



Dated: October 30, 2026

The Manager
BSE Limited
Corporate Relationship Department
Phiroze Jeejeebhoy Towers
Dalal Street
Mumbai- 400001
Scrip Code: 540750

The Manager
National Stock Exchange of India Ltd
Listing Department
Exchange Plaza, 5th Floor, Plot No. C/1
G Block, Bandra Kurla Complex
Bandra (E), Mumbai-400 051
Symbol: IEX

Subject: Reporting of violations of Company's Code of Conduct under the SEBI (Prohibition of Insider Trading) Regulations, 2015.

Dear Sir / Madam,

With reference to SEBI Master Circular SEBI/HO/ISD/ISD-PoD-2/P/CIR/2024/126 dated September 23, 2024, and pursuant to the provisions of the Company's Code of Conduct for Prevention of Insider Trading and Prohibition of Unfair Trade Practices Relating to the Securities Market ("Company's Code of Conduct"), we hereby submit the report detailing the instances of violations of the Company's Code of Conduct by the Designated Persons of the Company and their immediate relatives.

The above information will also be made available on the website of the Company:
www.iexindia.com

You are requested to take the above information on record.

Thanking You.

Yours faithfully,

For Indian Energy Exchange Limited

VINEET
HARLALK
A

Digitally signed by:
VINEET HARLALK
DN: CN = VINEET
HARLALK C = IN O =
Personal
Date: 2026.10.30 17:51:30
+05'30'

Vineet Harlalka
CFO, Company Secretary & Compliance Officer
Membership No. ACS-16264

Encl: Annexure-A

Indian Energy Exchange Ltd

Registered Office: C/o Avanta Business Centre, First Floor, Unit No. 1.14(a), D2, Southern Park, District Centre, Saket, New Delhi-110017, India

Corporate Office: 9th Floor, Max Towers, Sector 16B, Noida, Uttar Pradesh-201301, India

Tel: +91-011-3044 6511 | Tel: +91-120-4648 100 | Fax No.: +91-120-4648 115

CIN: L74999DL2007PLC277039 | Website: www.iexindia.com

Annexure-A

Report by Indian Energy Exchange Limited for violations related to Code of Conduct under SEBI (Prohibition of Insider Trading) Regulations, 2015.

[For listed companies: Schedule B read with Regulation 9 (1) of SEBI (Prohibition of Insider Trading) Regulations, 2015.

Sr. No.	Particulars	Details									
1.	Name of the listed company/ Intermediary/Fiduciary	Indian Energy Exchange Limited									
2.	Please tick appropriate checkbox Reporting in capacity of: ☐ Listed Company ☐ Intermediary ☐ Fiduciary	Listed Company									
3.	A. Details of Designated Person (DP)										
	i. Name of the DP	Rajesh Kumar Gupta									
	ii. PAN of the DP	ANSPG5554A									
	iii. Designation of DP	Assistant Vice President, Exchange Technology									
	iv. Functional Role of DP	Exchange Technology Function									
	v. Whether DP is Promoter or belongs to Promoter Group	Not Applicable									
	B. If Reporting is for immediate relative of DP										
	i. Name of the immediate relative of DP	Sandhya Gupta									
	ii. PAN of the immediate relative of DP	BBRPG0639L									
	C. Details of transaction(s)										
	i. Name of the Scrip	Indian Energy Exchange Limited BSE: 540750 NSE: IEX									
	ii. No of shares traded and value (₹) (Date- wise)	<div style="display: flex; align-items: flex-start;"> <div style="flex: 1;"> <p>(i) For Rajesh Kumar Gupta: Nil</p> <p>(i) For Sandhya Gupta (immediate relative of DP)</p> <table border="1" style="width: 100%; border-collapse: collapse; margin-top: 5px;"> <thead> <tr> <th style="width: 30%;">Date</th><th style="width: 35%;">No of shares</th><th style="width: 35%;">Value (₹)</th></tr> </thead> <tbody> <tr> <td>15-09-2026</td><td>750 (BUY)</td><td>84,833</td></tr> <tr> <td>21-09-2026</td><td>750 (SELL)</td><td>86,063</td></tr> </tbody> </table> </div> <div style="flex: 1; margin-left: 10px;"> </div> </div>	Date	No of shares	Value (₹)	15-09-2026	750 (BUY)	84,833	21-09-2026	750 (SELL)	86,063
Date	No of shares	Value (₹)									
15-09-2026	750 (BUY)	84,833									
21-09-2026	750 (SELL)	86,063									
	D. In case value of trade(s) is more than ₹10 lacs in a calendar quarter										
	i. Date of intimation of trade(s) by concerned DP / director/ promoter/ promoter group to Company under Regulation 7 of SEBI (PIT) Regulations, 2015.	Not Applicable									
	ii. Date of intimation of trade(s) by Company to stock exchanges under regulation 7 of SEBI (PIT) Regulations, 2015.	Not Applicable									

Sr. No.	Particulars	Details												
4.	Details of violations observed under Code of Conduct	The aforesaid sale transaction of 21/09/2026 was executed within six months of the purchase transaction of 15/09/2026 thereby constituting a Contra Trade under the Company’s Code of Conduct.												
5.	Action taken by Listed company/ Intermediary/ Fiduciary	<p>The Company has directed the Designated Person to remit 100% of the profit earned, amounting to ₹1,230/-, to SEBI Investor Protection and Education Fund.</p> <p>Further, the Company has issued a strict warning letter to the Designated Person, advising him to ensure strict compliance with the Company’s Code of Conduct and cautioning him against recurrence of any such violation, whether by himself or his immediate relative, in the future.</p>												
6.	Reasons recorded in writing for taking action stated above	Violation of Company’s Code of Company by execution of Contra Trade by immediate relative of the Designated Person.												
7.	Details of the previous instances of violations, if any, since last financial year	None												
8.	If any amount collected for Code of Conduct violation(s)	₹1,230/- Being the profit on contra trade which has been disgorged and remitted to SEBI Investor Protection and Education Fund.												
	i. Mode of transfer to SEBI - IPEF (Online/Demand Draft)	Online (by UPI)												
	ii. Details of transfer	<table><tr><th>Particulars</th><th>Details</th></tr><tr><td>Name of the transferor</td><td>Rajesh Gupta</td></tr><tr><td>Bank Name, branch and Account number</td><td>HDFC Bank Ahura Centre Mahakali cave Andheri East, Mumbai</td></tr><tr><td>Transaction reference Number</td><td>Reference ID 261030291017271</td></tr><tr><td>Transaction date</td><td>30/10/2026</td></tr><tr><td>Transaction Amount (in ₹)</td><td>1,230.00</td></tr></table>	Particulars	Details	Name of the transferor	Rajesh Gupta	Bank Name, branch and Account number	HDFC Bank Ahura Centre Mahakali cave Andheri East, Mumbai	Transaction reference Number	Reference ID 261030291017271	Transaction date	30/10/2026	Transaction Amount (in ₹)	1,230.00
Particulars	Details													
Name of the transferor	Rajesh Gupta													
Bank Name, branch and Account number	HDFC Bank Ahura Centre Mahakali cave Andheri East, Mumbai													
Transaction reference Number	Reference ID 261030291017271													
Transaction date	30/10/2026													
Transaction Amount (in ₹)	1,230.00													
9.	Any other relevant information	None												

Report by Indian Energy Exchange Limited for violations related to Code of Conduct under SEBI (Prohibition of Insider Trading) Regulations, 2015.

[For listed companies: Schedule B read with Regulation 9 (1) of SEBI (Prohibition of Insider Trading) Regulations, 2015.]

Sr. No.	Particulars	Details																		
1.	Name of the listed company/ Intermediary/Fiduciary	Indian Energy Exchange Limited																		
2.	Please tick appropriate checkbox Reporting in capacity of: ☐ Listed Company ☐ Intermediary ☐ Fiduciary	Listed Company																		
3.	A. Details of Designated Person (DP)																			
	i. Name of the DP	Sunil Vallabhbhai Chauhan																		
	ii. PAN of the DP	AJAPC8013A																		
	iii. Designation of DP	Assistant Vice President, Exchange Technology																		
	iv. Functional Role of DP	Exchange Technology function																		
	v. Whether DP is Promoter or belongs to Promoter Group	Not Applicable																		
	B. If Reporting is for immediate relative of DP																			
	i. Name of the immediate relative of DP	Vallabhbhai Kalyanbhai Chauhan																		
	ii. PAN of the immediate relative of DP	ARUPC2422P																		
	C. Details of transaction(s)																			
	i. Name of the Scrip	Indian Energy Exchange Limited BSE: 540750 NSE: IEX																		
	ii. No of shares traded and value (₹) (Date-wise)	<p>(i) For Sunil Vallabhbhai Chauhan</p> <table border="1"> <thead> <tr> <th>Date</th><th>No of shares</th><th>Value</th></tr> </thead> <tbody> <tr> <td>30-03-2026</td><td>200 (BUY)</td><td>23,010</td></tr> <tr> <td>21-09-2026</td><td>200(SELL)</td><td>22,872</td></tr> </tbody> </table> <p>(ii) For Vallabhbhai Kalyanbhai Chauhan (immediate relative of DP)</p> <table border="1"> <thead> <tr> <th>Date</th><th>No of shares</th><th>Value</th></tr> </thead> <tbody> <tr> <td>30-03-2026</td><td>150 (BUY)</td><td>17,310</td></tr> <tr> <td>21-09-2026</td><td>150(SELL)</td><td>17,151</td></tr> </tbody> </table>	Date	No of shares	Value	30-03-2026	200 (BUY)	23,010	21-09-2026	200(SELL)	22,872	Date	No of shares	Value	30-03-2026	150 (BUY)	17,310	21-09-2026	150(SELL)	17,151
Date	No of shares	Value																		
30-03-2026	200 (BUY)	23,010																		
21-09-2026	200(SELL)	22,872																		
Date	No of shares	Value																		
30-03-2026	150 (BUY)	17,310																		
21-09-2026	150(SELL)	17,151																		
	D. In case value of trade(s) is more than ₹10 lacs in a calendar quarter																			
	i. Date of intimation of trade(s) by concerned DP / director/ promoter/ promoter group to Company under Regulation 7 of SEBI (PIT) Regulations, 2015	Not Applicable																		
	ii. Date of intimation of trade(s) by Company to stock exchanges under regulation 7 of SEBI (PIT) Regulations, 2015	Not Applicable																		

Sr. No.	Particulars	Details
4.	Details of violations observed under Code of Conduct	<p>(i) For Sunil Vallabhbhai Chauhan</p> <p>The sale transaction of 21/09/2026 was executed within six months of the purchase transaction of 30/03/2026 thereby constituting a Contra Trade under the Code of Conduct.</p> <p>(ii) For Vallabhbhai Kalyanbhai Chauhan (immediate relative of DP)</p> <p>The sale transaction of 21/09/2026 was executed within six months of the purchase transaction of 30/03/2026 thereby constituting a Contra Trade under the Code of Conduct.</p>
5.	Action taken by Listed company/ Intermediary/ Fiduciary	<p>Both the above contra-trade transactions were executed at a loss, and accordingly, neither the Designated Person nor his immediate relative derived any profit from the said transactions. Consequently, no disgorgement of profits arises in the present case.</p> <p>The Company has issued a strict warning letter to the Designated Person, advising him to ensure strict compliance with the Company's Code of Conduct and cautioning him against recurrence of any such violation, whether by himself or his immediate relative, in the future.</p>
6.	Reasons recorded in writing for taking action stated above	Violation of Company's Code of Company by execution of Contra Trade by the Designated person and his immediate relative.
7.	Details of the previous instances of violations, if any, since last financial year	None
8.	If any amount collected for Code of Conduct violation(s)	Nil
	i. Mode of transfer to SEBI - IPEF (Online/Demand Draft)	Not Applicable
	ii. Details of transfer	Not Applicable
9.	Any other relevant information	None

Yours faithfully,

For Indian Energy Exchange Limited

VINEET
 HARLALKA

Digitally signed by: VINEET
 HARLALKA
 DN: CN = VINEET HARLALKA C =
 IN O = Personal
 Date: 2026.10.30 17:52:01 +05'30'

Vineet Harlalka

CFO, Company Secretary & Compliance Officer

Membership No. ACS-16264

Date: October 30, 2026

Place: Noida