

# ELECTROSTEEL CASTINGS LIMITED

**H.O. :** G.K. Tower, 19, Camac Street, Kolkata 700 017, India  
**Regd. Office :** Rathod Colony, Rajgangpur, Sundergarh, Odisha 770 017  
**Tel :** +91 33-2283 9900, 7103 4400  
**CIN :** L27310OR1955PLC000310  
**Web :** www.electrosteelcastings.com



25 August, 2023

## **BSE Limited**

Phiroze Jeejeebhoy Towers,  
Dalal Street, Fort,  
Mumbai – 400 001

## **National Stock Exchange of India Limited**

Exchange Plaza, Bandra Kurla Complex,  
Bandra (E),  
Mumbai – 400 051

Scrip Code: **500128**  
ISIN : INE086A01029

Symbol: **ELECTCAST**

Dear Sir/Madam,

### **Sub - Reporting of Violation relating to Code of Conduct under SEBI (Prohibition of Insider Trading) Regulations, 2015**

**Ref: SEBI Circular No. SEBI/HO/ISD/ISD/CIR/P/2020/135 dated July 23, 2020**

In terms of Company's Code of Conduct (To Regulate, Monitor and Report of Trading by Designated Persons) ("Insider Trading Code") read with Schedule B and Regulation 9 of the SEBI (Prohibition of Insider Trading) Regulations, 2015, we hereby submit a report in respect of violation of Insider Trading Code of the Company as per format specified by SEBI vide its Circular No. SEBI/HO/ISD/ISD/CIR/P/2020/135, dated July 23, 2020.

This is for your information and records please.

Thanking you,

Yours faithfully,

**For Electrosteel Castings Limited**

**Indranil Mitra**  
**Company Secretary**  
ICSI: A20387

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## 1. Report by Electrosteel Castings Limited for violations related to Code of Conduct under SEBI (Prohibition of Insider Trading) Regulations, 2015.

Schedule B read with Regulation 9 (1) of SEBI (Prohibition of Insider Trading) Regulations, 2015

SI No	Particulars	Details															
1	Name of the listed Company/ Intermediary/ Fiduciary	Electrosteel Castings Limited															
2	Please tick appropriate checkbox Reporting in capacity of: <input checked="" type="checkbox"/> Listed Company <input checked="" type="checkbox"/> Intermediary <input checked="" type="checkbox"/> Fiduciary	Listed Company															
3	<b>A. Details of Designated Person (DP)</b>																
	i. Name of the DP	Mr. Sunil Katial															
	ii. PAN of the DP	ACRPK2195B															
	iii. Designation of DP	Chief Executive Officer and Whole-time Director															
	iv. Functional Role of DP	Director-in-Charge and Occupier of Plants															
	v. Whether DP is Promoter or belongs to Promoter Group	No															
	<b>B. If Reporting is for immediate relative of DP</b>																
	i. Name of the immediate relative of DP	Not applicable															
	ii. PAN of the immediate relative of DP	Not applicable															
	<b>C. Details of transaction(s)</b>																
	i. Name of the scrip	Electrosteel Castings Limited BSE Scrip Code : 500128 NSE Scrip Symbol : ELECTCAST															
	ii. No of shares traded and value (Rs.) (Date- wise)	<table border="1"> <thead> <tr> <th>Date</th> <th>Transaction</th> <th>No. of Shares</th> <th>Value (Rs.)</th> <th>Remarks</th> </tr> </thead> <tbody> <tr> <td>31.12.20</td> <td>Buy</td> <td>2000</td> <td>42400</td> <td></td> </tr> <tr> <td>31.12.20</td> <td>Sell</td> <td>10000</td> <td>138223</td> <td></td> </tr> </tbody> </table>	Date	Transaction	No. of Shares	Value (Rs.)	Remarks	31.12.20	Buy	2000	42400		31.12.20	Sell	10000	138223	
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31.12.20	Buy	2000	42400														
31.12.20	Sell	10000	138223														
	<b>D. In case value of trade(s) is more than Rs.10 lacs in a calendar Quarter</b>																
	i. Date of intimation of trade(s) by concerned DP/ Director/ Promoter/ Promoter group to Company under regulation 7 of SEBI (PIT) Regulations, 2015																

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	ii. Date of intimation of trade(s) by Company to stock exchanges under Regulation 7 of SEBI (PIT) Regulations, 2015	
4	Details of violations observed under Code of Conduct	Execution of Contra Trades in shares of the Company by the DP.
5	Action taken by Listed Company/ Intermediary/ Fiduciary	The Company had requested to remit 100% of the profit made to SEBI for credit to the Investor Protection and Education Fund.
6	Reasons recorded in writing for taking action stated above	Violation of Insider Trading Code of Company- execution of contra trade.
7	Details of the previous instances of violations, if any, since last financial year	Not applicable
8	<b>If any amount collected for Code of Conduct violation(s)</b>	
	<b>i. Mode of transfer to SEBI –</b> <b>ii. Details of transfer/payment In case of Online</b>  <b>iii. In case of Demand Draft (DD):</b>	Online Payment on 25.08.2023 Rs. 4100 (Transaction Ref No: 000138837815/BKID0000122/SEBI IPEF) <b>Name of the Transferor:</b> Bank Name: ICICI Bank A/c No : 000651000153
9	<b>Any other relevant information</b>	Nil

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	i. Name of the DP	<b>Mr. Rajesh Daga</b>																																								
	ii. PAN of the DP	<b>ADRPD8616P</b>																																								
	iii. Designation of DP	<b>Senior Vice President (Sales &amp; Marketing)</b>																																								
	iv. Functional Role of DP	<b>Sales</b>																																								
	v. Whether DP is Promoter or belongs to Promoter Group	<b>No</b>																																								
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		21.08.20	Sell	5000	81836	Value of 5000 shares purchased on 07.07.20 is taken under FIFO method
		08.10.20	Buy	10000	216432	
		14.11.20	Buy	5000	103456.50	
		20.11.20	Buy	5000	109719	
		08.12.20	Buy	5318	125079.36	
		08.12.20	Sell	5318	129121.04	Value of 5000 shares purchased on 08.07.20 and 318 shares on 28.07.20 is taken under FIFO method
		10.12.20	Buy	6599	155386.65	
		18.12.20	Buy	10000	224700	
		18.12.20	Sell	10000	224411.85	Value of remaining 4682 shares purchased on 28.07.20 and 5318 shares on 08.10.20 is taken under FIFO method
		24.12.20	Buy	30000	636600	
		24.12.20	Sell	30000	630912.90	
		29.12.20	Buy	10000	209200	
		29.12.20	Sell	11599	240157.43	

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8	<b>If any amount collected for Code of Conduct violation(s)</b>	
	<b>i. Mode of transfer to SEBI – ii. Details of transfer/payment In case of Online</b>	Online Payment on 25.08.2023 Rs. 122820 (Transaction Ref No: 000138837720/BKID0000122/SEBI IPEF )
	<b>iii. In case of Demand Draft (DD):</b>	<b>Name of the Transferor:</b> Bank Name: ICICI Bank A/c No : 000651000153
9	<b>Any other relevant information</b>	Nil

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