

HZL/2023-SECY/

March 17, 2023

BSE Limited
P.J. Towers, Dalal Street,
Mumbai - 400001

National Stock Exchange of (India) Limited
“Exchange Plaza”
Bandra-Kurla Complex,
Mumbai – 400051

Kind Attn: - General Manager,
Dept. of Corporate Services

Kind Attn:- Head - Listing & Corporate
Communications

Scrip Code: 500188

Trading Symbol: HINDZINC-EQ

Sub: Reporting of violation under SEBI (Prohibition of Insider Trading) Regulations, 2015

Pursuant to the provisions of the SEBI (Prohibition of Insider Trading) Regulations, 2015 read with SEBI Circular no. SEBI/HO/ISD/ISD/CIR/P/2020/135 dated July 23, 2020 and the Insider Trading Prohibition Code (‘Code’) of the Hindustan Zinc Limited (‘the Company’), please find enclosed herewith **Annexure A** w.r.t details of violation of the Company’s Code by the Designated Person along with the action taken by the Company.

This is for your information and record.

Thanking you,

Yours faithfully,
For Hindustan Zinc Limited

Rajendra Pandwal
Company Secretary & Compliance Officer

**Report by Hindustan Zinc Limited for violations related to Code of Conduct under SEBI
(Prohibition of Insider Trading) Regulations, 2015**

| Sr. No. | Particulars | Details (2) |
|---------|--|---|
| 1 | Name of the listed company/ Intermediary/Fiduciary | Hindustan Zinc Limited |
| 2 | Please tick appropriate checkbox.Reporting in capacity of: <input checked="" type="checkbox"/> Listed Company <input type="checkbox"/> Intermediary <input type="checkbox"/> Fiduciary | - |
| 3 | A. Details of Designated Person (DP) | |
| | i. Name of the DP | Ms. Sheeba Mashruwala |
| | ii. PAN of the DP | APJPM3019B |
| | iii. Designation of DP | Business Transformation Office |
| | iv. Functional Role of DP | Business Transformation Office (BTO) (PMO) |
| | v. Whether DP is Promoter or belongs to Promoter Group | No |
| | B. If Reporting is for immediate relative of DP | |
| | i. Name of the immediate relative of DP | Mr. Wasim Mohammed |
| | ii. PAN of the immediate relative of DP | ANYPM7191H |
| | C. Details of transaction(s) | |
| | i. Name of the scrip | HINDZINC (NSE), 500188 (BSE) |
| | ii. No of shares traded and value (Rs.) (Date-wise) | Purchased 100 shares on 06th March, 2023 at an amount of ₹ 31200 |
| | D. In case value of trade(s) is more than Rs.10 lacs in a calendar quarter | |
| | i. Date of intimation of trade(s) by concerned DP/director/promoter/promoter group to Company under regulation 7 of SEBI (PIT) Regulations, 2015 | N.A. |
| | ii. Date of intimation of trade(s) by Company to stock exchanges under regulation 7 of SEBI (PIT) Regulations, 2015 | N.A. |
| 4 | Details of violations observed under Code of Conduct | Mr. Wasim Mohammed spouse of Ms. Sheeba Mashruwala purchased 100 shares of the Company without seeking pre-clearance |
| 5 | Action taken by Listed company/ Intermediary/Fiduciary | A penalty of Rs 3120 (Rupees Three Thousand One Hundred Twenty) i.e., 10% of the traded amount has been levied by the Insider Trading Monitoring Committee of the Company |
| 6 | Reasons recorded in writing for taking action stated above | Considering the role of Ms. Sheeba Mashruwala and taking into account the fact that the transaction was done inadvertently by her |

Hindustan Zinc Limited

Registered Office: Yashad Bhawan, Udaipur (Rajasthan) - 313 004

Tel.: (91-294)6604000-02, Fax: (91-294) 2427739

CIN: L27204RJ1966PLC001208, www.hzindia.com

Sensitivity: Internal (C3)

| | | |
|---|---|---|
| | | spouse, without any intention of profiteering, the Insider Trading Monitoring Committee took aforesaid action. |
| 7 | Details of the previous instances of violations, if any, since last financial year | None |
| 8 | If any amount collected for Code of Conduct violation(s) | Yes |
| | i. Mode of transfer to SEBI - IPEF (Online/Demand Draft) | Online |
| | ii. Details of transfer/payment | |
| | In case of Online: Name of the transferor Bank Name, branch and Account number UTR/Transaction reference NumberTransaction date Transaction Amount (in Rs.) | Ms. Sheeba Mashruwala ICICI Bank, Madhuban Branch, Udaipur Account number – 004501521187 307416015327 15th March 2023 Rs. 3120 |
| | In case of Demand Draft (DD): Bank Name and branch DD Number DD date DD amount (in Rs.) | N.A. |
| 9 | Any other relevant information | None |

Yours faithfully,

Rajendra Pandwal
Company Secretary & Compliance Officer
PAN: ABOPP8051H
Email Id: Rajendra.Pandwal@vedanta.co.in
Date: March 17, 2023
Place: Udaipur

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