

HFFCIL/BSE/NSE/EQ/51/2021-22

Date: 03-08-2021

To, BSE Limited, Department of Corporate Services, Phiroze Jeejeebhoy Towers, Dalal Street, Mumbai- 400001. Scrip Code- 543259	To, The National Stock Exchange of India Limited, The Listing Department, Bandra Kurla Complex, Mumbai- 400 051. Scrip Symbol- HOMEFIRST
--	---

**Sub: Erroneously traded in the shares of the Company in violation of the Code of Conduct for Regulating,
Monitoring and Reporting of Trading ('Code of Conduct') by the immediate relatives of the
Designated Persons.**

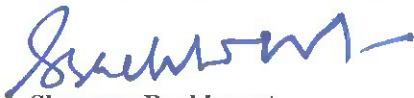
Dear Sir/Madam,

Pursuant to Clause 13 of Schedule B read with Regulation 9 (1) of SEBI (Prohibition of Insider Trading) Regulations, 2015 and SEBI Circular: SEBI/HO/ISD/ISD/CIR/P/2020/135 dated July 23, 2020, we would like to inform that immediate relative of two of the Designated Persons have erroneously traded in the securities of the Company during the trading window closure period leading to violation of code of conduct of the Company. The details of their trades are annexed in the prescribed format to this intimation. The disciplinary actions as stated in the Annexures have been taken against the Designated Persons.

This is for your information and record.

Thanking You,

For Home First Finance Company India Limited



Shreyans Bachhawat
Company Secretary and Compliance Officer
ACS NO: 26700

Annexure A

Report by Home First Finance Company India Limited for violations related to Code of Conduct under SEBI (Prohibition of Insider Trading) Regulations, 2015.

[For listed companies: Schedule B read with Regulation 9 (1) of SEBI (Prohibition of Insider Trading) Regulations, 2015]

Sr.No.	Particulars	Details
1	Name of the listed company	Home First Finance Company India Limited
2	<i>Please tick appropriate checkbox</i> Reporting in capacity of : Listed Company Intermediary Fiduciary	Listed Company
3	A. Details of Designated Person (DP)	
	i. Name of the DP	Ms. Mita Das
	ii. PAN of the DP	BQEPD3944F
	iii. Designation of DP	Operations Manager
	iv. Functional Role of DP	Operations
	v. Whether DP is Promoter or belongs to Promoter Group	No
	B. If Reporting is for immediate relative of DP	
	i. Name of the immediate relative of DP	Mr. Sanket Shahir
	ii. PAN of the immediate relative of DP	FZRPS4954L
	C. Details of transaction(s)	
	i. Name of the scrip	Home First Finance Company India Limited
	ii. No of shares traded and value (Rs.) (Date- wise)	Sale of 1270 shares of Rs. 7,35,393.50 on July 8, 2021.
	D. In case value of trade(s) is more than Rs.10 lacs in a calendar quarter	
	i. Date of intimation of trade(s) by concerned DP / director / promoter / promoter group to Company under regulation 7 of SEBI (PIT) Regulations, 2015	NA



	ii.	Date of intimation of trade(s) by Company to stock exchanges under regulation 7 of SEBI (PIT) Regulations, 2015	NA
4		Details of violations observed under Code of Conduct	Sale of 1270 shares in the trading window closure period.
5		Action taken by Listed company/ Intermediary/ Fiduciary	1. Restriction on employee and his immediate relatives to trade in the shares of the Company for a period of 6 months. However, she can only exercise her ESOPs. 2. A warning letter has been issued that any further instance may lead to severe consequences.
6		Reasons recorded in writing for taking action stated above	The above action was taken considering that the immediate relative of the DP had traded inadvertently.
7		Details of the previous instances of violations, if any, since last financial year	No previous violation
8		If any amount collected for Code of Conduct violation(s)	
	i.	Mode of transfer to SEBI – IPEF (Online/Demand Draft)	N.A.
	ii.	Details of transfer/payment	N.A.
9		Any other relevant information	The warning letter has been issued to the Designated Person on July 31, 2021

Yours faithfully,

For Home First Finance Company India Limited



Shreyans Bachhawat
Company Secretary & Compliance Officer

Date: 03-08-2021

Place: Mumbai

PAN: AJDPB9500E

Email ID: shreyans.bachhawat@homefirstindia.com



Annexure A

Report by Home First Finance Company India Limited for violations related to Code of Conduct under SEBI (Prohibition of Insider Trading) Regulations, 2015.

[For listed companies: Schedule B read with Regulation 9 (1) of SEBI (Prohibition of Insider Trading) Regulations, 2015]

Sr.No.	Particulars	Details
1	Name of the listed company	Home First Finance Company India Limited
2	Please tick appropriate checkbox Reporting in capacity of : Listed Company Intermediary Fiduciary	Listed Company
3	A. Details of Designated Person (DP)	
i.	Name of the DP	Mr. Prashant Gajaria
ii.	PAN of the DP	AHMPG5771H
iii.	Designation of DP	Regional Manager- North Gujarat
iv.	Functional Role of DP	Sales & Collection
v.	Whether DP is Promoter or belongs to Promoter Group	No
	B. If Reporting is for immediate relative of DP	
i.	Name of the immediate relative of DP	Ms. Arpita Gajaria
ii.	PAN of the immediate relative of DP	AHVPB1887G
	C. Details of transaction(s)	
i.	Name of the scrip	Home First Finance Company India Limited
ii.	No of shares traded and value (Rs.) (Date- wise)	1.Purchase of 140, 10, 3, 17 and 30 equity shares of Rs. 76,126 on February 8, 2021, February 15, 2021, March 1, 2021, March 15, 2021 and March 16, 2021 respectively amounting to Rs.1,06,675.10. 2.Sale of 200 equity shares on July 8, 2021 amounting to Rs. 1,13,390.
	D. In case value of trade(s) is more than Rs.10 lacs in a calendar quarter	



	i.	Date of intimation of trade(s) by concerned DP/director/promoter/promoter group to Company under regulation 7 of SEBI (PIT) Regulations, 2015	NA						
	ii.	Date of intimation of trade(s) by Company to stock exchanges under regulation 7 of SEBI (PIT) Regulations, 2015	NA						
4	Details of violations observed under Code of Conduct		Contra trade and sale and purchase of shares during the trading window closure period.						
5	Action taken by Listed company/ Intermediary/ Fiduciary		1. To recover the amount to the extent of total gain made and credit the same to Investor Protection & Education Fund administered by Securities Exchanged Board of India in compliance with Schedule B Clause 10 of the Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015 with respect to employee entering into contra trade. 2. Restriction on employee and his immediate relatives to trade in the shares of the Company for a period of 6 months. However, he can only exercise his ESOPs. 3. A warning letter has been issued that any further instance may lead to severe consequences.						
6	Reasons recorded in writing for taking action stated above		The above action was taken considering that the immediate relative of the DP had traded inadvertently.						
7	Details of the previous instances of violations, if any, since last financial year		No previous violation						
8	If any amount collected for Code of Conduct violation(s)								
	i. Mode of transfer to SEBI – IPEF (Online/Demand Draft)		Online						
	ii. Details of transfer/payment		<table><tr><td>Name of Beneficiary</td><td>SEBI – IPEF</td></tr><tr><td>Bank Name</td><td>Bank of India</td></tr><tr><td>Bank Branch</td><td>Bandra Kurla Complex (BKC)</td></tr></table>	Name of Beneficiary	SEBI – IPEF	Bank Name	Bank of India	Bank Branch	Bandra Kurla Complex (BKC)
Name of Beneficiary	SEBI – IPEF								
Bank Name	Bank of India								
Bank Branch	Bandra Kurla Complex (BKC)								



		<table><tr><td>Account Number</td><td>012210210000008</td></tr><tr><td>IFSC Code</td><td>BKID0000122</td></tr><tr><td>Amount</td><td>Rs.6715/-</td></tr><tr><td>Date</td><td>31-07-2021</td></tr></table>	Account Number	012210210000008	IFSC Code	BKID0000122	Amount	Rs.6715/-	Date	31-07-2021
Account Number	012210210000008									
IFSC Code	BKID0000122									
Amount	Rs.6715/-									
Date	31-07-2021									
9	Any other relevant information	The warning letter has been issued to the Designated Person on July 31, 2021								

Yours faithfully,

For Home First Finance Company India Limited



Shreyans Bachhawat

Company Secretary & Compliance Officer

Date: 03-08-2021

Place: Mumbai

PAN: AJDPB9500E

Email ID: shreyans.bachhawat@homefirstindia.com

