



October 4, 2019

The Secretary BSE Limited Phiroze Jeejeebhoy Towers Dalal Street Mumbai - 400001	The Secretary The National Stock Exchange of India Limited Exchange Plaza Bandra Kurla Complex (BKC) Bandra (east) Mumbai - 400051
Scrip Code No-539844	Symbol: EQUITAS

Dear Sirs,

**Sub: Submission of Certificate under Regulation 40(9) of SEBI (LODR) Regulations, 2015**

We are forwarding herewith a certificate issued by Ms. Jayashree S Iyer, Company Secretary in Practice under Regulation 40(9) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 for the half year ended September 30, 2019. We request you to kindly take the above on record.

Thanking you,

Yours truly,  
for Equitas Holdings Limited

Deepti R  
Company Secretary

**EQUITAS HOLDINGS LIMITED**

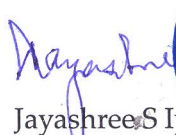
**Compliance Certificate**  
**in terms of Regulation 40(9) of the Securities and Exchange Board of India**  
**(Listing Obligations and Disclosure Requirements) Regulations, 2015**

**For the period from 1<sup>st</sup> April 2019 to 30<sup>th</sup> September 2019**

I have examined all Share Transfer forms, Memorandum of Transfers, Registers, files and other documents relating to M/s EQUITAS HOLDINGS LIMITED ("the Company") having its registered office at 410A, 4<sup>th</sup> Floor, Spencer Plaza, Phase II, No. 769, Mount Road, Anna Salai, Chennai - 600 002 maintained by KARVY FINTECH PRIVATE LIMITED, Registrar and Share Transfer Agents of the Company ("RTA") pertaining to transfer of equity shares of the Company held in physical form, for the period from 1<sup>st</sup> April 2019 to 30<sup>th</sup> September 2019 for the purpose of issuing a Certificate as per Regulation 40(9) of the Securities and Exchange Board of India (Listing Obligations And Disclosure Requirements) Regulations, 2015 and based on the information provided by the Company, I hereby certify that:

During the half year ended 30<sup>th</sup> September 2019, the Company / RTA has not received or processed any request for transfer of shares held in physical form or transmission or transposition or issue of new certificates on account of sub-division, split, consolidation, renewal, exchange or endorsement of calls / allotment monies.

Place: Chennai  
Date: 04.10.2019

  
Jayashree S Iyer  
Practising Company Secretary  
C.P. No. 21403

