

December 4, 2025

The Manager, Listing Department, BSE Limited, Phiroze Jeejeebhoy Tower, Dalal Street, Mumbai 400 001 Tel No.: 22721233 Fax No.: 22723719/22723121/22722037 BSE Scrip Code: 542773	The Manager, Listing Department, The National Stock Exchange of India Ltd., Exchange Plaza, 5 Floor, Plot C/1, G Block, Bandra - Kurla Complex, Bandra (E), Mumbai 400 051 Tel No.: 2659 8235 Fax No.: 26598237/ 26598238 NSE Symbol: IIFLCAPS
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Dear Sir/ Madam

Sub: Intimation under Regulation 30 of Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015

Pursuant to Regulation 30, read with Para A of Part A of Schedule III of the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015, we wish to inform you that the Securities Appellate Tribunal, Mumbai, vide its Order dated November 28, 2025, has reduced the penalty on IIFL Commodities Limited, a wholly owned subsidiary of the Company.

In this regard, please find below disclosure of information pursuant to Regulation 30 of the SEBI Listing Regulations.

Sr. No	Particulars	Remarks/updates
1	Name of the authority	Securities Appellate Tribunal (SAT), Mumbai
2	Nature and details of the action(s) taken or order(s) passed;	SAT reduced the penalty to Rs. 40 lakh per year, totalling Rs.1.20 crore, for false/incorrect reporting of margin amount, while keeping the remaining portion of the Member and Core Settlement Guarantee Fund Committee ("MCSGFC") order undisturbed on the subsidiary.
3	Date of receipt of direction or order, including any ad-interim or interim orders, or any other communication from the authority;	SAT Order dated November 28, 2025, received on December 3, 2025.
4	Details of the violation(s)/contravention(s) committed or alleged to be committed;	The Multi Commodity Exchange of India Limited (MCX) conducted an inspection of the books of accounts, records, and documents of IIFL Commodities Limited, a wholly owned subsidiary of the Company, for the financial

IIFL Capital Services Limited (Formerly known as IIFL Securities Limited)

Corporate Identity Number: **L99999MH1996PLC132983**

Corporate Office: Office No. 1, Ground Floor, Hubtown Solaris, N. S. Phadke Marg, Andheri (E), Near East West Flyover, Mumbai - 400069

Regd. Office: IIFL House, Sun Infotech Park, Road No. 16V, Plot No. B-23, MIDC, Thane Industrial Area, Wagle Estate, Thane - 400 604

Tel: (91-22) 3929 4000/ 4103 5000 • Fax: (91-22) 2580 6654 • E-mail: secretarial@iifl.com • Website: www.iiflcapital.com

		<p>years 2014–15 to 2016–17. Based on findings, the MCSGFC of MCX, vide separate orders, imposed a penalty of Rs.3,29,90,211/- (FY 2014-15), Rs.1,19,24,568/- (FY 2015-16) and Rs.62,35,182/- (FY 2016-17) on IIFL Commodities Limited for false/incorrect reporting of margin amount. Aggrieved by these orders, IIFL Commodities Limited filed an appeal before SAT.</p> <p>SAT, vide order dated November 28, 2025, partly allowed the Appeals and modified the aforesaid penalties for FY 2014-15, FY 2015-16 and FY 2016-17 to Rs.40 Lakhs per year, totalling to Rs.1,20,00,000/-, while keeping the remaining portion of the MCSGFC order undisturbed.</p> <p>The company will consult with its lawyers for further course of action.</p>
5	Impact on financial, operation or other activities of the listed entity, quantifiable in monetary terms to the extent possible.	Apart from the penalty imposed, there has been no significant impact on the financial, operational, or other activities of the Company.

Kindly take the above on your record.

Yours faithfully,

**For IIFL Capital Services Limited
(Formerly IIFL Securities Limited)**

**Meghal Shah
Company Secretary**

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