

October 9, 2026

The Manager, Listing Department, BSE Limited, Phiroze Jeejeebhoy Tower, Dalal Street, Mumbai 400 001 Tel No.: 22721233 Fax No.: 22723719/22723121/22722037 BSE Scrip Code: 542773	The Manager, Listing Department, The National Stock Exchange of India Ltd., Exchange Plaza, 5 Floor, Plot C/1, G Block, Bandra - Kurla Complex, Bandra (E), Mumbai 400 051 Tel No.: 2659 8235 Fax No.: 26598237/ 26598238 NSE Symbol: IIFLCAPS
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Dear Sir/ Madam

Sub: Intimation under Regulation 30 of Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015

Pursuant to Regulation 30 read with Para A of Part A of Schedule III of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 ("SEBI Listing Regulations"), we hereby inform you that the Multi Commodity Exchange of India Limited ("MCX") levied a monetary penalty on the Company in connection with alleged Abnormal/Non-Genuine Trades in terms of Exchange Circular No. MCX/S&I/324/2018 dated August 20, 2018.

In this regard, the requisite disclosure in terms of Regulation 30 of the SEBI Listing Regulations is provided below:

Sr. No.	Particular	Remark/Update
1	Name of the authority;	Multi Commodity Exchange of India Ltd. ("MCX")
2	Nature and details of the action(s) taken or order(s) passed;	MCX, vide separate emails dated October 9, 2026, levied aggregate monetary penalties amounting to ₹28,10,300 (comprising ₹6,57,375, ₹1,79,625, ₹1,68,080, ₹5,20,000, ₹1,01,000, ₹4,73,220/- and ₹7,11,000) in terms of Exchange Circular No. MCX/S&I/324/2018 dated August 20, 2018.
3	Date of receipt of direction or order, including any ad-interim or interim orders, or any other communication from the authority;	Communication dated October 9, 2026, received by the Company on October 9, 2026.
4	Details of the violation(s)/contravention(s) committed or alleged to be committed;	Certain client transactions were construed by MCX as Abnormal / Non-genuine trades, alleged to be in violation of Exchange Circular No. MCX/S&I/324/2018 dated

		August 20, 2018. Accordingly, MCX, vide separate emails dated October 9, 2026, levied aggregate monetary penalties amounting to ₹ 28,10,300/- on the Company which shall be recovered from the concerned clients.
5	Impact on financial, operation or other activities of the listed entity, quantifiable in monetary terms to the extent possible.	Apart from the aforesaid monetary penalty, there is no material impact on the financial, operational or other activities of the Company.

Kindly take the above on your record.

Yours faithfully,

**For IIFL Capital Services Limited
(Formerly IIFL Securities Limited)**

Meghal
Abhishek Shah

Digitally signed by
Meghal Abhishek Shah
Date: 2026.10.09
18:25:45 +05'30'

**Meghal Shah
Company Secretary**