

**GUJARAT APOLLO INDUSTRIES LIMITED**

"Parishram", Cellar, 5-B, Rashmi Society, Nr. Mithakhali Circle, Navrangpura, Ahmedabad-380 009, Gujarat, India. Tel. +91-79- 2644459 7/98, 26564705

July 16, 2021

<b>Corporate Relation Department</b> <b>BSE Limited</b> P.J. Towers, Dalal Street, Fort, Mumbai – 400 001	<b>Listing Compliance</b> <b>National Stock Exchange of India Limited</b> Exchange Plaza, C-1, Block-G, Bandra Kurla Complex, Bandra (E), Mumbai – 400 051
<b>Symbol: GUJAPOLLO; Security Code: 522217</b>	<b>Scrip Symbol: GUJAPOLLO</b>

Dear Sir/madam,

**Subject: Report for violations related to Code of Conduct under SEBI (Prohibition of Insider Trading) Regulations, 2015**

Please find enclosed herewith a Report for violations related to Code of Conduct under SEBI (Prohibition of Insider Trading) Regulations, 2015 pursuant to Schedule B read with Regulation 9 (1) of SEBI (Prohibition of Insider Trading) Regulations, 2015.

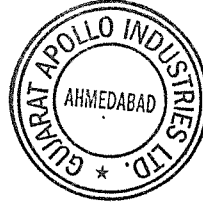
You are requested to take note of the same.

Thanking You,

Yours Faithfully,

For, **Gujarat Apollo Industries Limited**

  
**CS Neha Chikani Shah [M'ship No:A-25420]**  
**Company Secretary and Compliance Officer**



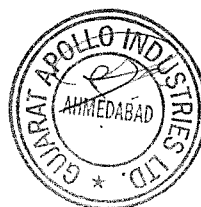
**Annexure A**

**Report by GUJARAT APOLLO INDUSTRIES LIMITED for violations related to Code of Conduct under SEBI (Prohibition of Insider Trading) Regulations, 2015.**

*[For listed companies: Schedule B read with Regulation 9 (1) of SEBI (Prohibition of Insider Trading) Regulations, 2015*

*For Intermediaries/ Fiduciaries: Schedule C read with Regulation 9(1) and 9(2) of SEBI(Prohibition of Insider Trading) Regulations, 2015]*

Sr. No.	Particulars	Details
1	Name of the listed company/ Intermediary/Fiduciary	GUJARAT APOLLO INDUSTRIES LIMITED
2	Please tick appropriate checkbox Reporting in capacity of : <input type="checkbox"/> Listed Company ✓ <input type="checkbox"/> Intermediary <input type="checkbox"/> Fiduciary	
3	<b>A. Details of Designated Person (DP)</b>	
	i. Name of the DP	Kailashben Manibhai Patel
	ii. PAN of the DP	ABXPP2966Q
	iii. Designation of DP	NA
	iv. Functional Role of DP	NA
	v. Whether DP is Promoter or belongs to Promoter Group	Promoter Group
	<b>B. If Reporting is for immediate relative of DP</b>	
	i. Name of the immediate relative of DP	NA
	ii. PAN of the immediate relative of DP	NA
	<b>C. Details of transaction(s)</b>	
	i. Name of the scrip	Gujarat Apollo Industries Limited
	ii. No of shares traded and value (Rs.) (Date- wise)	Sold 20000 Shares at a consideration of Rs.54,93,715 on 25.06.2021
	<b>D. In case value of trade(s) is more than Rs.10 lacs in a calendar quarter</b>	
Sr. No.	Particulars	Details
	i. Date of intimation of trade(s) by concerned DP/director/promoter/promoter group to Company under regulation 7 of SEBI (PIT) Regulations, 2015	09.07.2021
	ii. Date of intimation of trade(s) by Company to stock exchanges under regulation 7 of SEBI (PIT) Regulations, 2015	09.07.2021
4	Details of violations observed under Code of Conduct	The trade of the shares was done during window closure without pre-clearance orders of compliance officer



5	Action taken by Listed company/ Intermediary/ Fiduciary	The matter will be placed before the Audit Committee and the penalty will be levied as decided by the committee members.																								
6	Reasons recorded in writing for taking action stated above	The trade was done inadvertently by the person of promoter group																								
7	Details of the previous instances of violations, if any, since last financial year	NA																								
8	<p>If any amount collected for Code of Conduct violation(s)</p> <p>i. Mode of transfer to SEBI - IPEF (Online/Demand Draft)</p> <p>ii. Details of transfer/payment In case of online:</p> <table border="1"> <thead> <tr> <th>Particulars</th> <th>Details</th> </tr> </thead> <tbody> <tr> <td>Name of the transferor</td> <td></td> </tr> <tr> <td>Bank Name, branch and Account number</td> <td></td> </tr> <tr> <td>UTR/Transaction reference Number</td> <td></td> </tr> <tr> <td>Transaction date</td> <td></td> </tr> <tr> <td>Transaction Amount (in Rs.)</td> <td></td> </tr> <tr> <td></td> <td></td> </tr> </tbody> </table> <p>In case of Demand Draft (DD):</p> <table border="1"> <thead> <tr> <th>Particulars</th> <th>Details</th> </tr> </thead> <tbody> <tr> <td>Bank Name and branch</td> <td></td> </tr> <tr> <td>DD Number</td> <td></td> </tr> <tr> <td>DD date</td> <td></td> </tr> <tr> <td>DD amount (in Rs.)</td> <td></td> </tr> </tbody> </table>		Particulars	Details	Name of the transferor		Bank Name, branch and Account number		UTR/Transaction reference Number		Transaction date		Transaction Amount (in Rs.)				Particulars	Details	Bank Name and branch		DD Number		DD date		DD amount (in Rs.)	
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9	Any other relevant information																									

Date and Place: 16.07.2021 Ahmedabad



Name and Signature of Compliance Officer

CS Neha Chikani Shah [Gujarat Apollo Industries Limited]

PAN: AFIPC1424P Email ID:cs@gapollo.net

Yours faithfully,

