



16th August, 2022

BSE Limited Phiroze Jeejeebhoy Towers Dalal Street Mumbai: 400 001 Scrip Code: 500440	National Stock Exchange of India Limited Exchange Plaza, 5th Floor Plot No. C/1, G Block Bandra Kurla Complex Bandra (East) Mumbai – 400 051 Scrip Code: HINDALCO
Mr. Daniel Schammo Banque Internationale A Luxembourg Societe Anonyme 69, Route d'Esch L-2953 Luxembourg Fax No. 00 352 4590 2010 Tel. No. 00 352 4590-1	

Dear Sir/ Madam,

Sub: Reporting to Stock Exchanges regarding violations under Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015 relating to the Code of Conduct (CoC)

Ref: SEBI/HO/ISD/ISD/CIR/P/2020/135 circular dated 23rd July, 2020

With reference to the above, please find the details of amount transferred to SEBI IEPF under SEBI (Prohibition of Insider Trading) Regulations, 2015 relating to the Code of Conduct by Designated persons and or their immediate relatives.

This is for your information.

Thanking You.

For Hindalco Industries Limited

Anil Malik
President & Company Secretary

Hindalco Industries Limited

6th & 7th Floor, Birla Centurion, Pandurang Budhkar Marg, Worli, Mumbai – 400030, India
T:+91 22 66626666/62610555 | F:+912262610400/62610500 | W: www.hindalco.com
Registered Office : Ahura Centre, 1st Floor, B wing, Mahakali Caves Road, Andheri (East), Mumbai – 400093, India
Corporate ID No: L27020MH1958PLC011238

Annexure A**Report by Hindalco Industries Limited for violations related to Code of Conduct under SEBI (Prohibition of Insider Trading) Regulations, 2015.**

Sr No	Particulars	Details
1	Name of the listed company	Hindalco Industries Limited
2	Please tick appropriate checkbox Reporting in capacity of : ☒ Listed Company ☐ Intermediary ☐ Fiduciary	Listed Company
3	A. (1) Details of Designated Person (DP)	
	i. Name of the DP	Mr.Jagdish Ramaswamy
	ii. PAN of the DP	----
	iii. Designation of DP	President
	iv. Functional Role of DP	Digital Commom
	v. Whether DP is Promoter or belongs to Promoter Group	No
	A. (2) Details of Designated Person (DP)	
	i. Name of the DP	Mr. Ramesha Achary
	ii. PAN of the DP	----
	iii. Designation of DP	Asst Manager
	iv. Functional Role of DP	CFD-Taxation
	v. Whether DP is Promoter or belongs to Promoter Group	No
	A. (3) Details of Designated Person (DP)	
	i. Name of the DP	Mr. N. Jaishankar
	ii. PAN of the DP	----
	iii. Designation of DP	Asst Manager
	iv. Functional Role of DP	Executive Secretary to Unit Head
	v. Whether DP is Promoter or belongs to Promoter Group	No

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	A. (4) Details of Designated Person (DP)	
	i. Name of the DP	Mr. Anand Pawar
	ii. PAN of the DP	----
	iii. Designation of DP	Vice President
	iv. Functional Role of DP	Unit HR
	v. Whether DP is Promoter or belongs to Promoter Group	No
	A(5)) Details of Designated Person (DP)	
	i. Name of the DP	Mr. Satish Pai
	ii. PAN of the DP	----
	iii. Designation of DP	Managing Director
	iv. Functional Role of DP	--
	v. Whether DP is Promoter or belongs to Promoter Group	No
	A(6)) Details of Designated Person (DP)	
	i. Name of the DP	Mr. Y.P Dandiwala
	ii. PAN of the DP	----
	iii. Designation of DP	Independent Director
	iv. Functional Role of DP	-
	v. Whether DP is Promoter or belongs to Promoter Group	No
	B. If Reporting is for immediate relative of DP	
	i. Name of the immediate relative of DP	Mr. Harish Achary
	PAN of the immediate relative of DP	----
	C. Details of transaction(s)	
	i. Name of the scrip	Hindalco Industries Limited (Equity)
	No of shares traded and value (Rs.) (Date- wise)	i) Mr. Jagdish Ramaswamy sold 2 shares on 4 th April, 2022 @Rs.568 per share during shut down period ii) Mr. Harish Achary brother of Mr. Ramesha Achary purchased 10 shares @ Rs. 495 per share on 26 th April, 2022 during shut down period iii) Mr. N Jaishankar made the following purchase transactions during the shut - down period

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	a) 5 shares @ Rs. 444 /- per share on 6th May, 2022. b) 5 shares @ Rs. 429 /- per share on 10th May, 2022. c) 2 shares @ Rs. 406 /- per share on 12th May, 2022. d) 2 shares @ Rs. 395 /- per share on 13th May, 2022 iv) Mr. Anand Pawar purchased 159 shares during the shutdown period as per the following: (a) 50 shares @ Rs. 545 /- each on 20th April, 2022 (b) 9 shares @ Rs. 514 /- each on 22nd April, 2022 (c) 25 shares @ Rs. 484 /- each on 28th April, 2022 (d) 50 shares @ Rs. 427 /- each on 11th May, 2022 (e) 25 shares @ Rs. 406 /- each on 13th May, 2022 v) Mr. Satish Pai has a PMS account, The following transactions were carried out by the PMS Account manager when shut down period was not applicable. However, it was done within 6 months from the sale transaction done by Mr. Satish Pai, hence falling within the contra trade transaction. <table><tr><th>Date</th><th>No. of Shares</th><th>Action</th><th>Price</th></tr><tr><td>28th February, 2022</td><td>283 Shares</td><td>Purchase</td><td>Rs. 535.45 per share</td></tr><tr><td>11th March, 2022</td><td>95 Shares</td><td>Purchase</td><td>Rs. 594.40 per share</td></tr></table> vi) Mr. Y.P Dandiwalwa has got a PMS account. The following transactions were carried out by the PMS manager during the shutdown period:	Date	No. of Shares	Action	Price	28 th February, 2022	283 Shares	Purchase	Rs. 535.45 per share	11 th March, 2022	95 Shares	Purchase	Rs. 594.40 per share
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		<table><tr><th>Date</th><th>No. of Shares</th><th>Action</th><th>Price</th></tr><tr><td>26th April, 2022</td><td>17 Shares</td><td>Purchase</td><td>Rs. 534.63 per share</td></tr><tr><td>06th May, 2022</td><td>29 Shares</td><td>Purchase</td><td>Rs. 484.33 per share</td></tr></table>	Date	No. of Shares	Action	Price	26 th April, 2022	17 Shares	Purchase	Rs. 534.63 per share	06 th May, 2022	29 Shares	Purchase	Rs. 484.33 per share
Date	No. of Shares	Action	Price											
26 th April, 2022	17 Shares	Purchase	Rs. 534.63 per share											
06 th May, 2022	29 Shares	Purchase	Rs. 484.33 per share											
	D. In case value of trade(s) is more than Rs.10 lacs in a calendar quarter													
	i. Date of intimation of trade(s) by concerned DP/director/promoter/promoter group to Company under regulation 7 of SEBI (PIT) Regulations, 2015	NA												
	ii. Date of intimation of trade(s) by Company to stock exchanges under regulation 7 of SEBI (PIT) Regulations, 2015	NA												
4.	Details of violations observed under Code of Conduct	Trading in the above securities of the Company were undertaken during the shut down period for Mr.Jagdish Ramaswamy, Mr. Harish Achary,Mr. N. Jaishankar and Mr. Anand Pawar Mr. Satish Pai has entered into contra trade transaction. The transactions were carried out by the PMS Account manager when shut down period was not applicable. The transactions for Mr. Y.P. Dandiwala were carried out by his PMS manager during the shutdown period												
5.	Action taken by Listed company/ Intermediary/ Fiduciary	Committee has decided to issue written warning and penalty in line with Code of Conduct												
6.	Reasons recorded in writing for taking action stated above	The Committee reviewed and took the following action 1. Mr Jagdish Ramaswamy was levied penalty amounting to Rs. 10,000 and also issued warning letter 2. Mr. Ramesha Achary was levied a penalty amounting Rs 10,000 and also issued a warning letter 3. Mr. N.Jaishankar was levied a penalty of Rs. 10,000 and also issued a warning letter												

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		4. Mr. Anand Pawar was levied a penalty of Rs. 10,000 and also issued a warning letter 5. The transaction was done by PMS on behalf of Mr. Satish Pai when trading window was available and also at that time there was no UPSI at company level. The transaction is done inadvertently and without the knowledge of Mr. Satish Pai. Mr. Satish Pai has further confirmed that he has taken due actions to ensure such a transaction does not occur in the future. 6. The transaction was done by PMS on behalf of Mr. Dandiwala during the shutdown period, however he did not have any access to UPSI. The transaction is done inadvertently and without the knowledge of Mr. Dandiwala. Mr Dandiwala has taken due actions to ensure such a transaction does not occur in the future.
7.	Details of the previous instances of violations, if any, since last financial year	N.A
8.	If any amount collected for Code of Conduct violation(s)	
	i. Mode of transfer to SEBI - IPEF (Online/Demand Draft) NA	
	ii. Details of transfer/payment	
	In case of Online:	
	Particulars	Details
	Name of the transferor	Mr. Jagdish Ramaswamy
	Bank Name, branch and Account number	BANK OF INDIA - BANDRA KURLA COMPLEX
	UTR /Transaction Reference Number	SBIN322193968534
	Transaction date	06/07/2022
	Transaction Amount (in Rs.)	10,000

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Name of the transferor	Mr. Anand Pawar	Mr. Y.P. Dandiwala	
Bank Name, branch and Account number	BANK OF INDIA - BANDRA KURLA COMPLEX	BANK OF INDIA - BANDRA KURLA COMPLEX	
UTR /Transaction Reference Numbers	SBIN322193980329	SBIN522216443976	
Transaction date	06/07/2022	03/08/2022	
Transaction Amount (in Rs.)	10,000	1,00,000	
Name of the transferor	Mr. Satish Pai		
Bank Name, branch and Account number	WEST_AL_OU-CC-SBI-6806		
UTR /Transaction Reference Numbers	SBIN22210903839		
Transaction date	29/07/2022		
Transaction Amount (in Rs.)	1,00,000		
In case of Demand Draft (DD)			
Particulars	Details		
Bank Name and branch			
DD Number			
DD date			
DD amount (in Rs.)			
9.	Any other relevant information	-----	

Date: 16th August, 2022

Place: Mumbai

For Hindalco Industries Limited

Anil Malik
President & Company Secretary

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