August 31, 2026

The Manager, **Listing Department,** **BSE Limited,** **Phiroze Jeejeebhoy Tower,** **Dalal Street,** **Mumbai 400 001** **Tel No.: 22721233** **Fax No.: 22723719/22723121/22722037** **BSE Scrip Code: 542773**	**The Manager,** **Listing Department,** **The National Stock Exchange of India Ltd.,** **Exchange Plaza, 5 Floor, Plot C/1, G Block,** **Bandra - Kurla Complex,** **Bandra (E), Mumbai 400 051** **Tel No.: 2659 8235** **Fax No.: 26598237/ 26598238** **NSE Symbol: IIFLCAPS**

Dear Sir/ Madam

Sub: Intimation under Regulation 30 of Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015

Pursuant to Regulation 30 read with Para A of Part A of Schedule III of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 ("SEBI Listing Regulations"), we hereby inform you that the National Stock Exchange of India Limited ("NSE") has imposed a monetary penalty on the Company in connection with certain observations arising from a Limited Purpose Inspection (Offsite) conducted in the Cash Market (CM), Futures & Options (F&O) and Currency Derivatives (CD) segments.

In this regard, the requisite disclosure in terms of Regulation 30 of the SEBI Listing Regulations is provided below:

Sr. No.	Particular	Remark/Update
1	Name of the authority;	National Stock Exchange of India Limited ("NSE")
2	Nature and details of the action(s) taken or order(s) passed;	NSE has imposed a monetary penalty of ₹2,24,100/- on the Company pursuant to observations arising from the Limited Purpose Inspection (Offsite) conducted in the CM, F&O and CD segments during January to March 2026.
3	Date of receipt of direction or order, including any ad-interim or interim orders, or any other communication from the authority;	The penalty letter was received by the Company on August 30, 2026.
4	Details of the violation(s)/contravention(s) committed or alleged to be committed;	Pursuant to a Limited Purpose Inspection (Offsite) undertaken by NSE in the CM, F&O and CD segments, certain discrepancies were observed in the reporting of margin

		collection from clients. Based on the inspection findings, NSE has imposed a monetary penalty of ₹2,24,100/- on the Company in accordance with the applicable regulatory framework. The Company has taken note of the observations and is strengthening its internal controls.
5	Impact on financial, operation or other activities of the listed entity, quantifiable in monetary terms to the extent possible.	Apart from the aforesaid monetary penalty, there is no material impact on the financial, operational or other activities of the Company.

Kindly take the above on your record.

Yours faithfully,

For **IIFL Capital Services Limited**
(Formerly IIFL Securities Limited)

Meghal Abhishek Shah
Digitally signed by Meghal Abhishek Shah
Date: 2026.08.31 17:50:40 +05'30'

Meghal Shah
Company Secretary