



**CERTIFICATE UNDER REGULATION 40 (9) OF THE SEBI (LODR) REGULATIONS  
FOR THE HALF YEAR ENDED 30<sup>TH</sup> SEPTEMBER 2020**

I have examined all Share Transfer forms, Memorandum of Transfers, Registers, files and other documents relating to **M/s. Essar Shipping Limited** maintained by its Registrar and Share Transfer Agents M/s. Data Software Research Company Private Limited (RTA), No.19, Pycrofts Garden Road, Off Haddows Road, Nungambakkam. Chennai-600 006, pertaining to transfer of equity shares of the company for the period from **1<sup>st</sup> April, 2020 to 30<sup>th</sup> September, 2020** for the purpose of issuing Certificate under Sub-Regulation (9) of Regulation 40 of Securities Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations 2015, (erstwhile sub clause (c) of Clause 47 of the Listing Agreement with Stock Exchange) and based on the information provided by the Company. We hereby certify that the Company has delivered during the half year ended on **30<sup>th</sup> September 2020**.

- A. Share Certificate(s) relating to the Share Transfer form(s) received during the period from **1<sup>st</sup> April, 2020 to 30<sup>th</sup> September, 2020** as entered in the Memorandum of Transfers have been issued within one month from the respective date of lodgement of each form excepting those rejected on technical grounds.
- B. Share Certificates in respect of requests for exchange of duplicate and split certificates have been issued within one month of lodgement.



**For V. Mahesh & Associates  
Company Secretaries**

**Place : Chennai**  
**Date : 12/10/2020**

**Signature :**  
**Name of Company Secretary : V. Mahesh**  
**Designation : Company Secretary**  
**C.P. Number : 2473**  
**UDIN : F004162B000890454**