

October 21, 2024

To,
National Stock Exchange Limited,
Listing Department,
Exchange Plaza, C-1, Block G,
Bandra Kurla Complex,
Bandra (E) Mumbai 4000 51
NSE Symbol - EFFWA,

Sub: SDD Compliance Certificate for the Quarter ended September 30, 2024.

Dear Sir/Madam,

Pursuant to provisions of Regulation 3(5), 3(6) and other applicable provisions of Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015, please find enclosed the Structured Digital Database (SDD) Compliance Certificate for the Quarter ended September 30, 2024.

This is for your information and record.

For EFFWA INFRA & RESEARCH LIMITED

VARSHA
SUBHASH
KAMAL

Digitally signed by
VARSHA SUBHASH KAMAL
Date: 2024.10.21 15:43:53
+05'30'

VARSHA S. KAMAL
MANAGING DIRECTOR
DIN: 00270314



**STRUCTURED DIGITAL DATABASE (SDD) COMPLIANCE CERTIFICATE FOR THE QUARTER
ENDED SEPTEMBER 30, 2024**

(Pursuant to Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015)

I, Yogesh Dinanath Dabholkar, Practicing Company Secretary appointed by Effwa Infra & Research Limited (the company) am aware of the compliance requirement of Structured Digital Database (SDD) pursuant to provisions of Regulation 3(5) and 3(6) of Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015 (PIT Regulations) and I certify that

1. the Company has a Structured Digital Database in place
2. control exists as to who can access the SDD
3. all the UPSI disseminated in the previous quarter or year have been captured in the Database
4. the system has captured nature of UPSI along with date and time
5. the database has been maintained internally and an audit trail is maintained
6. the database is non-tamperable and has the capability to maintain the records for 8 years.

Based on the information provided to me, there was no event which is required to be captured in SDD database during the quarter ended 30th September, 2024.

I would like to report that the following noncompliance(s) was observed in the previous quarter and the remedial action(s) taken along with timelines in this regard: Not applicable

For **YOGESH D. DABHOLKAR & CO.,**
Practicing Company Secretary

Yogesh D. Dabholkar

Yogesh D. Dabholkar
Proprietor
Membership No. 6336
COP: 6752.
UDIN: F006336F001663970
Date: 21/10/2024
Place: Dombivli

