

September 30, 2025

<b>The Manager,</b> <b>Listing Department,</b> <b>BSE Limited,</b> <b>Phiroze Jeejeebhoy Tower,</b> <b>Dalal Street,</b> <b>Mumbai 400 001</b> <b>Tel No.: 22721233</b> <b>Fax No.: 22723719/22723121/22722037</b> <b>BSE Scrip Code: 542773</b>	<b>The Manager,</b> <b>Listing Department,</b> <b>The National Stock Exchange of India Ltd.,</b> <b>Exchange Plaza, 5 Floor, Plot C/1, G Block,</b> <b>Bandra - Kurla Complex,</b> <b>Bandra (E), Mumbai 400 051</b> <b>Tel No.: 2659 8235</b> <b>Fax No.: 26598237/ 26598238</b> <b>NSE Symbol: IIFLCAPS</b>
--	---

Dear Sir/ Madam

**Sub: Intimation under Regulation 30 of Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015**

Pursuant to Regulation 30 read with Para A of Part A of Schedule III of Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015 ("SEBI Listing Regulation"), we wish to inform that National Stock Exchange of India Limited has levied a penalty on the Company as a part of Limited Purpose Inspection (Offsite) in F&O and CD Segments.

In this regard, please find below disclosure of information pursuant to Regulation 30 of the SEBI Listing Regulations.

Sr. No.	Particulars	Remarks/updates
1	Name of the authority	National Stock Exchange of India Limited (NSE)
2	Nature and details of the action(s) taken or order(s) passed;	NSE has levied a penalty of ₹2,59,000 /-
3	Date of receipt of direction or order, including any ad-interim or interim orders, or any other communication from the authority;	September 30, 2025
4	Details of the violation(s)/contravention(s) committed or alleged to be committed;	NSE conducted a Limited Purpose Inspection (Offsite) in the F&O and CD segments in March 2025. Pursuant to this inspection, an action taken letter dated September 30, 2025, has been issued imposing a penalty of ₹2,59,000. The Company is aggrieved with the levied penalty and would be filing review request for the same.
5	Impact on financial, operation or other activities of the listed entity, quantifiable in monetary terms to the extent possible.	Apart from the penalty imposed, there has been no significant impact on financial, operational or other activities of the Company.

Kindly take the above on your record.

Yours faithfully,

**For IIFL Capital Services Limited**  
**(Formerly IIFL Securities Limited)**

**Meghal Shah**  
**Company Secretary**

**IIFL Capital Services Limited (Formerly known as IIFL Securities Limited)**

Corporate Identity Number: **L99999MH1996PLC132983**

**Corporate Office:** Office No. 1, Ground Floor, Hubtown Solaris, N. S. Phadke Marg, Andheri (E), Near East West Flyover, Mumbai - 400069

**Regd. Office:** IIFL House, Sun Infotech Park, Road No. 16V, Plot No. B-23, MIDC, Thane Industrial Area, Wagle Estate, Thane - 400 604

Tel: (91-22) 3929 4000/ 4103 5000 • Fax: (91-22) 2580 6654 • E-mail: [secretarial@iifl.com](mailto:secretarial@iifl.com) • Website: [www.iiflcapital.com](http://www.iiflcapital.com)