

May 20, 2025

<p>The Manager, Listing Department, BSE Limited, Phiroze Jeejeebhoy Tower, Dalal Street, Mumbai 400 001 Tel No.: 22721233 Fax No.: 22723719/22723121/22722037 BSE Scrip Code: 542773</p>	<p>The Manager, Listing Department, The National Stock Exchange of India Ltd., Exchange Plaza, 5 Floor, Plot C/1, G Block, Bandra - Kurla Complex, Bandra (E), Mumbai 400 051 Tel No.: 2659 8235 Fax No.: 26598237/ 26598238 NSE Symbol: IIFLCAPS</p>
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Dear Sir/ Madam

Sub: Intimation under Regulation 30 of Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015

Pursuant to Regulation 30 read with Para A of Part A of Schedule III of Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015 (“SEBI Listing Regulation”), we wish to inform that BSE Limited has levied Penalty for conducting a Disaster Recovery (DR) drill that lasted a full trading day without switching over all clients during the period from April 2024 to June 2024.

In this regard, please find below disclosure of information pursuant to Regulation 30 of the SEBI Listing Regulations.

Sr. No	Particulars	Remarks/updates
1	Name of the authority	BSE Limited (BSE)
2	Nature and details of the action(s) taken, initiated or order(s) passed;	Penalty of ₹4 lakh levied by BSE
3	Date of receipt of direction or order, including any ad-interim or interim orders, or any other communication from the authority;	May 19, 2025
4	Details of the violation(s)/contravention(s) committed or alleged to be committed;	BSE has imposed a penalty of ₹4 lakh on the Company for conducting a Disaster Recovery (DR) drill that lasted a full trading day without switching over all clients during the period from April 2024 to June 2024. The Information Technology (IT) DR exercise was successfully executed for clients transitioned to the IT DR site on that day.

IIFL Capital Services Limited (Formerly known as IIFL Securities Limited)

Corporate Identity Number: **L99999MH1996PLC132983**

Corporate Office: Office No. 1, Ground Floor, Hubtown Solaris, N. S. Phadke Marg, Andheri (E), Near East West Flyover, Mumbai - 400069

Regd. Office: IIFL House, Sun Infotech Park, Road No. 16V, Plot No. B-23, MIDC, Thane Industrial Area, Wagle Estate, Thane - 400 604

Tel: (91-22) 3929 4000/ 4103 5000 • Fax: (91-22) 2580 6654 • E-mail: secretarial@iifl.com • Website: www.iiflcapital.com

		The Company is in process of submitting a formal letter to BSE, requesting a waiver for the penalty along with our clarification, as specified in the BSE's letter.
5	Impact on financial, operation or other activities of the listed entity, quantifiable in monetary terms to the extent possible.	Apart from penalty imposed, there has been no significant impact on financial, operational or other activities of the Company.

Kindly take the above on your record.

Yours faithfully,

**For IIFL Capital Services Limited
(Formerly IIFL Securities Limited)**

**Meghal Shah
Company Secretary**

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