



# Gujarat Raffia Industries Limited

**CIN: L17110GJ1984PLC007124**

Regd. Off: Plot No 455, Santej-Vadsar Road Gandhinagar -382721

Fax: (91-79) 79 -29702614 Phone.:(91- 79) 29702373/29702606

Web Site: [www.griltarp.com](http://www.griltarp.com) E-mail: [gr@griltarp.com](mailto:gr@griltarp.com), [cs@griltarp.com](mailto:cs@griltarp.com)

Date: 13.10.2021

To,

**General Manager**  
**Department of Corporate Services,**  
**BSE Limited,**  
Phiroze Jeejeebhoy Towers,  
Dalal Street, Mumbai - 400 001  
**Scrip Code: 523836**

**Manager**  
**Listing Department**  
**National Stock Exchange of India Ltd**  
Exchange Plaza, Bandra-kurla Complex,  
Bandra (E), Mumbai - 400 051  
**NSE Symbol: GUJRAFFIA**

Dear Sir/Madam,

**Sub: Intimation of tripartite agreement under Regulation 7(5) & Regulation 30 of SEBI  
(Listing Obligation and Disclosure Requirements) Regulations, 2015**

This is to inform you that pursuant to the Regulation 7(5) & 30 of SEBI (Listing Obligation and Disclosure Requirement) Regulation, 2015, tripartite agreement between Company, Accurate Securities & Registry Pvt Ltd (New RTA) and Linkintime India Pvt Ltd (Old RTA) has been entered on 21<sup>st</sup> September, 2021 and Company & New RTA signed on 21.09.2021 and Old RTA on 08.10.2021.

Kindly take the note of the same.

**For Gujarat Raffia Industries Limited**

  
**Rahul Joshi**  
**Company Secretary**



3001

# MODEL TRIPARTITE AGREEMENT BETWEEN THE ISSUER, EXISTING REGISTRAR TO AN ISSUE AND SHARE TRANSFER AGENT AND NEW REGISTRAR TO AN ISSUE AND SHARE TRANSFER AGENT

This Tripartite Agreement (hereinafter referred to as the "Agreement") is entered into on this 21 day of September 2021 at Ahmedabad between Gujarat Raffia Industries Limited (name of the Issuer), a Company incorporated and registered under the provisions of the Companies Act, 2013 or under any previous company law and having its Registered Office at 455, Santej - Vadsar Road, Santej - 382701, Tal. Kalol, Dist. Gandhinagar, Gujarat (India) (address) (hereinafter referred to as the "Issuer"), which expression shall, unless repugnant to the context or meaning thereof, be deemed to mean and include its executors, administrators, legal representatives and successors in title, as the case may be, of the First Part;

And

Link Intime India Pvt. Ltd. (name of the Existing STA) (Securities and Exchange Board of India registered share transfer agent) and having its Registered office at 5<sup>th</sup> floor, Amarnath Business Centre - 1, off C.G Road, Ellisbridge, Ahmedabad - 380006 (hereinafter referred to as the "Existing STA"), which expression shall, unless repugnant to the context or meaning thereof, be deemed to mean and include its executors, administrators, legal representatives and successors in title, as the case may be, of the Second Part;

And

Accurate Securities and Registry Pvt. Ltd. (name of the New STA) (Securities and Exchange Board of India registered share transfer agent) and having its Registered office at 203, Shangrila Arcade, Above Samsung Showroom, Satej, Ahmedabad - 380015 (hereinafter referred to as the "New STA"), which expression shall, unless repugnant to the context or meaning thereof, be deemed to mean and include its executors, administrators, legal representatives and successors in title, as the case may be, of the Third Part;

## WHEREAS

1. The Issuer is listed on BSE and NSE (name of the stock exchange/s) and has 26976 number of shareholders and has to render services relating to transfer, transmission etc, in accordance with its Article of Association.

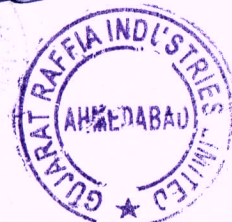


NUTAN NAGRIK SHIKARI  
BANK LTD.  
TRAJEJ BRANCH, VADAPURA,  
AHMEDABAD - 380015  
GUJARAT  
STAMP DUTY  
00000  
SPECIAL ADHESIVE  
365410  
00003300  
21.9.2021  
6766 5728476

10. The Existing STA had allowed the auditors appointed by the Issuer and New STA to inspect the records/ data and documents to be handed over to the New STA/ Issuer.
11. The Existing STA has handed over the records/ data and documents of previous 3 years to New STA prior to the cutoff date and rest of the records/ data and documents, if any, have been handed over to the Issuer.
12. The Issuer and the Existing STA have ensured that all records/ data and documents along with computer data have been properly handed over to the New STA. The responsibility of the New STA shall commence on receipt and verification of records/ data and documents as per Annexure-I.
13. The Existing STA had handed over the check list as per Annexure-I to the New STA prior to the audit. The Existing STA has handed over the records/ data and documents (as per Annexure-I) duly catalogued and indexed to the New STA under intimation to the Issuer. The Existing STA has elaborately listed out all the missing records/ data and documents in the Annexure-I enclosed. The Existing STA has also mentioned whether the said records/ data and documents were missing at the time of their appointment as share transfer agent or the same were handed over to them by the Issuer/ previous share transfer agent and records/ data and documents have been subsequently misplaced at their end.
14. The New STA has acknowledged the receipt of the records/ data and documents and has performed a sample check on the records/ data and documents.
15. The discrepancies, if any, have been brought to the notice of Existing STA and the Issuer and have been incorporated in the Annexure-I.
16. The capital reconciliation of the Issuer (i.e. Issued Capital = NSDL holding + CDSL holding + physical shareholding) has been carried out by the New STA. The Existing STA has provided such capital reconciliation for data being handed over as on cutoff date.
17. The New STA shall process various investor requests by using the data as provided by the Existing STA in good faith.
18. The Existing STA has provided its full co-operation to resolve the discrepancies in the records/ data and documents and has provided complete and clear explanation for discrepancies in the records/ data and documents, if any.
19. The Existing STA has extended necessary co-operation for shifting of ISIN to New STA as also for conversion of soft data as per file formats required for seamless loading by the New STA, to resolve gaps and/ or discrepancies observed in the records/ data and documents being handed over.



*SMN*



28. The provisions of this Agreement shall always be subject to any rules, regulations, guidelines and circulars issued by Securities and Exchange Board of India and other provisions of law in force.

29. The Issuer has taken special contingency insurance policy to cover risk out of fraud, forgery, errors of commission/ omission, etc.

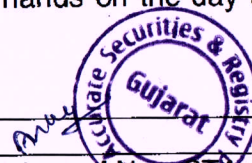
30. Words and expressions which are used in this Agreement, but which are not defined herein shall, unless the context otherwise requires, have the same meaning as assigned thereto in the rules and regulations of the Securities and Exchange Board of India and circulars issued thereunder.

This Agreement can be altered, amended and/ or modified by the parties mutually in writing without derogating from the contents of this Agreement. Provided however, if the rights and obligation of the parties hereto are altered by virtue of changes in rules and regulations of Securities and Exchange Board of India or bye-laws, rules and regulations of the stock exchange(s), such changes shall be deemed to have been incorporated herein in modification of the rights and obligations of the parties mentioned in this Agreement.

IN WITNESS WHEREOF the parties hereto have set their hands on the day and year hereinabove written.

ENCLOSED ANNEXURE-I, II, III

Signature of Existing STA <i>SMA</i>	Signature of the Issuer <i>[Signature]</i>	Signature of New STA <i>[Signature]</i>
Name, Designation and Date <i>Sarjeev Nandu Director 8/10/21</i>	Name, Designation and Date <i>Pradeep Bhutoria managing Director. 21/09/21</i>	Name, Designation and Date <i>Ankur shah Compliance Officer 21/09/21</i>
Address <i>C-101, 247 Park, LBS Marg, Vikhroli West, Mumbai - 400 083</i>	Address <i>C-401, Titanium Square, Thaltej Gao Road S. G. Highway, Ahmedabad.</i>	Address <i>209, Shangrila Arcade Nr. Shyamal Cross Road, Satellite, Ahmedabad - 38005</i>
Signature of Witness <i>[Signature]</i>	Signature of Witness <i>[Signature]</i>	Signature of Witness <i>[Signature]</i>
Name and Date <i>Eulalia Fonseca 8/10/21</i>	Name and Date <i>Rakul Jethi 21/09/21</i>	Name and Date <i>[Signature] 21/09/21</i>

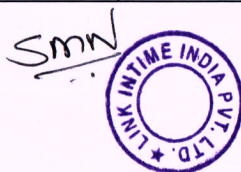
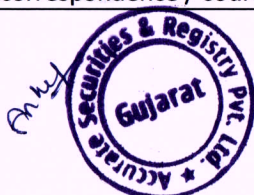


*[Signature]*

**Annexure - I For Company Name: GUJARAT RAFFIA LIMITED**

**While handing over the records data and documents, the following shall be listed in the below format.**

<b><u>Sr. No</u></b>	<b><u>Record / Data and Documents Name</u></b>	<b><u>Records / Data and Documents Sr. No</u></b>	<b><u>Missing Ranges</u></b>	<b><u>Remarks</u></b>
1.	Specimen Signatures of the Physical shareholders	Nil		This data is mandatory for processing any kind of request. Please provide us a clarification as to why this data is not provided to new RTA go.
2.	Original Affidavits along with supporting documents for change in signatures of the holders recorded / to be recorded by the Existing STA.	Nil		
3.	Transfer deeds/ transmission forms for securities duly transferred / transmitted along with supporting documents. Also photocopies for all objected transfer deeds/ transmission forms along with their supporting documents.	TF NO -- 420 DL NO -- 21		
4.	Pending transfer deeds along with share certificates and supporting documents, received for transfer.	Nil		
5.	Demat remat request forms already dematted / rematted shares	Demat request forms: TDN no: 900666 To 900805 ✓ TDC no: 950461 To 950549 ✓ TDN no: 900806 To 900826-LOSE DOCUMENTS TDC no: 950550 To 950552-LOSE DOCUMENTS		
6.	Pending cases for demat /remat, if any	Nil		
7.	Register & request documents of stop transfers, name correction, transmission, duplicate consolidation/split s/c, nomination, transfers, demat, remat etc.	Nil		
8.	Files for intimation to Stock Exchanges for loss of securities and data of duplicate certificates issued.	Nil		
9.	Register of power of attorney cases along with attested copies of the POAs and their specimen signatures	Nil		
10.	Memorandum and Articles of Association & Board resolutions for Corporate bodies,	Nil		
11.	Register and file of mandate cases along with soft copy.	Nil		
12.	File of original executed indemnities, Affidavits, etc. along with all supporting documents received for duplicate certificate issue, refund	Nil		
13.	File of Stop transfer cases, related correspondence / court injunction /	Nil		



**GUJARAT RAFFIA IND. LTD.  
ANNEXURE - A**

Sr.No.	Books	To	Remark
1	TF233	286	4 BOOKS
2	TF287	331	
3	TF340	349	
4	TF384	407	
5	TN29+31+24+DL8 & CORRESPONDENCE		
6	TDN900263	TDN900424	MIX DOCUMENTS
7	TDN900450	TDN900458	
8	TDN900459	TDN900493	MIX DOCUMENTS
9	TDN900494	TDN900534	
10	TDN900535	TDN900574	
11	TDC950192	TDC950305	MIX DOCUMENTS
12	TDC950324	TDC950330	
13	TDC950331	TDC950386	3 BOOKS
14	TDC950387	TDC950421	
15	TN24	TN31	3 BOOKS
16	TN47	TN53	
17	TN59	TN66	
<b>Details of loose bundle</b>			
1	TDN900505	TDN900510	
2	TDN900575	TDN900665	
3	TDN900747	TDN900779	
4	TDC950368	TDC950369	
5	TDC950422	TDC950460	
6	TDC950510	TDC950533	
7	TF332	TF339	
8	TF350	TF383	
9	TF408	TF419	
10	DL11	DL15	
11	DL19	DL20	
12	TM3	TM5	
13	TM7	TM7	
14	13 Correspondence books		
15	01 Correspondence loose bundle		
16	01 Correspondence File		



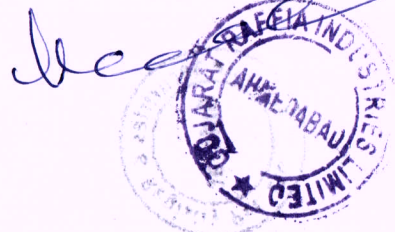
Receive Documents

*Rajesh*  
01/10/21

# Ann - II

Data Conversion Report				Date	3/27/2021	
1	<b>Client Information</b>					
	Client Name	Gujarat Raffia Industries Limited		MOU date	DD/MM/YYYY	
	Client service location at LIPL	Ahmedabad		Date of physical data cut off @ previous RTA	3/12/2021	
	ISIN	INE610B01024		Date of beneficiary benpos considered for cut off	2/19/2021	
	Temp ISIN	0				
2	<b>Share Capital</b>	5404500	Face Value	Electronic folios	Physical folios	Total Folios
	Listed and Traded Share capital	0	10		0	0
	Pending for listing/trading	0				0
	Total listed & Trded Share capital on cut off date	0			Any other exchange	Remarks
	BSE -CODE	523836	NSE-Symbol	GUJRAFFIA	NO	
	Share capital break up received from previous STA			Benpos data as on cut off date	Remarks	
	Physical capital	2143945		2143945	Physical	
	Shares in NSDL (Control Account)	2971699		2698856	benpos position on cut off date	
	Shares in CDSL (control account)	288856		561699	benpos position on cut off date	
	Total Capital	5404500		5404500		
	<b>Difference if any</b>	0			Difference at the time of conversion in benpose data	
	DN upload status	Y/N	Status	DN upload done by	Remarks	
	DRN/RRN History data	Y/N	Provided			
	Capital History data	No of shares	Date of Allotment/extinguishment	No of records	Remarks	
	IPO Issue data	0	-			
	Rights Issue data	NA	-			
	Bonus issue data	NA	-			
	Amalgamation data	NA	-			
	Merger Data	NA	-			
	Stock Split data	NA	-			
	data of previous face value on stock split	NA	-			
	Preferential allotment data	0	-			
	Buy back data		-			
	Forfeiture of shares	0				
	Exchange data	0		* in case of stock split/merger/amalgamation	Remarks	
3	<b>Other History data</b>	Period			Remarks	
		From	To	Total No of benpos	No of Missing benpos	No of solicited benpos(if any)
	NSDL Benpos	Jan 2018	Feb 2021			
	CDSL Benpos	Jan 2018	Feb 2021			
4	<b>Folio Master</b>	No of records in holding Master	Shares	Membership date available in the master (Live)	Membership date including NIL Folios	Missing member date for live folios
a	Live Folios	22562	2143945	33	396	22529
b	NIL Folios	2266	0	363		
	<b>Total</b>	<b>24828</b>				
	<b>other folio details (for live folios-Physical)</b>	No of records	Remarks			
c	Folios with blank address	0				
d	folios with blank Name	0				
e	Folios with NIL Pincode	1054				
f	Folios with insufficient Pincode	0				
g	Folio with Bank details	20				

Subject to verification

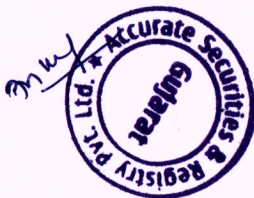


l	Nomination registrations	-	0	-	0	
m	POA update	-	0	-	0	
9	<b>Dividend Master</b>	Final/interim	dividend master data on initial payment	Total Unpaid amount as on date of takeover	date of Last Reconciliation	Remarks
a						
b						
c						
d						
e						
f						
g						
	attach separate sheet incase of multiple dividend payments					
		No of records	unpaid amount	date of Last Reconciliation	Remarks	
10	Refund Master	0	not received	not known		
11	Return undelivered	No of records	No of shares	not known		
a	Share certificates	0	not received			
b	Dividend warrants	0	not received			
12	Reports after data conversion	Report date	Remarks			
a	Share holding pattern					
b	Category wise Summary					
c	stop transfer register					
	nomination/poa					
	Any deviation/observation in the data conversion:					
a						
b						
c						
	Conversion date			Signature		
	Insta Activation Date					
	Conversion done by					
	Conversion reviewed By					
	Conversion approved by					
	Client added on the Web-list by					
	Observation by Client relation officer:					
	Name of the Client relation officer					



SMN

*Deed*



Receive original CD but subject to Verification

*Rahul Joshi*

01/10/21

## ANNEXURE-III

TO WHO SO EVER IT MAY CONCERN

This has with relation to the Tripartite Agreement and Annexure – I signed and sealed by all three parties.

In that Annexure – I, the remark column of Sr No.:1 i.e. "Specimen Signatures of the Physical shareholders" indicate that " This data is mandatory for processing any kind of request please provide us a clarification as to why this data is not provided to new RTA & Co."

In this regard, please note that the signature available with us and received from the previous RTA is captured in soft file and the same has been handed over into CD to the Compliance Officer of the company on 01-10-2021 and hence there is nothing pending from our end.

This certificate is issued for the specific purpose for the remark column in Annexure I of Tripartite Agreement dated 21-09-2021 between Gujarat Raffia Industries Limited, Accurate Securities and Registry Pvt. Ltd and Link Intime India Private Limited.

For Link Intime India Private Limited

Authorised Signatory

Place :- Ahmedabad

Date :- 11/10/2021



For Gujarat Raffia Industries Limited

*Rahul Jari*  
12/10/2021  
Compliance Officer

