

REF:SEC/SE/2020/

11th September, 2020

The General Manager, Corporate Relations Department BSE Limited 1st Floor, New Trading Ring, Phiroze Jeejeebhoy Towers Dalal Street, Fort, MUMBAI - 400 001 Company Code No. : 530001	The General Manager Listing Department National Stock Exchange of India Limited Exchange Plaza, 5th Floor, Plot No.C-1, "G" Block, Bandra Kurla Complex, Bandra (East), MUMBAI - 400 051 Company Code No. : GUJALKALI
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Dear Sir,

Reg: Report in Annexure A regarding violation of Company's Code of Conduct to Regulate, Monitor and Report Trading by Insider and actions taken by the Company under the SEBI (Prohibition of Insider Trading) Regulations, 2015.

Pursuant to the Regulation 9(1) and Schedule B of the SEBI (Prohibition of Insider Trading) Regulations, 2015, read with SEBI/HO/ISD/ISD/CIR/P/2020/135 dated 23rd July 2020, we submit herewith Report in Annexure-A regarding violation of Company's Code of Conduct to Regulate, Monitor and Report Trading by Insider and actions taken by the Company.

We request you to kindly take note of above.

Thanking you,

Yours faithfully,
for GUJARAT ALKALIES AND CHEMICALS LIMITED

(S.S.BHATT)
COMPANY SECRETARY & CGM (LEGAL & CC)

Annexure A

Report by Gujarat Alkalies and Chemicals Limited for violations related to Code of Conduct under SEBI (Prohibition of Insider Trading) Regulations, 2015.

[For listed companies: Schedule B read with Regulation 9 (1) of SEBI (Prohibition of Insider Trading) Regulations, 2015

Sr. No.	Particulars	Details
1	Name of the listed company	Gujarat Alkalies and Chemicals Limited
2	Please tick appropriate checkbox Reporting in capacity of : ☐ Listed Company ☐ Intermediary ☐ Fiduciary	Listed Company on BSE Limited (BSE) & National Stock Exchange of India Limited (NSE).
3	A. Details of Designated Person (DP)	
i.	Name of the DP	Shri Rakesh K Gangrade
ii.	PAN of the DP	ABZPG9807F
iii.	Designation of DP	Dy. Head Power Plant (GNAL)
iv.	Functional Role of DP	Working as Dy. Head Power Plant of GACL-NALCO ALKALIES & CHEMICALS PRIVATE LIMITED, a subsidiary Company of Gujarat Alkalies and Chemicals Limited.
v.	Whether DP is Promoter or belongs to Promoter Group	No
	B. If Reporting is for immediate relative of DP	
i.	Name of the immediate relative of DP	--NA--
ii.	PAN of the immediate relative of DP	--NA--
	C. Details of transaction(s)	
i.	Name of the scrip	NSE : GUJALKALI BSE : 530001 Gujarat Alkalies and Chemicals Limited
ii.	No of shares traded and value (Rs.) (Date- wise)	50 (Fifty) Shares. Traded Value of these 50 Shares is Rs. 17,592.50

		Date of Sale – 25.08.2020.
		The said 50 shares of the Company were bought on 13.08.2020 and it is a Contra Trade.
	D. In case value of trade(s) is more than Rs.10 lacs in a calendar Quarter	
Sr. No.	Particulars	Details
	i. Date of intimation of trade(s) by concerned DP / director / promoter / promoter group to Company under regulation 7 of SEBI (PIT) Regulations, 2015	NA
	ii. Date of intimation of trade(s) by Company to stock exchanges under regulation 7 of SEBI (PIT) Regulations, 2015	NA
4	Details of violations observed under Code of Conduct	Contra Trade of 50 equity shares of the Company.
5	Action taken by Listed company	1. Recovery of the profit earned from Contra Trade of 50 shares i.e. Rs. 1392.50/- (say Rs. 1400/-) and deposited the said profit to Investor Protection and Education Fund of SEBI. 2. Restriction on Designated Person and on his sub-insiders that they shall not trade / deal in the shares of the Company for six months commencing from 04.09.2020.
6	Reasons recorded in writing for taking action stated above	Shri Rakesh K Gangrade, Designated Person of the Company bought 100 shares of the Company on 13.08.2020. Out of these shares he had sold 50 shares on 25.08.2020. He had bought and sold these shares during Window open period.

		The said trading (buy and sell) of 50 shares during six months amounts to Contra Trade. Therefore, the profit earned out of this is deposited with Investor Protection and Education Fund.																			
7	Details of the previous instances of violations, if any, since last financial year	None																			
8	If any amount collected for Code of Conduct violation(s) i. Mode of transfer to SEBI - IPEF (Online/Demand Draft) ii. Details of transfer/payment In case of Online: <table border="1"> <thead> <tr> <th>Particulars</th><th>Details</th></tr> </thead> <tbody> <tr> <td>Name of the transferor</td><td>Gujarat Alkalies and Chemicals Limited</td></tr> <tr> <td>Bank Name, branch and Account number</td><td>HDFC Bank Ltd., Alkapuri Branch, A/c No. 00330110000021</td></tr> <tr> <td>UTR/Transaction reference Number</td><td>N253201239036338</td></tr> <tr> <td>Transaction date</td><td>09.09.2020</td></tr> <tr> <td>Transaction Amount (in Rs.)</td><td>Rs. 1400/-</td></tr> </tbody> </table> In case of Demand Draft (DD): <table border="1"> <thead> <tr> <th>Particulars</th><th>Details</th></tr> </thead> <tbody> <tr> <td>Bank Name and branch</td><td rowspan="4">NA</td></tr> <tr> <td>DD Number</td></tr> <tr> <td>DD date</td></tr> <tr> <td>DD amount (in Rs.)</td></tr> </tbody> </table>		Particulars	Details	Name of the transferor	Gujarat Alkalies and Chemicals Limited	Bank Name, branch and Account number	HDFC Bank Ltd., Alkapuri Branch, A/c No. 00330110000021	UTR/Transaction reference Number	N253201239036338	Transaction date	09.09.2020	Transaction Amount (in Rs.)	Rs. 1400/-	Particulars	Details	Bank Name and branch	NA	DD Number	DD date	DD amount (in Rs.)
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9	Any other relevant information	Nil																			

Yours faithfully,

For Gujarat Alkalies and Chemicals Limited

Date : 11.09.2020

Place : Vadodara

Name of Compliance Officer : Sanjay S Bhatt

Signature of Compliance Officer:

PAN: ABVPB1191P

Email ID: cosec@gacl.co.in

