

January 31, 2024

BSE Limited
P.J. Towers,
Dalal Street,
Mumbai- 400 001
(Scrip Code: 543386)

National Stock Exchange of India Limited
Exchange Plaza, 5th Floor, Plot No. C/1, G
Block, Bandra - Kurla Complex, Bandra (E),
Mumbai - 400 051
(Symbol: FINOPB)

Dear Sir/Madam,

Sub: Reporting of Violation of Code of Conduct to Regulate, Monitor and Report Trading by Insiders of Fino Payments Bank Limited under Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015

Pursuant to Regulation 9(1) of the Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015 read with SEBI Circular No. SEBI/HO/ISD/ISD/CIR/P/2020/135 dated July 23, 2020, the details of violation of Code of Conduct to Regulate, Monitor and Report Trading by Insiders of Fino Payments Bank Limited ("**Bank**") by the Designated Person along with the action taken by the Bank is enclosed herewith as an **Annexure-A**.

This intimation will also be available on the Bank's website i.e. www.finobank.com

Kindly take the same on record.

Thank You

Yours faithfully,

For Fino Payments Bank Limited

BASAVRAJ
SHIVANAND
LONI

Digitally signed by
BASAVRAJ SHIVANAND
LONI
Date: 2024.01.31 18:57:54
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Basavraj Loni
Company Secretary & Compliance Officer

Place: Navi Mumbai

Encl: a/a

Annexure - A

Report by Fino Payments Bank Limited for violation related to Code of Conduct to Regulate, Monitor and Report Trading by Insiders of Fino Payments Bank Limited under SEBI (Prohibition of Insider Trading) Regulations, 2015

(Schedule B read with Regulation 9 (1) of SEBI (Prohibition of Insider Trading) Regulations, 2015)

Sr. No.	Particulars	Details
1	Name of the listed company/ ~~Intermediary/Fiduciary~~	Fino Payments Bank Limited (" Bank ")
2	<i>Please tick appropriate checkbox</i> Reporting in capacity of: ☒ Listed Company ☐ Intermediary ☐ Fiduciary	Listed Company
3	A. Details of Designated Person (DP)	
	i. Name of the DP	Kunal Jagdeep Sakariya
	ii. PAN of the DP	BMAPS8100C
	iii. Designation of DP	Vice President
	iv. Functional Role of DP	Marketing and Analytics
	v. Whether DP is Promoter or belongs to Promoter Group	No
	B. If Reporting is for immediate relative of DP	
	i. Name of the immediate relative of DP	NA
	ii. PAN of the immediate relative of DP	NA
	C. Details of transaction(s)	
	i. Name of the scrip	Fino Payments Bank Limited BSE: 543386 NSE: FINOPB
	ii. No of shares traded and value (Rs.) (Date- wise)	Refer Annexure-B
	D. In case value of trade(s) is more than Rs.10 lacs in a calendar quarter	
	i. Date of intimation of trade(s) by concerned DP/director/promoter/promoter group to Company under regulation 7 of SEBI (PIT) Regulations, 2015	NA
	ii. Date of intimation of trade(s) by Company to stock exchanges under regulation 7 of SEBI (PIT) Regulations, 2015	NA

4	Details of violations observed under Code of Conduct	The concerned DP has created pledge on 100 Shares of the Bank on December 27, 2023, and inadvertently released the pledge on such shares on January 02, 2024. The transaction executed on January 02, 2024 resulted in “contra trade” as well as execution of transaction during closure of trading Window.												
5	Action taken by Listed company/ Intermediary/ Fiduciary	The Bank initiated disciplinary action against DP and has issued a reprimand/ warning letter to DP												
6	Reasons recorded in writing for taking action stated above	Violation of Code of Conduct to Regulate, Monitor and Report Trading by Insiders, in relation to execution of contra trade and trading during closure of trading window												
7	Details of the previous instances of violations, if any, since last financial year	Nil												
8	If any amount collected for Code of Conduct violation(s)	Nil												
	i. Mode of transfer to SEBI - IPEF (Online/Demand Draft)													
	ii. Details of transfer/payment													
	In case of Online:													
	<table><tr><th>Particulars</th><th>Details</th></tr><tr><td>Name of the transferor</td><td>Nil</td></tr><tr><td>Bank Name, branch and Account number</td><td>Nil</td></tr><tr><td>UTR/Transaction reference Number</td><td>Nil</td></tr><tr><td>Transaction date</td><td>Nil</td></tr><tr><td>Transaction Amount (in ₹)</td><td>Nil</td></tr></table>	Particulars	Details	Name of the transferor	Nil	Bank Name, branch and Account number	Nil	UTR/Transaction reference Number	Nil	Transaction date	Nil	Transaction Amount (in ₹)	Nil	
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Name of the transferor	Nil													
Bank Name, branch and Account number	Nil													
UTR/Transaction reference Number	Nil													
Transaction date	Nil													
Transaction Amount (in ₹)	Nil													
	In case of Demand Draft (DD):													
	<table><tr><th>Particulars</th><th>Details</th></tr><tr><td>Bank Name and branch</td><td>Nil</td></tr></table>	Particulars	Details	Bank Name and branch	Nil									
Particulars	Details													
Bank Name and branch	Nil													

	DD Number	Nil
	DD date	Nil
	DD amount (in ₹)	Nil
9	Any other relevant information	-

Yours Faithfully,

For Fino Payments Bank Limited

BASAVRAJ
SHIVANAND LONI

Digitally signed by BASAVRAJ
SHIVANAND LONI
Date: 2024.01.31 18:58:24
+05'30'

Basavraj Loni
Company Secretary & Compliance Officer

PAN: AEIPL9294H

Email: cs@finobank.com

Date: January 31, 2024

Place: Navi Mumbai

Annexure-B

Trade Date	No. of Shares pledged	Value	Type of transaction
December 27, 2023	100	NA	Pledge Creation
January 02, 2024	100	NA	Release of Pledge