



9th November, 2021

BSE Limited Phiroze Jeejeebhoy Towers Dalal Street Mumbai: 400 001 Scrip Code: 500440	National Stock Exchange of India Limited Exchange Plaza, 5th Floor Plot No. C/1, G Block Bandra Kurla Complex Bandra (East) Mumbai – 400 051 Scrip Code: HINDALCO
Mr. Daniel Schammo Banque Internationale A Luxembourg Societe Anonyme 69, Route d'Esch L-2953 Luxembourg Fax No. 00 352 4590 2010 Tel. No. 00 352 4590-1	

Dear Sir/ Madam,

Sub: Reporting to Stock Exchanges regarding violations under Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015 relating to the Code of Conduct (CoC)

Ref: SEBI/HO/ISD/ISD/CIR/P/2020/135 circular dated 23rd July, 2020

With reference to the above, please find enclosed herewith report on violations under SEBI (Prohibition of Insider Trading) Regulations, 2015 relating to the Code of Conduct by Designated persons and or their immediate relatives.

This is for your information.

Thanking You.

For Hindalco Industries Limited

Anil Malik
President & Company Secretary

Hindalco Industries Limited
6th & 7th Floor, Birla Centurion, Pandurang Budhkar Marg, Worli, Mumbai – 400030, India
T:+91 22 66626666/62610555 | F:+912262610400/62610500 | W: www.hindalco.com
Registered Office : Ahura Centre, 1st Floor, B wing, Mahakali Caves Road, Andheri (East), Mumbai – 400093, India
Corporate ID No: L27020MH1958PLC011238

Annexure A**Report by Hindalco Industries Limited for violations related to Code of Conduct under SEBI (Prohibition of Insider Trading) Regulations, 2015.**

Sr No	Particulars	Details
1	Name of the listed company	Hindalco Industries Limited
2	Please tick appropriate checkbox Reporting in capacity of : ☒ Listed Company ☐ Intermediary ☐ Fiduciary	Listed Company
3	A. (1) Details of Designated Person (DP)	
	i. Name of the DP	Mr. Sandip Roy
	ii. PAN of the DP	ACHPR3088C
	iii. Designation of DP	President
	iv. Functional Role of DP	Downstream Project head
	v. Whether DP is Promoter or belongs to Promoter Group	No
	A. (2) Details of Designated Person (DP)	
	i. Name of the DP	Mr. Ghanshyam Parida
	ii. PAN of the DP	ADCPP7859B
	iii. Designation of DP	Vice President
	iv. Functional Role of DP	Plant Head -CPP
	v. Whether DP is Promoter or belongs to Promoter Group	No
	A. (3) Details of Designated Person (DP)	
	i. Name of the DP	Mr. Anil Verma
	ii. PAN of the DP	ADYP3073B
	iii. Designation of DP	Asst. Vice President
	iv. Functional Role of DP	CPP
	v. Whether DP is Promoter or belongs to Promoter Group	No
	A. (4) Details of Designated Person (DP)	
	i. Name of the DP	Mr. Aushutosh Mishra
	ii. PAN of the DP	AFYPM8664H
	iii. Designation of DP	Asst General Manager

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	iv. Functional Role of DP	Risk Management
	v. Whether DP is Promoter or belongs to Promoter Group	No
	B. If Reporting is for immediate relative of DP	
	B1. Name of the immediate relative of DP	Mr. Anik Roy (Son of Mr. Sandip Roy)
	PAN of the immediate relative of DP	EIIPR3462P
	C. Details of transaction(s)	
	i. Name of the scrip	Hindalco Industries Limited (Equity)
	No of shares traded and value (Rs.) (Date-wise)	(i) Mr. Anik Roy son of Mr. Sandip Roy has purchased 1 share on 7th October, 2021 at a value of Rs. 479/- (ii) Mr. Ghanshyam Parida has purchased 50 shares at value of Rs. 23,987/- on 8th October, 2021 and another 80 shares at value of Rs.43,674/-on 18th October, 2021 (iii) Mr. Anil Verma has sold 60 shares at a value of Rs. 32,671/- on 19th October, 2021 iv) Mr. Aushutosh Mishra has sold 1 share at a value of Rs. 487/- on 11th October, 2021
	D. In case value of trade(s) is more than Rs.10 lacs in a calendar quarter	
	i. Date of intimation of trade(s) by concerned DP/director/promoter/promoter group to Company under regulation 7 of SEBI (PIT) Regulations, 2015	NA
	ii. Date of intimation of trade(s) by Company to stock exchanges under regulation 7 of SEBI (PIT) Regulations, 2015	NA

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4.	Details of violations observed under Code of Conduct	1. Mr. Anik Roy son of Mr. Sandip Roy has purchased shares during shut down period. 2. Mr. Ghanshyam Parida has purchased shares during shut down period. 3. Mr. Anil Verma has sold shares during shut down period 4. Mr. Aushutosh Mishra has sold shares during shut down period
5.	Action taken by Listed company/ Intermediary/ Fiduciary	Committee has decided to issue written warning in line with the Code of Conduct and penalty in one case
6.	Reasons recorded in writing for taking action stated above	The Committee reviewed the cases and took the following action: 1. Mr. Sandip Roy was issued warning letter. 2. Mr. Ghanshyam Parida was issued warning letter. 3. Mr. Anil Verma was levied penalty of Rs. 9862 alongwith warning letter. 4. Mr. Aushutosh Mishra was issued warning letter. None of the DP had access to UPSI during shut down period.

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7.	Details of the previous instances of violations, if any, since last financial year	N.A
8.	If any amount collected for Code of Conduct violation(s)	
	i. Mode of transfer to SEBI - IPEF (Online/Demand Draft) NA	
	ii. Details of transfer/payment	
	In case of Online:	
	Particulars	Details
	Name of the transferor	-----
	Bank Name, branch and Account number	
	UTR /Transaction Reference Number	
	Transaction date	
	Transaction Amount (in Rs.)	
	In case of Demand Draft (DD)	
	Particulars	Details
	Bank Name and branch	
	DD Number	
	DD date	
	DD amount (in Rs.)	
9.	Any other relevant information	-----

Date: 9th November, 2021

Place: Mumbai

For Hindalco Industries Limited

Anil Malik
President & Company Secretary

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