORIZED SIGNATORY)**

# PADAM PRECISION DIES AND COMPONENTS PRIVATE LIMITED

**8, Vir Savarkar Block, Chabra Complex,Shakarpur , New Delhi-110092**

September 17, 2013

ALPS INDUSTRIES LIMITED
RECEIVED
No. 3163
DATE 18/9/13
SAHIBABAD-201010

**Company Secretary/Compliance Officer**
**Alps industries ltd.**
**B-2, Loni Road Industrial Area,**
**Opp. Mohan Nagar**
**Ghaziabad-201007.**

**SUB: INTIMATION FOR ACQUISATION OF SHARE UNDER SEBI TAKEOVER CODE AND SEBI INSIDER REGULATION.**

Dear Sir,

We wish to inform you that we are the part of promoter group of Alps Industries Ltd. Presently we are holding 188,200 equity share of the company under the promoter group constituting around 0.48%. On business consideration, we have acquired the additional share under promoter inter se category as per details enclosed as **Annexure1** and other declaration under SEBI Insider Trading Regulations as **Annexure 2.**

As required under The SEBI (Substantial Acquisition of shares and Takeovers) Regulation 2011 and Regulation 13 of SEBI Insider Trading Regulation1992. We are informing the acquisition of shares.

This is for your kind information and record please.

Thanking you,

Yours faithfully,

**For Padam Precision Dies & Components Pvt. Ltd**

For Padam Precision Dies & Components Pvt. Ltd.

Authorised Signatory

**(Authorized signatory)**

Encl: Annexure 1and 2.

CC : NSE & BSE - (For Information)

ANNEX - 1

**Disclosures under Regulation 29(2) of SEBI (Substantial Acquisition of Shares and Takeovers) Regulations, 2011**

| | | | |
|---|---|---|---|
| Name of the Target Company (TC) | Alps Industries Limited | | |
| Name(s) of the acquirer and Persons Acting in Concert (PAC) with the acquirer | Padam Precision Dies And Components Private Limited, | | |
| Whether the acquirer belongs to Promoter/Promoter group | Yes | | |
| Name(s) of the Stock Exchange(s) where the shares of TC are Listed | NSE &BSE | | |
| Details of the acquisition / disposal as follows | Number | % w.r.t. total share/voting capital wherever applicable | % w.r.t. total diluted share/voting capital of the TC (*) |
| **Before the acquisition under consideration, holding of :** | | | |
| a) Shares carrying voting rights | 188,200 | (0.48%) | (0.28%) |
| b) Voting rights (VR) otherwise than by shares | NIL | NIL | NIL |
| c) Warrants/convertible securities/any other instrument that entitles the acquirer to receive shares carrying voting rights in the TC (specify holding in each category) | NIL | NIL | NIL |
| d) Total (a+b+c) | 1,88,200 | (0.48%) | (0.28%) |
| **Details of acquisition/sale** | | | |
| a) Shares carrying voting rights acquired/sold | 15,00,000 | (3.83%) | (2.27) |
| b) VRs acquired /sold otherwise than by shares | NIL | NIL | NIL |
| c) Warrants/convertible securities/any other instrument that entitles the acquirer to receive shares carrying voting rights in the TC (specify holding in each category) acquired/sold | NIL | NIL | NIL |
| d) Total (a+b+c) | 15,00,000 | (3.83%) | (2.27) |

For Padam Precision Dies & Components P. Ltd

Authorised Signatory

| | | | |
|---|---|---|---|
| **After the acquisition/sale, holding of:**<br>a) Shares carrying voting rights | 16,88,200 | (4.35%) | (2.56%) |
| b) VRs otherwise than by shares | NIL | NIL | NIL |
| c) Warrants/convertible securities/any other instrument that entitles the acquirer to receive shares carrying voting rights in the TC ( specify holding in each category) after acquisition | NIL | NIL | NIL |
| d) Total (a+b+c) | 16,88,200 | (4.35%) | (2.56%) |

| | |
|---|---|
| Mode of acquisition / sale (e.g. open market / off-market / public issue / rights issue / preferential allotment / inter-se transfer etc). | Off-market |
| Date of acquisition / sale of shares / VR or date of receipt of intimation of allotment of shares, whichever is applicable | 16.09.2013 |
| Equity share capital / total voting capital of the TC before the said acquisition / sale | 39,11,4100 |
| Equity share capital/ total voting capital of the TC after the said acquisition / sale | 39,11,4100 |
| Total diluted share/voting capital of the TC after the said acquisition | 66,048,246 |

(*) Diluted share/voting capital means the total number of shares in the TC assuming full conversion of the outstanding convertible securities/warrants into equity shares of the TC.

**For Padam Precision Dies & Components Pvt. Ltd**

For Padam Precision Dies & Components P. Ltd

Authorised Signatory

**(Authorized signatory)**

Place: New Delhi

Date: 17.09.2013

Annexure-2

**"FORM D"**

*Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 1992*

[Regulations 13(4), 13(4A) and 13(6)]

**Details of change in shareholding or voting rights held by Director or Officer and his dependents or Promoter or Person who is part of Promoter Group of a listed company.**

| Name, PAN No. & Address of Promoter/ Person who is part of Promoter Group/Director/ Officer. | No. & % of shares/ voting rights held by the Promoter/ Person who is part of Promoter Group/Director/ Officer | Date of receipt of allotment advice/ acquisition / sale of shares/ voting rights | Date of intimation to company | Mode of Acquisition (market purchase/ public/rights/ preferential offer, etc.)/sale | No. & % of shares/ voting rights post acquisition/ sale | Trading member through whom the trade was executed with SEBI Registration No. of the TM | Exchange on which the trade was executed | Buy quantity | Buy value | Sell quantity | Sell value |
|---|---|---|---|---|---|---|---|---|---|---|---|
| Padam Precision Dies And Components Private Limited,<br><br>PAN NO AAACP4217H<br><br>Address 8, Vir Savarkar Block, Chabra Complex,Shakarpur , New Delhi-110092. | 188,200 (0.48%) | 16.09.2013 | 17.09.2013 | Off market Purchase | 16,88,200 (4.35%) | N.A | N.A | 15,00,000 | Rs.1.58/- per share | N.A | N.A |

For **PADAM PRECISION DIES & COMPONENTS PVT. LTD**

For Padam Precision Dies & Components P. Ltd

Authorised Signatory

**(AUTHORIZED SIGNATORY)**