

5th May, 2021

BSE Limited Phiroze Jeejeebhoy Towers Dalal Street Mumbai: 400 001 Scrip Code: 500440	National Stock Exchange of India Limited Exchange Plaza, 5th Floor Plot No. C/1, G Block Bandra Kurla Complex Bandra (East) Mumbai – 400 051 Scrip Code: HINDALCO
Mr. Daniel Schammo Banque Internationale A Luxembourg Societe Anonyme 69, Route d'Esch L-2953 Luxembourg Fax No. 00 352 4590 2010 Tel. No. 00 352 4590-1	

Dear Sir/ Madam,

Sub: Reporting to Stock Exchanges regarding violations under Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015 relating to the Code of Conduct (CoC).

Ref: SEBI/HO/ISD/ISD/CIR/P/2020/135 circular dated 23rd July, 2020

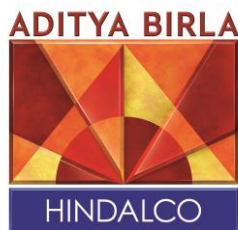
With reference to the above, please find enclosed herewith report on violations under SEBI (Prohibition of Insider Trading) Regulations, 2015 relating to the Code of Conduct by Designated persons and or their immediate relatives.

This is for your information.

Thanking You.

For **Hindalco Industries Limited**

Anil Malik
President & Company Secretary



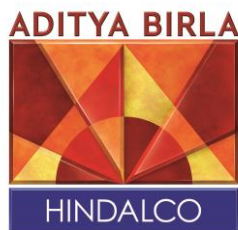
Annexure A

Report by Hindalco Industries Limited for violations related to Code of Conduct under SEBI (Prohibition of Insider Trading) Regulations, 2015.

Sr No	Particulars	Details
1	Name of the listed company	Hindalco Industries Limited
2	Please tick appropriate checkbox Reporting in capacity of : ☒ Listed Company ☐ Intermediary ☐ Fiduciary	Listed Company
3	A. (1) Details of Designated Person (DP)	
	i. Name of the DP	Mr. Jayesh Pawar
	ii. PAN of the DP	AGEPP6269J
	iii. Designation of DP	Vice President
	iv. Functional Role of DP	Head Operations
	v. Whether DP is Promoter or belongs to Promoter Group	No
	A. (2) Details of Designated Person (DP)	
	i. Name of the DP	Mr. Sameer Nayak
	ii. PAN of the DP	AAKPN5617Q
	iii. Designation of DP	Joint President
	iv. Functional Role of DP	Mahan CPP Head

Hindalco Industries Limited

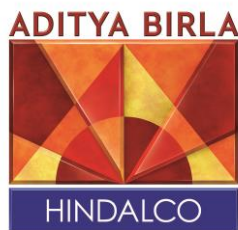
6th & 7th Floor, Birla Centurion, Pandurang Budhkar Marg, Worli, Mumbai – 400030, India
T: +91 22 66626666/62610555 | F: +91 22 62610400/62610500 | W: www.hindalco.com
Registered Office : Ahura Centre, 1st Floor, B wing, Mahakali Caves Road, Andheri (East), Mumbai – 400093, India
Corporate ID No: L27020MH1958PLC011238



	v. Whether DP is Promoter or belongs to Promoter Group	No
	A. (3) Details of Designated Person (DP)	
	i. Name of the DP	Mr. Vikas Kumar
	ii. PAN of the DP	AZGPK1998J
	iii. Designation of DP	Asst. General Manager
	iv. Functional Role of DP	Technical Head-Renukoot Alumina
	v. Whether DP is Promoter or belongs to Promoter Group	No.
	A. (4) Details of Designated Person (DP)	
	i. Name of the DP	Mr. Subash Chakraborty
	ii. PAN of the DP	ADDPC1704D
	iii. Designation of DP	Manager System
	iv. Functional Role of DP	IT –Digital ERP
	v. Whether DP is Promoter or belongs to Promoter Group	No
	B. If Reporting is for immediate relative of DP	N.A
	i. Name of the immediate relative of DP	Mr. Shashi Bhushan Nayak (Father of Mr. Sameer Nayak)
	ii. PAN of the immediate relative of DP	AAGPN4909B

Hindalco Industries Limited

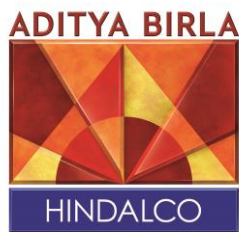
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	C. Details of transaction(s)	
	i. Name of the scrip	Hindalco Industries Limited (Equity)
	No of shares traded and value (Rs.) (Date- wise)	(i) Mr. Jayesh Pawar has sold 150 shares at a value of Rs. 41,940/- date 9th February, 2021. (ii) Mr. Shashi Bhushan Nayak father of Mr. Sameer Nayak has sold 40 shares at a value of 14,400/- date 7th April, 2021 and 50 shares at a value of Rs. 18,350/- date 8th April, 2021. (iii) Mr. Vikas Kumar has purchased 2 shares at a value of Rs. 687/- date 12th April, 2021. (iv) Mr. Subash Chakraborty has purchased 15 shares at a value of Rs. 5,434.50 date 19th April, 2021.
	D. In case value of trade(s) is more than Rs.10 lacs in a calendar quarter	
	i. Date of intimation of trade(s) by concerned DP/director/promoter/promoter group to Company under regulation 7 of SEBI (PIT) Regulations, 2015	NA
	ii. Date of intimation of trade(s) by Company to stock exchanges under regulation 7 of SEBI (PIT) Regulations, 2015	NA
4.	Details of violations observed under Code of Conduct**	1. Mr. Jayesh Pawar has sold 150 shares during shut down period 2. Mr. Shashi Bhushan Nayak father of Mr. Sameer Nayak

Hindalco Industries Limited

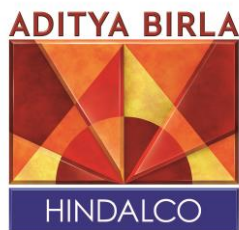
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		has sold 90 shares during shut down period. 3. Mr. Vikas Kumar has purchased 2 shares during the shut down period. 4. Mr. Subash Chakraborty has purchased 15 shares during the shut down period.
5.	Action taken by Listed company/ Intermediary/ Fiduciary	Committee has decided to issue written warning in line with Code of Conduct and penalty in one case.
6.	Reasons recorded in writing for taking action stated above	The Committee reviewed and took the following action : I) In one case penalty was levied amounting to Rs. 8113/-. II) In other three cases the Committee decided to issue written warning letter. None of the DP had access to UPSI during shut down period
7.	Details of the previous instances of violations, if any, since last financial year	N.A
8.	If any amount collected for Code of Conduct violation(s)	
	i. Mode of transfer to SEBI - IPEF (Online/Demand Draft) NA	
	ii. Details of transfer/payment In case of Online:	
	Particulars	Details
	Name of the transferor	
	Bank Name, branch and Account number	
	UTR /Transaction Reference Number	

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	Transaction date		
	Transaction Amount (in Rs.)		
	In case of Demand Draft (DD):		
	Particulars	Details	
	Bank Name and branch		
	DD Number		
	DD date		
	DD amount (in Rs.)		
9.	Any other relevant information		-----

** Mr. Askaran Agarwala, Non Executive director of the Company (PAN no. ABJPA1296L) has executed a gift deed dated 21st March, 2021 for gift of 2500 shares to his nephew Mr. Bijay Kumar Dhalia and 4000 shares to his niece Ms. Renu Agarwal respectively without any consideration. The transaction in the demat account was executed under the shut down period.

Date: 5th May, 2021

Place : Mumbai

For **Hindalco Industries Limited**

Anil Malik
President & Company Secretary