

March 19, 2026

<b>The Manager,          Listing Department,          BSE Limited,          Phiroze Jeejeebhoy Tower,          Dalal Street,          Mumbai 400 001          Tel No.: 22721233          Fax No.: 22723719/22723121/22722037          BSE Scrip Code: 542773</b>	<b>The Manager,          Listing Department,          The National Stock Exchange of India Ltd.,          Exchange Plaza, 5 Floor, Plot C/1, G Block,          Bandra - Kurla Complex,          Bandra (E), Mumbai 400 051          Tel No.: 2659 8235          Fax No.: 26598237/ 26598238          NSE Symbol: IIFLCAPS</b>
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Dear Sir/ Madam

**Sub: Intimation under Regulation 30 of Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015**

Pursuant to Regulation 30, read with Para A of Part A of Schedule III of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 (SEBI Listing Regulations), we wish to inform you that the Securities and Exchange Board of India (SEBI) has passed a Settlement Order under the "Settlement Scheme for Association with Certain Algo Platforms, 2025.

In this regard, please find below disclosure of information pursuant to Regulation 30 of the SEBI Listing Regulations.

<b>Sr. No</b>	<b>Particulars</b>	<b>Remarks/updates</b>
1	Name of the authority	SEBI
2	Nature and details of the action(s) taken or order(s) passed;	SEBI passed a Settlement Order imposing a monetary penalty of ₹1,00,000/- on the Company.
3	Date of receipt of direction or order, including any ad-interim or interim orders, or any other communication from the authority;	Order dated March 17, 2026, displayed on SEBI website on March 18, 2026.
4	Details of the violation(s)/contravention(s) committed or alleged to be committed;	SEBI conducted examination in the matter of Tradetron and other algo platforms. Based on the findings, SEBI issued Show Cause Notice alleging that association with these platforms, where strategies providing guaranteed returns/ consistent profit and/or where past and/or expected future performance of the algorithm were hosted, was in violation of applicable SEBI circulars and the Code of Conduct under the SEBI (Stock Brokers) Regulations, 1992.



		In this regard SEBI introduced a Settlement Scheme to settle the proceedings by paying ₹1,00,000/-. The Company paid the amount to avail the benefit of the scheme pursuant to which SEBI will not pursue further proceedings or initiate any additional action in respect of the said matter.
5	Impact on financial, operation or other activities of the listed entity, quantifiable in monetary terms to the extent possible.	Apart from the penalty imposed, there has been no significant impact on financial, operational or other activities of the Company.

Kindly take the above on your record.

Yours faithfully,

**For IIFL Capital Services Limited  
(Formerly IIFL Securities Limited)**

**Meghal Shah  
Company Secretary**