



13th August, 2020

BSE Limited Phiroze Jeejeebhoy Towers Dalal Street Mumbai: 400 001 Scrip Code: 500440	National Stock Exchange of India Limited Exchange Plaza, 5th Floor Plot No. C/1, G Block Bandra Kurla Complex Bandra (East) Mumbai – 400 051 Scrip Code: HINDALCO
Mr. Daniel Schammo Banque Internationale A Luxembourg Societe Anonyme 69, Route d'Esch L-2953 LUXEMBOURG Fax No. 00 352 4590 2010 Tel. No. 00 352 4590-1	

Dear Sir/ Madam,

Sub: Reporting to Stock Exchanges regarding violations under Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015 relating to the Code of Conduct (CoC).

Ref: SEBI/HO/ISD/ISD/CIR/P/2020/135 circular dated 23rd July, 2020

With reference to the above, please find enclosed herewith report on violations under SEBI (Prohibition of Insider Trading) Regulations, 2015 relating to the Code of Conduct by Designated persons and or their immediate relatives.

This is for your information.

Thanking you,

Yours faithfully,

For HINDALCO INDUSTRIES LIMITED

ANIL MALIK
President & Company Secretary

Encl: as above

Hindalco Industries Limited

6th & 7th Floor, Birla Centurion, Pandurang Budhkar Marg, Worli, Mumbai – 400030, India
T: +91 22 66626666/62610555 | F: +91 22 62610400/62610500 | W: www.hindalco.com
Registered Office : Ahura Centre, 1st Floor, B wing, Mahakali Caves Road, Andheri (East), Mumbai – 400093, India
Corporate ID No: L27020MH1958PLC011238

Annexure A

Sr. No.	Particulars	Details
1	Name of the listed company/ Intermediary/Fiduciary	Hindalco Industries Limited
2	Please tick appropriate checkbox Reporting in capacity of : ☒ Listed Company ☐ Intermediary ☐ Fiduciary	
3	A. Details of Designated Person (DP)	
	i. Name of the DP	Ashwani Kumar Madan
	ii. PAN of the DP	ABLPM6308H
	iii. Designation of DP	Joint President
	. Functional Role of DP	B2C Common
	i. Whether DP is Promoter or belongs to Promoter Group	No
	B. If Reporting is for immediate relative of DP	
	i. Name of the immediate relative of DP	NA
	ii. PAN of the immediate relative of DP	NA
	C. Details of transaction(s)	
	i. Name of the scrip	Hindalco Industries - Equity
	No of shares traded and value (Rs.) (Date- wise)	200 shares bought at Rs. 32,400/- on 31/07/2020
	D. In case value of trade(s) is more than Rs.10 lacs in a calendar quarter	

Sr. No.	Particulars	Details
	i. Date of intimation of trade(s) by concerned DP/director/promoter/promoter group to Company under regulation 7 of SEBI (PIT) Regulations, 2015	NA
	ii. Date of intimation of trade(s) by Company to stock exchanges under regulation 7 of SEBI (PIT) Regulations, 2015	NA
4	Details of violations observed under Code of Conduct	Shares bought during trading window closure period
5	Action taken by Listed company/ ~~Intermediary/ Fiduciary~~	Issued written warning in line with Code of Conduct
6	Reasons recorded in writing for taking action stated above	Quantity traded being marginal and DP did not possess any UPSI at the time of trade
7	Details of the previous instances of violations, if any, since last financial year	NA
8	If any amount collected for Code of Conduct violation(s)	
	i. Mode of transfer to SEBI - IPEF (Online/Demand Draft)	NA
	ii. Details of transfer/payment	
	In case of Online:	
	Particulars	Details
	Name of the transferor	
	Bank Name, branch and Account number	
	UTR/Transaction reference Number	
	Transaction date	
	Transaction Amount (in Rs.)	
	In case of Demand Draft (DD):	
	Particulars	Details
	Bank Name and branch	

	DD Number		
	DD date		
	DD amount (in Rs.)		
9	Any other relevant information		-

Yours faithfully,

Date: Mumbai
Place:13/08/2020

Name : Anil Malik

Signature:



PAN: ADHPM2865R

Email ID: anil.malik@adityabirla.com

Sr. No.	Particulars	Details
1	Name of the listed company/ ~~Intermediary~~ /Fiduciary	Hindalco Industries Limited
2	<i>Please tick appropriate checkbox</i> Reporting in capacity of : ☒ Listed Company Intermediary Fiduciary	
3	A. Details of Designated Person (DP)	
	i. Name of the DP	Krishnaraju Kumaravel
	ii. PAN of the DP	AEJPK7417E
	iii. Designation of DP	Joint President
	. Functional Role of DP	Unit Head
	i. Whether DP is Promoter or belongs to Promoter Group	No
	B. If Reporting is for immediate relative of DP	
	i. Name of the immediate relative of DP	Arul V
	ii. PAN of the immediate relative of DP	AFHPV2602K
	C. Details of transaction(s)	
	i. Name of the scrip	Hindalco Industries - Equity
	ii. No of shares traded and value (Rs.) (Date- wise)	50 shares bought at Rs. 8,360/- on 17/07/2020 25 shares sold at Rs. 4,025/- on 31/07/2020
	D. In case value of trade(s) is more than Rs.10 lacs quarter	in a calendar



भारतीय प्रतिभूति और विनिमय बोर्ड
Securities and Exchange Board of India

Sr. No.	Particulars	Details
	i. Date of intimation of trade(s) by concerned DP/director/promoter/promoter group to Company under regulation 7 of SEBI (PIT) Regulations, 2015	NA
	ii. Date of intimation of trade(s) by Company to stock exchanges under regulation 7 of SEBI (PIT) Regulations, 2015	NA
4	Details of violations observed under Code of Conduct	1.Trades done during trading window closure period 2. Contra Trade violation
5	Action taken by Listed company/ ~~Intermediary/~~ Fiduciary	Issued written warning in line with Code of Conduct and instructed to disgorge profit
6	Reasons recorded in writing for taking action stated above	Quantity traded being marginal and DP did not possess any UPSI at the time of trade
7	Details of the previous instances of violations, if any, since last financial year	NA
8	If any amount collected for Code of Conduct violation(s)	
	i. Mode of transfer to SEBI - IPEF (Online/Demand Draft)	Being Remitted

	ii. Details of transfer/payment		
	In case of Online:		
	Particulars	Details	
	Name of the transferor		
	Bank Name, branch and Account number		
	UTR/Transaction reference Number		
	Transaction date		
	Transaction Amount (in Rs.)		
	In case of Demand Draft (DD):		
	Particulars	Details	
	Bank Name and branch		
	DD Number		
	DD date		
	DD amount (in Rs.)		
9	Any other relevant information		-

Yours faithfully,

Date: Mumbai
Place:13/08/2020

Name : Anil Malik

Signature:



PAN: ADHPM2865R

Email ID: anil.malik@adityabirla.com

Sr. No.	Particulars	Details
1	Name of the listed company/ Intermediary/Fiduciary	Hindalco Industries Limited
2	<i>Please tick appropriate checkbox</i> Reporting in capacity of : ☒ Listed Company Intermediary Fiduciary	
3	A. Details of Designated Person (DP)	
	i. Name of the DP	Priyadarshi Mittal
	ii. PAN of the DP	ABBPP5766C
	iii. Designation of DP	Senior Manager
	. Functional Role of DP	Finance & Accounts
	i. Whether DP is Promoter or belongs to Promoter Group	No
	B. If Reporting is for immediate relative of DP	
	i. Name of the immediate relative of DP	Chhavi
	ii. PAN of the immediate relative of DP	AFZPC1287M
	C. Details of transaction(s)	
	i. Name of the scrip	Hindalco Industries - Equity
	ii. No of shares traded and value (Rs.) (Date- wise)	200 shares sold at Rs. 34,600/- on 31/07/2020
	D. In case value of trade(s) is more than Rs.10 lacs in a calendar quarter	

Sr. No.	Particulars	Details
	i. Date of intimation of trade(s) by concerned DP/director/promoter/promoter group to Company under regulation 7 of SEBI (PIT) Regulations, 2015	NA
	ii. Date of intimation of trade(s) by Company to stock exchanges under regulation 7 of SEBI (PIT) Regulations, 2015	NA
4	Details of violations observed under Code of Conduct	Shares sold during trading window closure period
5	Action taken by Listed company/ Intermediary/ Fiduciary	Issued written warning in line with Code of Conduct
6	Reasons recorded in writing for taking action stated above	Quantity traded being marginal and DP did not possess any UPSI at the time of trade
7	Details of the previous instances of violations, if any, since last financial year	Nil
8	If any amount collected for Code of Conduct violation(s)	
	i. Mode of transfer to SEBI - IPEF (Online/Demand Draft)	NA
	ii. Details of transfer/payment	
	In case of Online:	
	Particulars	Details
	Name of the transferor	
	Bank Name, branch and Account number	
	UTR/Transaction reference Number	
	Transaction date	
	Transaction Amount (in Rs.)	
	In case of Demand Draft (DD):	

	Particulars	Details	
	Bank Name and branch		
	DD Number		
	DD date		
	DD amount (in Rs.)		
9	Any other relevant information		-

Yours faithfully,

Date: Mumbai
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