Goa Carbon Limited

Registered & Corporate Office:
Dempo House, Campal, Panjim - Goa - 403 001., INDIA.
Tel.: +91 (0832) 2441300 Fax: +91 (0832) 2427192
E-mail: goacarbon@gmail.com Website: www.goacarbon.com
Corporate Identity Number - L23109GA1967PLC000076

Company Scrip Code / Symbol : 509567 / GOACARBON **ISIN Code: INE426D01013**

Ref. No. 2023/VII/58 6th July 2023

The General Manager, Department of Corporate Services **BSE Ltd.**, ...Thru' Listing Centre 25th Floor, P.J. Towers, Dalal Street, Mumbai – 400 001.	The Listing Department, **National Stock Exchange of India Ltd.**,..Thru' NEAPS Exchange Plaza, Bandra Kurla Complex, Bandra (East), Mumbai 400 051.

Sub.: Reporting of violations related to Code of Conduct under SEBI (Prohibition of Insider Trading) Regulations, 2015

Dear Sir / Madam,

This is in continuance of our earlier reporting on the captioned subject submitted by the Company on 11th November 2022, wherein the Company has submitted the event of violation of the Code of Conduct under the Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015.

The said incident was reported to the Audit Committee of the Board of Directors of the Company. The Committee authorised the Management to investigate in the matter and propose the actions to be taken after providing an opportunity of being heard to the Designated Person. The concerned Designated Person has accepted the mistake which he claimed to be inadvertent. The Management after considering the various aspects, levied and collected a penalty of Rs. 99,672.52 for the said violation.

Accordingly, an online transfer was made for aggregate value of Rs. 99,672.52 to the designated account of SEBI-IPEF on 30th June 2023. Details of the transfer is enclosed at the appropriate fields in the attached Annexure A.

You are requested to take the same on record

Thanking you,

Yours faithfully,
For **Goa Carbon Limited**

PRAVIN RAJENDRA SATARDEKAR
Digitally signed by PRAVIN RAJENDRA SATARDEKAR
Date: 2023.07.06 10:28:42 +05'30'

Pravin Satardekar
Company Secretary & Compliance Officer
ACS: 24380

Encl.: as above

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ANNEXURE - A

Reporting of Insider Trading violation under Regulation 9(1) of SEBI (PIT) Regulations, 2015

S.No	Particulars	Details
1	Name of the listed entity ~~/ intermediary / fiduciary~~	Goa Carbon Limited
2	Please tick the appropriate box Reporting in the capacity of: √ Listed entity Intermediary Fiduciary	Listed on BSE Limited & The National Stock Exchange of India Limited
3	**A. Details of Designated Person (DP)**	
	i. Name of the DP	Mr. Purushottam Kumar
	ii. PAN of the DP	AFRPK9943C
	iii. Designation of DP	Dy. General Manager – (Procurement & Logistics)
	iv. Functional Role of DP	Head of Procurement & Logistics Department
	v. Whether DP is a promoter or belongs to promoter group	No
	B. If Reporting is for immediate relative of DP	
	i. Name of the immediate relative of DP	Mrs. Neha Panjiyar
	ii. PAN of the immediate relative of DP	AWEPP4222B
	C. Details of transaction(s)	
	i. Name of the scrip	NSE Symbol: GOACARBON BSE Code: 509567
	ii. No. of shares traded and value (Rs.)	Bought 1000 equity shares on 24.10.2022 at Rs. 4,18,456.22 Sold 1000 equity shares on 19.05.2023 at Rs. 4,76,283.12
	D. In case value of trade(s) is more than Rs.10 lacs in a calendar quarter	
	i. Date of intimation of trade(s) by concerned DP/ director/ promoter/promoter group to Company under regulation 7 of SEBI (PIT) Regulations, 2015	Not Applicable
	ii. Date of intimation of trade(s) by Company to stock exchanges under regulation 7 of SEBI (PIT) Regulations, 2015	Not Applicable
4	Details of violations observed under SEBI (PIT) Regulations, 2015	Shares bought during Trading Window closure period.
5	Action taken by Listed company ~~/ Intermediary / Fiduciary~~	The Company has levied monetary penalty as follows: Profit earned by the immediate relative of the DP amounting to Rs. 57,826.90 and 10% of the investment (Capital invested) amounting to Rs.41,845.62 totalling to Rs. 99,672.52 has been deposited in SEBI-IPEF Account.

GOA CARBON LIMITED

Plants :
GOA : Tel.: 0832-2860363 to 68, 2860336, 2861052
Fax: 2860364 E-mail: head_works@goacarbon.com
PARADEEP : Tel: 07894462761, 09238110372
E-mail:pclvpster@gmail.com
BILASPUR : Tel.: +91 (07752) 261220, 650720
Fax: +91 (07752) 261115 E-mail: bsp@goacarbon.com

ISO 9001:2015 BUREAU VERITAS Certification
UKAS MANAGEMENT SYSTEMS 0008
ISO 14001:2015 BUREAU VERITAS Certification
UKAS MANAGEMENT SYSTEMS 0008

6	Reasons recorded in writing for taking action	Violation of SEBI Insider Trading Regulations
7	Details of the previous instances of violations, if any, since last financial year	Nil
8	If any amount collected for Code of Conduct violation(s)	Yes
	i. Mode of transfer to SEBI – IPEF (Online/Demand Draft)	Online transfer
	ii. Details of transfer/payment In case of Online: Particulars Details Name of the Transferor Bank Name, Branch and Account Number UTR/Transaction reference Number Transaction Date Transaction Amount (in Rs.) In Case of Demand Draft (DD) : Particulars Details Bank and Branch DD Number DD Date DD Amount (in Rs.)	 Goa Carbon Limited Bank of India, Panaji Branch, Account # xxxxxxxxxxxx25 BI828356 30.06.2023 Rs. 99,672.52 Not Applicable
9	Any other relevant information	None

For **Goa Carbon Limited**

PRAVIN RAJENDRA SATARDEKAR

Digitally signed by PRAVIN RAJENDRA SATARDEKAR
Date: 2023.07.06 10:29:14 +05'30'

Pravin Satardekar
Company Secretary & Compliance Officer
ACS: 24380

Date: 06.07.2023

Place: Panaji, Goa

GOA CARBON LIMITED