

REF:SEC/SE/2020/

14th August, 2020

The General Manager, Corporate Relations Department BSE Limited 1st Floor, New Trading Ring, Phiroze Jeejeebhoy Towers Dalal Street, Fort, MUMBAI - 400 001 Company Code No. : 530001	The General Manager Listing Department National Stock Exchange of India Limited Exchange Plaza, 5th Floor, Plot No.C-1, "G" Block, Bandra Kurla Complex, Bandra (East), MUMBAI - 400 051 Company Code No. : GUJALKALI
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Dear Sir,

- Reg: Report in Annexure A regarding violation of Company's Code of Conduct to Regulate, Monitor and Report Trading by Insider and actions taken by the Company under the SEBI (Prohibition of Insider Trading) Regulations, 2015.

Pursuant to the Regulation 9(1) and Schedule B of the SEBI (Prohibition of Insider Trading) Regulations, 2015, read with SEBI/HO/ISD/ISD/CIR/P/2020/135 dated 23rd July 2020, we submit herewith Report in Annexure-A regarding violation of Company's Code of Conduct to Regulate, Monitor and Report Trading by Insider and actions taken by the Company.

We request you to kindly take note of above.

Thanking you,

Yours faithfully,
for GUJARAT ALKALIES AND CHEMICALS LIMITED

(S.S.BHATT)
COMPANY SECRETARY & GM (LEGAL & CC)

Annexure A

Report by Gujarat Alkalies and Chemicals Limited for violations related to Code of Conduct under SEBI (Prohibition of Insider Trading) Regulations, 2015.

[For listed companies: Schedule B read with Regulation 9 (1) of SEBI (Prohibition of Insider Trading) Regulations, 2015]

Sr. No.	Particulars	Details
1	Name of the listed company	Gujarat Alkalies and Chemicals Limited
2	Please tick appropriate checkbox Reporting in capacity of : ☐ Listed Company ☐ Intermediary ☐ Fiduciary	Listed Company on BSE Limited (BSE) & National Stock Exchange of India Limited (NSE).
3	A. Details of Designated Person (DP)	
	i. Name of the DP	Shri Manish Babulal Shah
	ii. PAN of the DP	AJBPS1798M
	iii. Designation of DP	AGM (CPP) & Head PMO
	iv. Functional Role of DP	Working as Additional General Manager (CPP) and Head of Project Department. He is responsible for implementation of various Projects of the Company.
	v. Whether DP is Promoter or belongs to Promoter Group	No
	B. If Reporting is for immediate relative of DP	
	i. Name of the immediate relative of DP	Shri Babulal Manilal Shah
	ii. PAN of the immediate relative of DP	AKQPS8621M
	C. Details of transaction(s)	
	i. Name of the scrip	NSE : GUJALKALI BSE : 530001 Gujarat Alkalies and Chemicals Limited
	ii. No of shares traded and value (Rs.) (Date- wise)	50 (Fifty) Shares. Traded Value of these 50 Shares is Rs. 15,850.

		Date of Sale – 31.07.2020.
		The said 50 shares of the Company were bought approximately 4 years ago on 10.08.2016 and it is not a frequent trading or Contra Trade.
	D. In case value of trade(s) is more than Rs.10 lacs in a calendar Quarter	
Sr. No.	Particulars	Details
	i. Date of intimation of trade(s) by concerned DP / director / promoter / promoter group to Company under regulation 7 of SEBI (PIT) Regulations, 2015	NA
	ii. Date of intimation of trade(s) by Company to stock exchanges under regulation 7 of SEBI (PIT) Regulations, 2015	NA
4	Details of violations observed under Code of Conduct	Sale of 50 equity shares of the Company during Trading Window Closure.
5	Action taken by Listed company	1. Recovery of the profit earned from sale of 50 shares i.e. Rs. 2261 and deposited the said profit to Investor Protection and Education Fund of SEBI. 2. Restriction on Designated Person and on his sub-insiders that they shall not trade / deal in the shares of the Company for one year commencing from 07.08.2020.
6	Reasons recorded in writing for taking action stated above	50 Shares of the Company had been sold by Shri Babulal Manilal Shah, father of Designated Person Shri Manish B Shah. The said 50 shares of the Company were bought approximately 4 years ago i.e. on 10.08.2016 and it is not a frequent trade or Contra Trade.

		However, since the shares which were bought four years ago were sold during Window Closure period, the profit earned out of this is deposited with Investor Protection and Education Fund.												
7	Details of the previous instances of violations, if any, since last financial year	None												
8	If any amount collected for Code of Conduct violation(s)													
	i. Mode of transfer to SEBI - IPEF (Online/Demand Draft)													
	ii. Details of transfer/payment													
	In case of Online:													
	<table border="1"> <thead> <tr> <th>Particulars</th> <th>Details</th> </tr> </thead> <tbody> <tr> <td>Name of the transferor</td> <td>Gujarat Alkalies and Chemicals Limited</td> </tr> <tr> <td>Bank Name, branch and Account number</td> <td>HDFC Bank Ltd., Alkapuri Branch, A/c No. 00330110000021</td> </tr> <tr> <td>UTR/Transaction reference Number</td> <td>N225201213401820</td> </tr> <tr> <td>Transaction date</td> <td>12.08.2020</td> </tr> <tr> <td>Transaction Amount (in Rs.)</td> <td>Rs. 2261/-</td> </tr> </tbody> </table>	Particulars	Details	Name of the transferor	Gujarat Alkalies and Chemicals Limited	Bank Name, branch and Account number	HDFC Bank Ltd., Alkapuri Branch, A/c No. 00330110000021	UTR/Transaction reference Number	N225201213401820	Transaction date	12.08.2020	Transaction Amount (in Rs.)	Rs. 2261/-	
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9	Any other relevant information	Nil												

Yours faithfully,
For Gujarat Alkalies and Chemicals Limited

Date : 14.08.2020

Place : Vadodara

Name of Compliance Officer : Sanjay S Bhatt

Signature of Compliance Officer:

PAN: ABVPB1191P

Email ID: cosec@gacl.co.in



[Handwritten Signature]
14/8/2020