

October 01, 2025

<p>The Manager, Listing Department, BSE Limited, Phiroze Jeejeebhoy Tower, Dalal Street, Mumbai 400 001 Tel No.: 22721233 Fax No.: 22723719/22723121/22722037 BSE Scrip Code: 542773</p>	<p>The Manager, Listing Department, The National Stock Exchange of India Ltd., Exchange Plaza, 5 Floor, Plot C/1, G Block, Bandra - Kurla Complex, Bandra (E), Mumbai 400 051 Tel No.: 2659 8235 Fax No.: 26598237/ 26598238 NSE Symbol: IIFLCAPS</p>
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Dear Sir/ Madam

Sub: Intimation under Regulation 30 of Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015

Pursuant to Regulation 30, read with Para A of Part A of Schedule III of the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015, we wish to inform you that BSE Limited has imposed a penalty on the Company based on the findings reported in the Internal Audit Report for the half-year ended March 2025.

In this regard, please find below disclosure of information pursuant to Regulation 30 of the SEBI Listing Regulations.

Sr. No	Particulars	Remarks/updates
1	Name of the authority	BSE Limited (BSE)
2	Nature and details of the action(s) taken or order(s) passed;	BSE has imposed a penalty of ₹2,00,000 on the Company.
3	Date of receipt of direction or order, including any ad-interim or interim orders, or any other communication from the authority;	September 30, 2025
4	Details of the violation(s)/contravention(s) committed or alleged to be committed;	Pursuant to the scrutiny of the Internal Audit Report submitted by the Company as a trading member/clearing member to the Exchange(s) for the half-year ended March 2025, BSE observed certain findings reported by the Internal Auditor in their Report. Based on these findings, BSE has imposed a penalty of ₹2,00,000, towards transaction monitoring system not able to generate alerts for disproportionate margin exposure vis-a-vis client's declared financial information. The

IIFL Capital Services Limited (Formerly known as IIFL Securities Limited)

Corporate Identity Number: **L99999MH1996PLC132983**

Corporate Office: Office No. 1, Ground Floor, Hubtown Solaris, N. S. Phadke Marg, Andheri (E), Near East West Flyover, Mumbai - 400069

Regd. Office: IIFL House, Sun Infotech Park, Road No. 16V, Plot No. B-23, MIDC, Thane Industrial Area, Wagle Estate, Thane - 400 604

Tel: (91-22) 3929 4000/ 4103 5000 • Fax: (91-22) 2580 6654 • E-mail: secretarial@iifl.com • Website: www.iiflcapital.com

		identified finding was operational in nature and has been addressed appropriately.
5	Impact on financial, operation or other activities of the listed entity, quantifiable in monetary terms to the extent possible.	Apart from the penalty imposed, there has been no significant impact on financial, operational or other activities of the Company.

Kindly take the above on your record.

Yours faithfully,

For **IIFL Capital Services Limited**
(Formerly IIFL Securities Limited)

Meghal Shah
Company Secretary

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