Dated: May 25, 2021

The Manager
BSE Limited
Corporate Relationship Department
Phiroze Jeejeebhoy Towers
Dalal Street
Mumbai- 400001

Scrip Code: 540750

The Manager
National Stock Exchange of India Ltd
Listing Department
Exchange Plaza, 5th Floor, Plot No. C/1
G Block, Bandra Kurla Complex
Bandra (E), Mumbai-400 051

Symbol: IEX

Subject: Annual Secretarial Compliance Report for the Financial Year ended March 31, 2021

Dear Sir / Madam,

Pursuant to Regulation 24A of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, please find enclosed the Annual Secretarial Compliance Report of the Company for the financial year ended March 31, 2021 issued by M/s MNK And Associates LLP, Company Secretaries, the Secretarial Auditor of the Company.

The above information will also be made available on the website of the Company: www.iexindia.com

You are requested to take the above information on record.

Thanking You.

Yours faithfully,

For **Indian Energy Exchange Limited**

VINEET HARLALKA
Digitally signed by VINEET HARLALKA
Date: 2021.05.25 12:12:57 +05'30'

Vineet Harlalka
CFO, Company Secretary & Compliance Officer
Membership No. ACS-16264

Encl: as above

MNK AND ASSOCIATES LLP
Company Secretaries, LLPIN: AAM-9113
Regd office: G-41, Ground Floor, West Patel Nagar, New Delhi – 110008
Tel: +91-11-45095230; Mobile: +91-9818156340; Email: nazim@mnkassociates.com

SECRETARIAL COMPLIANCE REPORT OF INDIAN ENERGY EXCHANGE LIMITED FOR THE YEAR ENDED MARCH 31, 2021

To,
The Members
Indian Energy Exchange Limited
Registered Office:
1st Floor, Unit No.1.14(a)
Avanta Business Centre Southern Park
D-2, District Centre, Saket
New Delhi 110017.

Corporate office:
Plot No. C-001/A/1, 9th Floor,
Max Towers Sector 16B
Gautam Buddha Nagar, Noida
Uttar Pradesh-201301.

We, MNK and Associates LLP, Firm of Company Secretaries through its Designated Partner Mohd Nazim Khan, Company Secretary in Practice (FCS-6529 and CP-8245), have examined:

(a) all the documents and records made available to us and explanation provided by Indian Energy Exchange Limited ("the listed entity"),
(b) the filings/ submissions made by the listed entity to the stock exchanges,
(c) website of the listed entity,
(d) any other document/ filing, as may be relevant, which has been relied upon to make this certification,

for the year ended March 31, 2021 ("Review Period") in respect of compliance with the provisions of:

(a) the Securities and Exchange Board of India Act, 1992 ("SEBI Act") and the Regulations, circulars, guidelines issued thereunder; and
(b) the Securities Contracts (Regulation) Act, 1956 ("SCRA"), rules made thereunder and the Regulations, circulars, guidelines issued thereunder by the Securities and Exchange Board of India ("SEBI");

The specific Regulations, whose provisions and the circulars/ guidelines issued thereunder, have been examined, include:-

(a) Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015 ("LODR");
(b) Securities and Exchange Board of India (Issue of Capital and Disclosure Requirements) Regulations, 2018;

(c) Securities and Exchange Board of India (Substantial Acquisition of Shares and Takeovers) Regulations, 2011;
(d) Securities and Exchange Board of India (Buyback of Securities) Regulations, 2018; **(Not Applicable during the Review Period)**
(e) Securities and Exchange Board of India (Share Based Employee Benefits) Regulations, 2014;
(f) Securities and Exchange Board of India (Issue and Listing of Debt Securities) Regulations, 2008; **(Not Applicable during the Review Period)**
(g) Securities and Exchange Board of India (Issue and Listing of Non-Convertible and Redeemable Preference Shares) Regulations,2013; **(Not Applicable during the Review Period)**
(h) Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015;
(i) The Securities and Exchange Board of India (Registrars to an Issue and Share Transfer Agents) Regulations, 1993 regarding the Companies Act and dealing with client; and
(j) The Securities and Exchange Board of India (Depositories and Participants) Regulations, 2018.

and circulars/ guidelines issued thereunder; and based on the above examination, I/We hereby report that, during the Review Period:

(a) The listed entity has complied with the provisions of the above Regulations and circulars/ guidelines issued thereunder, except in respect of matters specified below:-

During the year two cases of violations were observed by the Compliance Officer, pertaining to the Company's Code of conduct for prevention of insider trading and prohibition of unfair trade practices ("Code") relating to the securities market and SEBI (Prohibition of Insider Trading) Regulations, 2015. The Company took necessary action as provided under the applicable Regulations.

(b) The listed entity has maintained proper records under the provisions of the above Regulations and circulars/ guidelines issued thereunder in so far as it appears from my/our examination of those records.

(c) The following are the details of actions taken against the listed entity/ its promoters/ directors/ material subsidiaries either by SEBI or by Stock Exchanges (including under the Standard Operating Procedures issued by SEBI through various circulars) under the LODR issued thereunder:

Sr. No.	**Action taken by**	**Details of violation**	**Details of action taken E.g. fines, warning letter, debarment, etc**	**Observations/remarks of the Practicing Company Secretary, if any.**
NIL				

(d) The listed entity has taken the following actions to comply with the observations made in previous reports:

Sr. No.	Observations of the Practicing Company Secretary in the previous reports	Observations made in the secretarial compliance report for the year ended (The years are to be mentioned)	Actions taken by the listed entity, if any.	Comments of the Practicing Company Secretary on the actions taken by the listed entity
NIL				

For MNK and Associates LLP
Company Secretaries
FRN: L2018DE004900

MOHD NAZIM KHAN

Digitally signed by MOHD NAZIM KHAN
DN: c=IN, o=Personal, 2.5.4.20=e6f25e97019395f141f9864418bdecdf5611b116cbcf41c969f16c3a05e6d51ae1be76, postalCode=110005, st=DELHI, serialNumber=bc10e6fe9fbd4f5d498cc5b516e63aa27490&4ccdbc558507f9fa42cb80da, cn=MOHD NAZIM KHAN
Date: 2021.05.20 11:20:53 +05'30'

Mohd. Nazim Khan
(Designated Partner)
FCS: 6529; CP-8245
UDIN: F006529C000272036

Place: New Delhi
Date: 13.05.2021