



February 13, 2026

<p><b>The Manager,</b> <b>Listing Department,</b> <b>BSE Limited,</b> <b>Phiroze Jeejeebhoy Tower,</b> <b>Dalal Street,</b> <b>Mumbai 400 001</b> <b>Tel No.: 22721233</b> <b>Fax No.: 22723719/22723121/22722037</b> <b>BSE Scrip Code: 542773</b></p>	<p><b>The Manager,</b> <b>Listing Department,</b> <b>The National Stock Exchange of India Ltd.,</b> <b>Exchange Plaza, 5 Floor, Plot C/1, G Block,</b> <b>Bandra - Kurla Complex,</b> <b>Bandra (E), Mumbai 400 051</b> <b>Tel No.: 2659 8235</b> <b>Fax No.: 26598237/ 26598238</b> <b>NSE Symbol: IIFLCAPS</b></p>
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Dear Sir/ Madam

**Sub: Intimation under Regulation 30 of Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015**

Pursuant to Regulation 30 read with Para A of Part A of Schedule III of the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015, we hereby inform you that the National Stock Exchange of India Limited has imposed a monetary penalty on the Company for violation of the provisions of Regulation 4.5.4(c)(i) and Regulation 4.6 of the NSEIL Regulations (Capital Market Segment) in relation to certain impugned transactions.

In this regard, please find below disclosure of information pursuant to Regulation 30 of the SEBI Listing Regulations.

<b>Sr. No.</b>	<b>Particular</b>	<b>Remark/Update</b>
1	Name of the authority;	National Stock Exchange of India Limited (NSE)
2	Nature and details of the action(s) taken or order(s) passed;	The Member Committee ("MC") of NSE has imposed a penalty of ₹34,29,000/- in terms of Exchange Circular No. NSE/INVG/2020/46662 dated December 16, 2020.
3	Date of receipt of direction or order, including any ad-interim or interim orders, or any other communication from the authority;	February 12, 2026
4	Details of the violation(s)/contravention(s) committed or alleged to be committed;	The alleged transactions executed by clients through the Company's franchise were construed as non-genuine under NSE circulars and Regulations 4.5.4(c)(i) and 4.6



		<p>of the NSEIL (Capital Market Segment) Regulations.</p> <p>Accordingly, NSE imposed a penalty of ₹34,29,000/- on the Company, which shall be recovered from the concerned franchise and clients.</p>
5	<p>Impact on financial, operation or other activities of the listed entity, quantifiable in monetary terms to the extent possible.</p>	<p>Apart from the aforesaid monetary penalty, there is no material impact on the financial, operational or other activities of the Company.</p>

Kindly take the above on your record.

Yours faithfully,

**For IIFL Capital Services Limited  
(Formerly IIFL Securities Limited)**

**Meghal Shah  
Company Secretary**