



FILATEX INDIA LIMITED

CIN No.L17119DN1990PLC000091

FIL/SE/2022-23/02

15th April, 2022

National Stock Exchange of India Limited
Listing Department
5th Floor, Exchange Plaza, C-1, Block-G,
Bandra-Kurla Complex, Bandra (E)
Mumbai-400 051
Security Symbol: **FILATEX**

BSE Limited
Listing Department
25th Floor, Pheroze Jeejeebhoy Towers
Dalal Street,
Mumbai - 400 001
Security Code: **526227**

Subject: Reporting for violations done by Designated Employee relating to Code of Conduct under SEBI (Prohibition of Insider Trading) Regulations, 2015

Dear Sir/ Madam,

Please find attached report in prescribed format for violations done by Designated Employee relating to Code of Conduct under SEBI (Prohibition of Insider Trading) Regulations, 2015.

This is for your information please.

Thanking you,

Yours faithfully,
For FILATEX INDIA LIMITED

COMPANY SECRETARY

CORPORATE OFFICE

Bhageria House
43 Community Centre
New Friends Colony
New Delhi - 110025, India
P +91.11.26312503,26848633/44
F +91.11.26849915
E fildelhi@filatex.com

REGD. OFFICE & WORKS

S. No. 274 Demni Road
Dadra - 396193
U.T. of-Dadra & Nagar Haveli
India
P +91.260.2668343/8510
F +91.260.2668344
E fildadra@filatex.com

SURAT OFFICE

Bhageria House
Ring Road
Surat - 395002
India
P +91.261.4030000
F +91.261.2310796
E filsurat@filatex.com

MUMBAI OFFICE

321, Maker Chamber - V
Nariman Point
Mumbai - 400021
India
P +91.22.22026005/06
F +91.22.22026006
E filmumbai@filatex.com

Website: www.filatex.com

REPORT BY FILATEX INDIA LIMITED FOR VIOLATIONS RELATED TO CODE OF CONDUCT UNDER SEBI (PROHIBITION OF INSIDER TRADING) REGULATIONS, 2015

{For listed companies: Schedule B read with Regulation 9 (1) of SEBI (Prohibition of Insider Trading) Regulations, 2015}

Sr. No.	Particulars	Details
1.	Name of the listed company/ ~~Intermediary/Fiduciary~~	Filatex India Limited
2.	Please tick appropriate checkbox Reporting in capacity of : ☐ Listed Company ☒ ☐ Intermediary ☐ Fiduciary	
3.	A. Details of Designated Person (DP)	
	i. Name of the DP	Vyanu B Vyas
	ii. PAN of the DP	ABSPV4972B
	iii. Designation of DP	COO and Plant Head, Dahej
	iv. Functional Role of DP	Plant Head, Dahej
	v. Whether DP is Promoter or belongs to Promoter Group	No
	B. If Reporting is for immediate relative of DP	
	Name of the immediate relative of DP	NA
	PAN of the immediate relative of DP	NA
	C. Details of transaction(s)	
	Name of the scrip	Filatex India Limited
	No of shares traded and value (Rs.) (Date- wise) (a) 4000 shares sold on 14.03.2022 amounting to Rs. 5,03,000/-, (b) 2000 shares bought on 16.03.2022 amounting to Rs.2,49,540/-, (c) 4000 shares sold on 17.03.2022 amounting to Rs. 5,03,000/-, (d) 6000 shares sold on 21.03.2022 amounting to Rs. 7,71,480/- & (e) 4000 shares sold on 23.03.2022 amounting to Rs. 5,31,000/-	
	D. In case value of trade(s) is more than Rs.10 lacs in a calendar quarter	Yes
	Date of intimation of trade(s) by concerned DP/director/promoter/promoter group to Company under regulation 7 of SEBI (PIT) Regulations, 2015	NA
	Date of intimation of trade(s) by Company to stock exchanges under regulation 7 of SEBI (PIT) Regulations, 2015	NA
4.	Details of violations observed under Code of Conduct	Above sale and purchase of the shares within six months became contra trade. No preclearance was sought by the concerned employee.

5.	Action taken by Listed company/ Intermediary/ Fiduciary		
6.	The Company reviewed the matter and imposed a fine of Rs. 10,000 and also issued a warning letter to take utmost care in future to avoid further stringent action.		
7.	Reasons recorded in writing for taking action stated above	The Company imposed above mentioned fine after taking into account the explanation furnished by the Designated Person regarding inadvertent sale and purchase of shares within six months.	
8.	Details of the previous instances of violations, if any, since last financial year	He had sold 2000 shares on 05.01.2022 during window closure period.	
9.	If any amount collected for Code of Conduct violation(s)		
	i. Mode of transfer to SEBI - IPEF (Online/Demand Draft)		
	ii. Details of transfer/payment		
	In case of Online:		
	Particulars		Details
	Name of the transferor		Vyanu B Vyas
	Bank Name, branch and Account number		Union Bank of India, Dahej Branch, Bharuch A/c no: 6309302010000421
	UTR/Transaction reference Number		210212921749
	Transaction date		12.04.2022
	Transaction Amount (in Rs.)		Rs. 10000
	In case of Demand Draft (DD):		
	Particulars		Details
	Bank Name and branch		
	DD Number		
	DD date		
DD amount (in Rs.)			
10.	Any other relevant information		

For FILATEX INDIA LIMITED
For Filatex India Limited

Raman

Date: 15.04.2022
Place: New Delhi

Raman Kumar Jha
Company Secretary and Compliance Officer
PAN: AHGPJ3334B
Email ID: secretarial@filatex.com