



ABSLAMCL/03/22-23

April 8, 2022

BSE Limited

Phiroze Jeejeebhoy Towers,
Dalal Street Mumbai - 400 001

Scrip Code: 543374

National Stock Exchange of India Limited

Exchange Plaza, 5th Floor,
Plot No. C/1, G Block,
Bandra Kurla Complex,
Bandra (East), Mumbai - 400 051

Scrip Code: ABSLAMC

Dear Sir/ Ma'am,

Sub: Certification under Regulation 40(9) read with Regulation 40(10) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 for the financial year ended March 31, 2022

Please find enclosed herewith Certificate received from VKMG & Associates LLP, Company Secretaries, certifying compliance with Regulation 40(9) read with Regulation 40(10) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, for the financial ended March 31, 2022.

For **Aditya Birla Sun Life AMC Limited**

Hemanti Wadhwa
Company Secretary & Compliance Officer
FCS No- 6477

To,
The Board of Directors,
ADITYA BIRLA SUN LIFE AMC LIMITED
One World Center, Tower 1, 17th Floor, Jupiter Mills,
Senapati Bapat Marg, Elphinstone Road,
Mumbai – 400013

**CERTIFICATE UNDER REGULATION 40(9) OF THE SEBI (LISTING OBLIGATIONS AND
DISCLOSURE REQUIREMENTS) REGULATIONS, 2015**

FOR THE YEAR ENDED 31ST MARCH, 2022

We have examined all Registers, files and other documents of **ADITYA BIRLA SUN LIFE AMC LIMITED** (the Company) maintained by **KFin Technologies Limited**, the Company's Registrar & transfer Agents, pertaining to transfer of equity shares of the Company for the period from **1st April, 2021 to 31st March, 2022** for the purpose of issuing a Certificate as per Regulation 40(9) of the SEBI (Listing Obligations And Disclosure Requirements) Regulations, 2015 and based on the information provided by the Company, we hereby certify that :

- a) the Company has not received any request relating to the transfer of equity shares during the period from **1st April, 2021 to 31st March, 2022**; and
- b) the Company has neither issued any debenture nor carried out any activity such as consolidation, renewal, exchange or endorsement of calls/allotment monies during the period under consideration, hence information in this regard could not be commented. However, the Company has carried out the sub-division upon conversion of equity shares in dematerialized mode.



Date: 08-04-2022

Place: Mumbai

UDIN: A043802D000050743

For VKMG & Associates LLP
Company Secretaries
FRN: L2019MH005300


Manish Rajnarayan Gupta
Partner
ACS-43802
CP-16067
PRN:1279/2021