July 21, 2026

To,
National Stock Exchange Limited,
Listing Department,
Exchange Plaza, C-1, Block G,
Bandra Kurla Complex,
Bandra (E) Mumbai 4000 51
NSE Symbol - EFFWA,

Sub: SDD Compliance Certificate for the Quarter ended June 2026.

Dear Sir/Madam,

Pursuant to provisions of Regulation 3(5), 3(6) and other applicable provisions of Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015, please find enclosed the Structured Digital Database (SDD) Compliance Certificate for the Quarter ended June 30, 2026.

This is for your information and record.

For EFFWA INFRA & RESEARCH LIMITED

Varsha Subhash Kamal
Digitally signed by Varsha Subhash Kamal
Date: 2026.07.21 13:58:34 +05'30'

VARSHA S. KAMAL
MANAGING DIRECTOR
DIN: 00270314

Encl: as above

STRUCTURED DIGITAL DATABASE (SDD) COMPLIANCE CERTIFICATE FOR THE QUARTER ENDED JUNE 30, 2026

(Pursuant to Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015)

I, Yogesh Dinanath Dabholkar, Practicing Company Secretary appointed by Effwa Infra & Research Limited (the company) am aware of the compliance requirement of Structured Digital Database (SDD) pursuant to provisions of Regulation 3(5) and 3(6) of Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015 (PIT Regulations) and I certify that

1. The Company has a Structured Digital Database in place.
2. Control exists as to who can access the SDD.
3. ~~All the UPSI disseminated in the previous quarter or financial year have been captured in the Database:~~
4. The system has captured nature of UPSI along with date and time.
5. The database has been maintained internally and an audit trail is maintained.
6. The database is non-tamperable and has the capability to maintain the records for 8 years.

During the course of our review, it was observed that in certain instances where monthly stock statement and performance report was shared with the bankers and financial information and accounting records with the internal auditors, the corresponding entries in the Structured Digital Database were not recorded at the same time.

I further report that the following non-compliance (s) were observed in the previous quarter ended March 31, 2026, along with the status of remedial action(s) taken:

1) The Company had not identified and captured any event(s) for the purpose of recording in the Structured Digital Database during the quarter ended March 31, 2026.
2) Consequently, no entries pertaining to any event(s) were recorded in the Structured Digital Database for the said quarter, and the same continue to remain unrecorded as on the date of this report.

YOGESH D. DABHOLKAR & CO. DOMBIVLI COMPANY SECRETARY

The management has represented that necessary steps are being initiated to strengthen internal processes and ensure timely recording of events in the Structured Digital Database in compliance with applicable regulatory requirements.

For **YOGESH D. DABHOLKAR & CO.,**
Practicing Company Secretary

YDabholkar

Yogesh D. Dabholkar
Proprietor
Membership No. 6336
COP: 6752.
PR No: 7086/2025
UDIN: F006336H000892276
Date: 20/07/2026.
Place: Dombivli.

YOGESH D. DABHOLKAR & CO. * COMPANY SECRETARY * DOMBIVLI

Disclaimer

1) This certificate is exclusively issued upon the request made by the Company and is issued solely based on the information and documents provided. It is grounded entirely on factual data, interpretation, and implication of Regulation 3(5) and 3(6) of the Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015 (PIT Regulations), and is subject to my professional opinion.

2) The obligation lies with the Management/Company to enforce the stipulations outlined in Regulation 3(5) and 3(6) of the Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015 (PIT Regulations). This includes the maintenance of records and the establishment of appropriate systems to guarantee adherence to said provisions. Furthermore, it is incumbent upon the Management/Company to ensure that such systems are sufficient and function efficiently in accordance with the Regulations and any subsequent amendments issued periodically.

YOGESH D. DABHOLKAR & CO. * COMPANY SECRETARY *