

HZL/2023-SECY/

February 27, 2023

BSE Limited
P.J. Towers, Dalal Street,
Mumbai - 400001

National Stock Exchange of (India) Limited
“Exchange Plaza”
Bandra-Kurla Complex,
Mumbai – 400051

Kind Attn: - General Manager,
Dept. of Corporate Services

Kind Attn:- Head - Listing & Corporate
Communications

Scrip Code: 500188

Trading Symbol: HINDZINC-EQ

Sub: Reporting of violation under SEBI (Prohibition of Insider Trading) Regulations, 2015

Pursuant to the provisions of the SEBI (Prohibition of Insider Trading) Regulations, 2015 read with SEBI Circular no. SEBI/HO/ISD/ISD/CIR/P/2020/135 dated July 23, 2020 and the Insider Trading Prohibition Code (‘Code’) of the Hindustan Zinc Limited (‘the Company’), please find enclosed herewith **Annexure A** w.r.t details of violation of the Company’s Code by the Designated Person along with the action taken by the Company.

This is for your information and record.

Thanking you,

Yours faithfully,
For Hindustan Zinc Limited

Rajendra Pandwal
Company Secretary & Compliance Officer

**Report by Hindustan Zinc Limited for violations related to Code of Conduct under SEBI
(Prohibition of Insider Trading) Regulations, 2015**

Sr. No.	Particulars	Details (2)
1	Name of the listed company/ Intermediary/Fiduciary	Hindustan Zinc Limited
2	Please tick appropriate checkbox.Reporting in capacity of: <input checked="" type="checkbox"/> Listed Company <input type="checkbox"/> Intermediary <input type="checkbox"/> Fiduciary	-
3	A. Details of Designated Person (DP)	
	i. Name of the DP	Mr. Manas Ketkar
	ii. PAN of the DP	AMFPK8405L
	iii. Designation of DP	Partner, Deloitte Touche Tohmatsu India LLP, fiduciary rendering advisory services
	iv. Functional Role of DP	A team member of an engagement providing certain advisory services to Hindustan Zinc Limited. DP's role relates to implementation of a risk management tool developed by a third party.
	v. Whether DP is Promoter or belongs to Promoter Group	No
	B. If Reporting is for immediate relative of DP	
	i. Name of the immediate relative of DP	-
	ii. PAN of the immediate relative of DP	-
	C. Details of transaction(s)	
	i. Name of the scrip	HINDZINC (NSE), 500188 (BSE)
	ii. No of shares traded and value (Rs.) (Date-wise)	Purchased 25 shares on 31st January 2023 at an amount of ₹ 8337.5
	D. In case value of trade(s) is more than Rs.10 lacs in a calendar quarter	
	i. Date of intimation of trade(s) by concerned DP/director/promoter/promoter group to Company under regulation 7 of SEBI (PIT) Regulations, 2015	N.A.
	ii. Date of intimation of trade(s) by Company to stock exchanges under regulation 7 of SEBI (PIT) Regulations, 2015	N.A.
4	Details of violations observed under Code of Conduct	Traded in securities of the Company without seeking pre-clearance
5	Action taken by Listed company/ Intermediary/Fiduciary	A penalty of Rs 834 (Rupees Eight Hundred Thirty Four) i.e., 10% of the traded amount has been levied by the Insider Trading Monitoring Committee of the Company

Hindustan Zinc Limited

Registered Office: Yashad Bhawan, Udaipur (Rajasthan) - 313 004

Tel.: (91-294)6604000-02, Fax: (91-294) 2427739

CIN: L27204RJ1966PLC001208, www.hzindia.com

Sensitivity: Internal (C3)

6	Reasons recorded in writing for taking action stated above	Considering the role of Mr. Manas Ketkar and taking into account the fact that the transaction of small value was done inadvertently by oversight, without any intention of profiteering, the Insider Trading Monitoring Committee took aforesaid action.
7	Details of the previous instances of violations, if any, since last financial year	None
8	If any amount collected for Code of Conduct violation(s)	Yes
	i. Mode of transfer to SEBI - IPEF (Online/Demand Draft)	Online
	ii. Details of transfer/payment	
	In case of Online: Name of the transferor Bank Name, branch and Account number UTR/Transaction reference NumberTransaction date Transaction Amount (in Rs.)	Mr. Manas Ketkar ICICI Bank, Shivajinagar Branch, Pune Account number – 003901074209 305113277057 20 th February 2023 Rs. 834
	In case of Demand Draft (DD): Bank Name and branch DD Number DD date DD amount (in Rs.)	N.A.
9	Any other relevant information	None

Yours faithfully,

Rajendra Pandwal
Company Secretary & Compliance Officer
PAN: ABOPP8051H
Email Id: Rajendra.Pandwal@vedanta.co.in
Date: February 27, 2023
Place: Udaipur

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