



28th February, 2022

BSE Limited Phiroze Jeejeebhoy Towers Dalal Street Mumbai: 400 001 Scrip Code: 500440	National Stock Exchange of India Limited Exchange Plaza, 5th Floor Plot No. C/1, G Block Bandra Kurla Complex Bandra (East) Mumbai - 400 051 Scrip Code: HINDALCO
Mr. Daniel Schammo Banque Internationale A Luxembourg Societe Anonyme 69, Route d'Esch L-2953 Luxembourg Fax No. 00 352 4590 2010 Tel. No. 00 352 4590-1	

Dear Sir/ Madam,

Sub: Reporting to Stock Exchanges regarding violations under Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015 relating to the Code of Conduct (CoC)

Ref: SEBI/HO/ISD/ISD/CIR/P/2020/135 circular dated 23rd July, 2020

With reference to the above, please find enclosed herewith report on violations under SEBI (Prohibition of Insider Trading) Regulations, 2015 relating to the Code of Conduct by Designated persons and or their immediate relatives.

This is for your information.

Thanking You.

For Hindalco Industries Limited

Anil Malik
President & Company Secretary

Hindalco Industries Limited

6th & 7th Floor, Birla Centurion, Pandurang Budhkar Marg, Worli, Mumbai - 400030, India
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Registered Office : Ahura Centre, 1st Floor, B wing, Mahakali Caves Road, Andheri (East),
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Corporate ID No: L27020MH1958PLC011238

Annexure A

Report by Hindalco Industries Limited for violations related to Code of Conduct under SEBI (Prohibition of Insider Trading) Regulations, 2015.

Sr No	Particulars	Details
1	Name of the listed company	Hindalco Industries Limited
2	Please tick appropriate checkbox Reporting in capacity of : ☒ Listed Company ☐ Intermediary ☐ Fiduciary	Listed Company
3	A. (1) Details of Designated Person (DP)	
	i. Name of the DP	Mr. Tapas Biswas
	ii. PAN of the DP	ABEPB0945L
	iii. Designation of DP	Vice President
	iv. Functional Role of DP	Business Development
	v. Whether DP is Promoter or belongs to Promoter Group	No
	A. (2) Details of Designated Person (DP)	
	i. Name of the DP	Mr. Amar Ghatak
	ii. PAN of the DP	AFWPG1407L
	iii. Designation of DP	Senior Manager
	iv. Functional Role of DP	Lead Built Quality in Process- Corporate Quality Downstream
	v. Whether DP is Promoter or belongs to Promoter Group	No
	A. (3) Details of Designated Person (DP)	
	i. Name of the DP	Mr. Subhramanyu Kund
	ii. PAN of the DP	CDTPK7837H
	iii. Designation of DP	Assistant Manager
	iv. Functional Role of DP	Mines
	v. Whether DP is Promoter or belongs to Promoter Group	No

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	A. (4) Details of Designated Person (DP)	
	i. Name of the DP	Mr. Ankur Dokania
	ii. PAN of the DP	AJFPD5813L
	iii. Designation of DP	Asst Vice President
	iv. Functional Role of DP	F & A
	v. Whether DP is Promoter or belongs to Promoter Group	No
	A. (5) Details of Designated Person (DP)	
	i. Name of the DP	Mr. Chandrashekhar Srirambatla
	ii. PAN of the DP	AKNPS9733K
	iii. Designation of DP	HOD -Logistics
	iv. Functional Role of DP	Logistics
	v. Whether DP is Promoter or belongs to Promoter Group	No
	A. (6) Details of Designated Person (DP)	
	i. Name of the DP	Ms. Prachi Priya
	ii. PAN of the DP	ARYPP4720F
	iii. Designation of DP	General Manager
	iv. Functional Role of DP	Risk Management
	v. Whether DP is Promoter or belongs to Promoter Group	No
	A. (7) Details of Designated Person (DP)	
	i. Name of the DP	Mr. Mohit Jha
	ii. PAN of the DP	ARFPJ7203E
	iii. Designation of DP	Asst Officer
	iv. Functional Role of DP	Marketing Accounts
	v. Whether DP is Promoter or belongs to Promoter Group	No
	A. (8) Details of Designated Person (DP)	
	i. Name of the DP	Mr. Mukesh Bhomia
	ii. PAN of the DP	AEEP6069C
	iii. Designation of DP	Senior Officer
	iv. Functional Role of DP	CFD
	v. Whether DP is Promoter or belongs to Promoter Group	No
	A. (9) Details of Designated Person (DP)	
	i. Name of the DP	Mr. Riteshkumar Joshi

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ii. PAN of the DP	AGCPJ5934A
iii. Designation of DP	Asst. Vice President
iv. Functional Role of DP	Department head: Smelter Lab & Capex @ Aditya Aluminium
v. Whether DP is Promoter or belongs to Promoter Group	No
B6. Name of the immediate relative of DP	Mr. Diwakar Kumar (Father of Ms. Prachi Priya)
PAN of the immediate relative of DP	ADNPK9935C
B8. Name of the immediate relative of DP	Mr. Rahul Bhomia (Son of Mr. Mukesh Bhomia)
PAN of the immediate relative of DP	BGCPB6476N
C. Details of transaction(s)	
i. Name of the scrip	Hindalco Industries Limited (Equity)
No of shares traded and value (Rs.) (Date- wise)	i) Mr. Tapas Biwas purchased 50 shares on 3rd January, 2022 @Rs.473 and sold 50 shares on the same day @Rs.473 during shut down period ii) Mr.Amar Ghatak sold 170 shares @474 per share on 3rd January, 2022 during shut down period iii) Mr. Subhramanyu Kund sold 40 shares @ Rs. 516 per share on 3rd February, 2022 during shut down period iv) Mr. Ankur Dokania sold 2 shares @Rs. 513 per share on 3rd February, 2022 during shut down period v) Mr. Chandrashekhar Srirambatla sold 6 shares @ Rs.494 per share on 11th

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		January, 2022 during shut down period vi) Mr. Diwakar Kumar father of Ms. Prachi Priya sold 1500 shares @ Rs. 443/- per share during the shut down period on 29 th July 2021 and further 1500 shares were sold @Rs.430/- per share on the same day i.e 29 th July 2021 during shut down period.
	ii. Name of the scrip	Hindalco Industries Limited (Derivative)
	No of shares traded and value (Rs.) (Date- wise)	1. Mr. Tapas Biswas exercised a put option for one lot of shares@Rs. 4.80 on 12th January, 2022 during shut down period 2. Mr. Mohit Jha exercised a put option for one Lot of shares @ Rs. 6.47/- on 8th November, 2021 during the shut - down period. 3. Mr. Rahul Bhomia son of Mr. Mukesh Bhomia exercised put option for two Lots of shares @ Rs. 4.30/- on 22nd October, 2021 during the shut - down period. Further he has exercised another put option for one Lot of shares @ Rs. 9.05/- during the shut - down period on 10th November , 2021. He has

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		exercised a put option for one Lot of shares @ Rs. 9.10/- per share during the shut - down period on 12th November, 2021. 4. Mr. Riteshkumar Joshi , exercised put option for two lots of share @ Rs. 16.93/- per share during the shut - down period on 28th October, 2021
	D. In case value of trade(s) is more than Rs.10 lacs in a calendar quarter	
	i. Date of intimation of trade(s) by concerned DP/director/promoter/promoter group to Company under regulation 7 of SEBI (PIT) Regulations, 2015	NA
	ii. Date of intimation of trade(s) by Company to stock exchanges under regulation 7 of SEBI (PIT) Regulations, 2015	NA
4.	Details of violations observed under Code of Conduct	Trading in the above securities of the Company were undertaken during the shut down period.
5.	Action taken by Listed company/ Intermediary/ Fiduciary	Committee has decided to issue written warning and penalty in line with Code of Conduct .
6.	Reasons recorded in writing for taking action stated above	The Committee reviewed and took the following action 1. Mr Tapas Biswas was levied penalty amounting to R. 1000 and also issued warning letter 2. Mr. Amar Ghatak was levied a penalty amounting Rs .6275 and also issued a warning letter 3. Mr. Subhramanyu Kund was levied a penalty of Rs. 1000

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		and also issued a warning letter 4. Mr. Ankur Dokania was levied a penalty of Rs. 1000 and also issued a warning letter 5. Mr. Chandrashekhar Srirambatla was levied a penalty of Rs. 1000 and also issued a warning letter 6. Ms. Prachi Priya was levied a penalty of Rs. 20,750 /-and also issued a warning letter 7. Mr. Mohit Jha was levied a penalty of Rs. 1000 and also issued a warning letter 8. Mr. Mukesh Bhomia was levied a penalty of Rs. 1000 and also issued a warning letter 9. Mr. Riteshkumar Joshi was levied a penalty of Rs. 1000 and also issued a warning letter																		
7.	Details of the previous instances of violations, if any, since last financial year	N.A																		
8.	If any amount collected for Code of Conduct violation(s) i. Mode of transfer to SEBI - IPEF (Online/Demand Draft) NA ii. Details of transfer/payment In case of Online: <table> <tr> <th>Particulars</th><th>Details</th><th>Details</th></tr> <tr> <td>Name of the transferor</td><td>-----</td><td></td></tr> <tr> <td>Bank Name, branch and Account number</td><td></td><td></td></tr> <tr> <td>UTR /Transaction Reference Number</td><td></td><td></td></tr> <tr> <td>Transaction date</td><td></td><td></td></tr> <tr> <td>Transaction Amount (in Rs.)</td><td></td><td></td></tr> </table> In case of Demand Draft (DD)		Particulars	Details	Details	Name of the transferor	-----		Bank Name, branch and Account number			UTR /Transaction Reference Number			Transaction date			Transaction Amount (in Rs.)		
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	Particulars	Details	
	Bank Name and branch		
	DD Number		
	DD date		
	DD amount (in Rs.)		
9.	Any other relevant information		-----

Date: 28th February, 2022

Place: Mumbai

For Hindalco Industries Limited



Anil Malik
President & Company Secretary

Hindalco Industries Limited

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