

October 8, 2026

The Manager, Listing Department, BSE Limited, Phiroze Jeejeebhoy Tower, Dalal Street, Mumbai 400 001 Tel No.: 22721233 Fax No.: 22723719/22723121/22722037 BSE Scrip Code: 542773	The Manager, Listing Department, The National Stock Exchange of India Ltd., Exchange Plaza, 5 Floor, Plot C/1, G Block, Bandra - Kurla Complex, Bandra (E), Mumbai 400 051 Tel No.: 2659 8235 Fax No.: 26598237/ 26598238 NSE Symbol: IIFLCAPS
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Dear Sir/ Madam

Sub: Intimation under Regulation 30 of Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015

Pursuant to Regulation 30 read with Para A of Part A of Schedule III of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 ("SEBI Listing Regulations"), we hereby inform you that BSE Limited ("BSE") levied a monetary penalty on the Company in connection with alleged Abnormal / Non-genuine reversal trades.

In this regard, the requisite disclosure in terms of Regulation 30 of the SEBI Listing Regulations is provided below:

Sr. No.	Particular	Remark/Update
1	Name of the authority;	BSE Limited ("BSE")
2	Nature and details of the action(s) taken or order(s) passed;	BSE has levied a monetary penalty of ₹51,26,469.88/- pursuant to the Exchange Notices dated December 13, 2018, February 7, 2019 and December 31, 2024, and in terms of the Rules, Bye-laws and Regulations of the Exchange.
3	Date of receipt of direction or order, including any ad-interim or interim orders, or any other communication from the authority;	Order dated October 7, 2026, received by the Company on October 7, 2026.
4	Details of the violation(s)/contravention(s) committed or alleged to be committed;	Certain client transactions were construed by BSE as Abnormal / Non-genuine reversal trades and were alleged to be in violation of the Exchange Notices dated December 13, 2018, February 7, 2019 and December 31, 2024, and the Rules, Bye-laws and Regulations of the

		Exchange. Accordingly, BSE has levied a penalty of ₹51,26,469.88/- on the Company, which shall be recovered from the concerned clients
5	Impact on financial, operation or other activities of the listed entity, quantifiable in monetary terms to the extent possible.	Apart from the aforesaid monetary penalty, there is no material impact on the financial, operational or other activities of the Company.

Kindly take the above on your record.

Yours faithfully,

**For IIFL Capital Services Limited
(Formerly IIFL Securities Limited)**

Meghal
Abhishek
Shah

*Digitally signed by
Meghal Abhishek Shah
Date: 2026.10.08
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**Meghal Shah
Company Secretary**