Emkay©
Your success is our success

20th December, 2013

National Stock Exchange of India Limited
Listing Department,
Exchange Plaza,Bandra Kurla Complex,
Bandra (East),Mumbai-400051

Sub: - Postal Ballot Voting Results:

Dear Sir,

Please note that the Board of Directors had sought approval of the members of the company pursuant to the provisions of section 192A of the companies act ,1956 read with the Companies (Passing of resolution by postal ballot)Rules,2011 by an Special Resolution to make amendments/modifications in ESOP 2010 scheme through trust route to remove/delete the clause(s) that permit the employee welfare trust (ESOP TRUST) to acquire the securities of the company from secondary market so as to make scheme/plan compliant with SEBI requirements.

In this regard,The details of the voting results are enumerated below -

Sr. No.	**Particulars**	**Result**
I	Total Shareholders who exercised their votes through postal ballots	156
II	Total Shareholders whose votes were valid	133
	1.a. Number of Shareholders voted in favour	114
	b. Percentage	85.71
	2. a. Number of Shareholders voted against	19
	b. Percentage	14.29
III	Total number of shares voted	1,90,46,375
IV	Total valid votes (in terms of number of voting rights/ shares through postal ballots)	1,90,31,288

	1.a. Number of votes in favour of the resolution	1,90,28,958
	b. Percentage	99.99
	2. a. Number of votes against the resolution	2,330
	b. Percentage	0.01
V	Invalid Postal Ballots	23
	Number of shares	15,087

We are also enclosing herewith the scruitinizer's report with respect to the same. Based on the outcome of the results of postal ballot as above, the Managing Director announced the resolutions as passed with the requisite majority on December 20th, 2013.

Please take the same on record and oblige.

Thanking you,

Yours faithfully,

For Emkay Global Financial Services Limited-

Vaibhav Purohit
Company Secretary

Encl: As above

PARIKH & ASSOCIATES
COMPANY SECRETARIES

Office :
111, 11th Floor, Sai-Dwar CHS Ltd,
Sab TV Lane, Opp Laxmi Industrial Estate
Off Link Road, Andheri (W), Mumbai : 400 053
Tel. : 26301232 / 26301233 / 26301240
Email : cs@parikhassociates.com
parikh.associates@rediffmail.com

December 19, 2013

To,
Emkay Global Financial Services Limited
7th Floor, The Ruby
Senapati Bapat Marg, Dadar (West),
Mumbai-400028

Kind Attn: Shri Vaibhav Purohit

Sub. : Postal Ballot

Dear Sir,

I refer to the resolution passed by the Board of Directors of Emkay Global Financial Services Limited on October 28, 2013 in respect of my appointment as Scrutinizer to conduct the postal ballot process in respect of the Special Resolution to amend/modify Employee Stock Option Plan 2010 Scheme (ESOP 2010) of the company through Trust Route.

I now enclose the following:

a) My report to the Chairman of the Company on the result of the postal ballots received from shareholders.

b) The register showing the particulars of the postal ballots processed by the Registrar and Share Transfer Agents of the Company.

I hope you will find the above in order.

Thanking you.

Yours faithfully,

P. N. Parikh
Parikh & Associates

P. N. PARIKH * CP 1228 * MUMBAI * COMPANY SECRETARY *

Encl.: As above.

PARIKH & ASSOCIATES
COMPANY SECRETARIES

Office :
111, 11th Floor, Sai-Dwar CHS Ltd,
Sab TV Lane, Opp Laxmi Industrial Estate
Off Link Road, Andheri (W), Mumbai : 400 053
Tel. : 26301232 / 26301233 / 26301240
Email : cs@parikhassociates.com
parikh.associates@rediffmail.com

To
The Chairman
Emkay Global Financial Services Limited
7th Floor, The Ruby
Senapati Bapat Marg, Dadar (West),
Mumbai-400028

Report of Scrutinizer

I, P. N. Parikh, Company Secretary in whole time practice, having my office at 111,11th Floor, Sai Dwar CHS Ltd, Sab TV Lane, Opp Laxmi Indl Estate, Above Shabari Restaurant, Off Link Road, Andheri West, Mumbai 400 053 had been appointed as the Scrutinizer by the Board of Directors of the Company to conduct the postal ballot process in respect of the Special Resolution to amend/modify Employee Stock Option Plan 2010 Scheme (ESOP 2010) of the company through Trust Route pursuant to the Notice and Explanatory Statement dated October 28, 2013 (copy attached) issued under Section 192A of the Companies Act, 1956 read with the Companies (Passing of the Resolution by Postal Ballot) Rules, 2011.

I have scrutinized the poll papers and votes tendered therein and maintained a register in which necessary entries have been made in accordance with the above rules.

I now submit my Report as under of the result of the voting by postal ballot in respect of the said Special Resolution.

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P. N. PARIKH
CP 1228
MUMBAI
COMPANY SECRETARY

Special Resolution to amend/modify Employee Stock Option Plan 2010 Scheme (ESOP 2010) of the company through Trust Route.

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	b. Percentage	0.01
V	Invalid Postal Ballots	23
	Number of shares	15,087

P. N. PARIKH CP 1228 MUMBAI COMPANY SECRETARY

Signature

Name: P. N. Parikh
Scrutinizer

Place: Mumbai

Dated: December 19, 2013

FCS: 327 CP: 1228