

May 27, 2025

The Manager, Listing Department, BSE Limited, Phiroze Jeejeebhoy Tower, Dalal Street, Mumbai 400 001 Tel No.: 22721233 Fax No.: 22723719/22723121/22722037 BSE Scrip Code: 542773	The Manager, Listing Department, The National Stock Exchange of India Ltd., Exchange Plaza, 5 Floor, Plot C/1, G Block, Bandra - Kurla Complex, Bandra (E), Mumbai 400 051 Tel No.: 2659 8235 Fax No.: 26598237/ 26598238 NSE Symbol: IIFLCAPS
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Dear Sir/ Madam

Sub: Intimation under Regulation 30 of Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015

Pursuant to Regulation 30 read with Para A of Part A of Schedule III of Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015 ("SEBI Listing Regulation"), we wish to inform that National Stock Exchange of India Limited has levied Penalty on the Company as a part of its offsite supervision mechanism.

In this regard, please find below disclosure of information pursuant to Regulation 30 of the SEBI Listing Regulations.

Sr. No.	Particulars	Remarks/updates
1	Name of the authority	National Stock Exchange of India Limited (NSE)
2	Nature and details of the action(s) taken, initiated or order(s) passed;	Penalty of ₹5 lakh levied by NSE
3	Date of receipt of direction or order, including any ad-interim or interim orders, or any other communication from the authority;	May 26, 2025
4	Details of the violation(s)/contravention(s) committed or alleged to be committed;	Pursuant to the offsite supervision, NSE issued the Show Cause Notice dated 10 February 2023 and Letter of Observation dated 16 April 2024. In the said matters, the Member Committee passed an order dated 26 May 2025 whereby imposed a penalty of ₹5 lakhs and directed to desist from engaging in facilitation of financing through NBFC as specified in the aforesaid order, within 90 days.
5	Impact on financial, operation or other activities of the listed entity, quantifiable in monetary terms to the extent possible.	Apart from penalty imposed, there has been no significant impact on financial, operational or other activities of the Company.

Kindly take the above on your record.

Yours faithfully,

**For IIFL Capital Services Limited
(Formerly IIFL Securities Limited)**

**Meghal Shah
Company Secretary**

IIFL Capital Services Limited (Formerly known as IIFL Securities Limited)

Corporate Identity Number: **L99999MH1996PLC132983**

Corporate Office: Office No. 1, Ground Floor, Hubtown Solaris, N. S. Phadke Marg, Andheri (E), Near East West Flyover, Mumbai - 400069

Regd. Office: IIFL House, Sun Infotech Park, Road No. 16V, Plot No. B-23, MIDC, Thane Industrial Area, Wagle Estate, Thane - 400 604

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