September 05, 2022

BSE Limited Phiroze Jeejeebhoy Towers, Dalal Street, Mumbai – 400 001. Tel: 022 - 2272 1233 / 34 Fax: 022 - 2272 2131 / 1072/ 2037 / 2061 / 41 **Scrip Code:** 532345 **ISIN No.:** INE152B01027 **Re.:** Gati Limited	**National Stock Exchange of India Limited** Exchange Plaza, Bandra Kurla Complex, Bandra (E), Mumbai – 400 051 Tel: 022 - 2659 8235 / 36 / 452 Fax: 022 - 2659 8237/ 38 **Symbol :** GATI **ISIN No.:** INE152B01027 **Re.:** Gati Limited

Dear Sir/Ma'am,

Subject: Reporting violation of Company's Code of Conduct under Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015, as amended.

Pursuant to the terms of Securities and Exchange Board of India ("SEBI") Circular No. SEBI/HO/ISD/ISD/CIR/P/2020/135 dated July 23, 2020, please find enclosed herewith the report on details of violation of the Company's Code of Conduct under Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015 by the Designated Person (DP) along with the action taken by the Company thereon.

We request you to take the same on record.

Thanking you,

Yours faithfully,
For **Gati Limited**

SHANTHAVADHAN MAHARANI THIRUVELLUR
Digitally signed by SHANTHAVADHAN MAHARANI THIRUVELLUR
Date: 2022.09.05 19:14:31 +05'30'

T.S. Maharani
Company Secretary & Compliance Officer
M. No.: F8069

Encl.: As abovse

Report by Gati Limited for violations related to Code of Conduct under SEBI (Prohibition of Insider Trading) Regulations, 2015.

Schedule B read with Regulation 9(1) of SEBI (Prohibition of Insider Trading) Regulations, 2015.

Sr. No.	Particulars	Details
1.	Name of the listed company/ Intermediary/Fiduciary	Gati Limited
2.	Please tick appropriate checkbox Reporting in capacity of : ☐ Listed Company ☐ Intermediary ☐ Fiduciary	Listed Company
3.	**A. Details of Designated Person (DP)**	
	i. Name of the DP	Mr. Kharak Singh Parihar
	ii. PAN of the DP	AJKPP7003P
	iii. Designation of DP	Head EDC Operations, Material Subsidiary
	iv. Functional Role of DP	Head EDC Operations, Material Subsidiary
	v. Whether DP is Promoter or belongs to Promoter Group	No
	B. If Reporting is for immediate relative of DP	
	i. Name of the immediate relative of DP	Not Applicable
	ii. PAN of the immediate relative of DP	Not Applicable
	C. Details of transaction(s)	
	i. Name of the scrip	GATI LTD. BSE CODE: 532345 NSE SYMBOL: GATI
	ii. No. of shares traded and value (Rs.) (Date- wise)	**As per Annexure A**
	D. In case value of trade(s) is more than Rs. 10 lacs in a calendar quarter	
	i. Date of intimation of trade(s) by concerned DP/director/promoter/promoter group to Company under regulation 7 of SEBI (PIT) Regulations, 2015	Not Applicable
	ii. Date of intimation of trade(s) by Company to stock exchanges under regulation 7 of SEBI (PIT) Regulations, 2015	Not Applicable
4.	Details of violations observed under Code of Conduct	Violation of Clause 8(1)(a) of the Code of Conduct, trading during closure of trading window.
5.	Action taken by Listed company/ Intermediary/ Fiduciary	Levied penalty Rs. 5,000/- for the said violation on the concerned person and the same has been remitted by the Company to the Investor Protection and Education Fund (IPEF) administered by SEBI.
6.	Reasons recorded in writing for taking action stated above	Execution of trade during trading window closure period in violation of Clause 8(1)(a) of Gati Code of Conduct.
7.	Details of the previous instances of violations, if any, since last financial year	Nil
8.	If any amount collected for Code of Conduct violation(s)	
	i. Mode of transfer to SEBI - IPEF (Online/Demand Draft)	Online Transfer

<table>
<tr><td></td><td colspan="2">ii. Details of transfer/payment</td><td></td></tr>
<tr><td></td><td colspan="3">In case of Online:
<table>
<tr><th>Particulars</th><th>Details</th></tr>
<tr><td>Name of the transferor</td><td>Gati Limited</td></tr>
<tr><td>Bank Name, branch and Account number</td><td>IndusInd Bank Limited, Begumpet, Hyderabad, Bank Account No. 201008986376</td></tr>
<tr><td>UTR/Transaction reference Number</td><td>INDBN02098722017</td></tr>
<tr><td>Transaction date</td><td>02-09-2022</td></tr>
<tr><td>Transaction Amount (in Rs.)</td><td>Rs. 5,000.00/-</td></tr>
</table>
In case of Demand Draft (DD): Not Applicable
<table>
<tr><th>Particulars</th><th>Details</th></tr>
<tr><td>Bank Name and branch</td><td>-</td></tr>
<tr><td>DD Number</td><td>-</td></tr>
<tr><td>DD Date</td><td>-</td></tr>
<tr><td>DD amount (in Rs.)</td><td>-</td></tr>
</table></td></tr>
<tr><td>9.</td><td colspan="2">Any other relevant information</td><td>-</td></tr>
</table>

Thanking you,

Yours faithfully,
For **Gati Limited**

SHANTHAVADHAN MAHARANI THIRUVELLUR
Digitally signed by SHANTHAVADHAN MAHARANI THIRUVELLUR
Date: 2022.09.05 19:15:29 +05'30'

T.S. Maharani
Company Secretary & Compliance Officer
M. No.: F8069
Date: 05-09-2022
Place: Hyderabad
PAN: AEFPT7393L
E-mail Id:- maharani.ts@gati.com

Annexure – A

Date	No. of shares	Nature of Transaction	Trade price per unit (Net)	Total amount (Rs.)
07-04-2022	50	Sold	170.46/-	8,523.00/-
19-04-2022	50	Sold	169.06/-	8,453.00/-
21-04-2022	60	Sold	184.98/-	11,098.80/-
21-04-2022	60	Sold	190.94/-	11,456.40/-

SHANTHAVADHAN MAHARANI THIRUVELLUR

Digitally signed by SHANTHAVADHAN MAHARANI THIRUVELLUR
Date: 2022.09.05 19:15:54 +05'30'