

S. Khurana & Associates

Company Secretaries

CERTIFICATE UNDER REGULATION 40 (9) OF THE SEBI (LISTING OBLIGATIONS AND DISCLOSURE REQUIREMENTS) REGULATIONS, 2015

FOR THE HALF YEAR ENDED ON MARCH 31, 2021

On the basis of examination of relevant books, registers, forms, documents and papers of **OCL Iron & Steel Limited (CIN - L27102OR2006PLC008594)**, having Registered Office at Village Lamloi, PO Garvana, Rajganjpur – 770017, Odisha produced before us for the purpose of issuing a Certificate as per Regulation 40 (9) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 and on the basis of the information furnished to us, which to the best of our knowledge and belief was necessary for the certification, we hereby certify that during the period from **OCTOBER 01, 2020 to MARCH 31, 2021** the company, through its Registrar & Transfer Agent, **C B Management Services Private Limited**, has not received:

- A) any request relating to the share transfers during the period from **OCTOBER 01, 2020 to MARCH 31, 2021**.
- B) any request for exchange of duplicate certificate / split certificate / replacement or consolidation of certificate.

Further, Company has received 1 (One) request(s) for transmission of shares involving 1800 shares which was processed within 21 days from date of lodgment.

Date : April 18, 2021

Place: New Delhi



For S. Khurana & Associates
Company Secretaries

[Signature]
18/04/2021

Sachin Khurana
Practicing Company Secretary
M. No: F-10098; COP-13212
UDIN - F010098C000122188